

# Algebraic Geometry, Lecture 18

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# Overview

Today's topics are

1. Products of projective spaces
2. Morphism
3. Linear projections
4. A dimension bound
5. Rational maps from smooth curves

## Products of algebraic sets

For two affine algebraic sets  $A \subset \mathbb{A}^n$  and  $B \subset \mathbb{A}^m$  the product

$$A \times B \subset \mathbb{A}^n \times \mathbb{A}^m = \mathbb{A}^{n+m}$$

is simply the algebraic set defined by

$$(I(A) \cup I(B)) \subset K[x_1, \dots, x_n, y_1, \dots, y_m]$$

where  $I(A) \subset K[x_1, \dots, x_n]$  and  $I(B) \subset K[y_1, \dots, y_m]$  are the vanishing ideals of  $A$  and  $B$  respectively.

For projective algebraic sets the definition of a product is not so clear. To start with, it is not a priori clear how to give  $\mathbb{P}^n \times \mathbb{P}^m$  the structure of an algebraic set. One uses the **Segre embedding**.

# Segre embedding 1

Define

$$\sigma_{n,m} : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^N \text{ with } N = (n+1)(m+1) - 1$$

by

$$([a_0 : \dots : a_m], [b_0 : \dots : b_n]) \mapsto [a_0 b_0 : \dots : a_i b_j : \dots : a_m b_n].$$

This is a well-defined map. For any pair of points at least one component  $a_i b_j \neq 0$ .

We will use variables  $\mathbf{x} = x_0, \dots, x_n$ ,  $\mathbf{y} = y_0, \dots, y_m$  and  $\mathbf{z} = z_{00}, \dots, z_{0m}, z_{10}, \dots, z_{nm}$  for the homogeneous coordinate rings of  $\mathbb{P}^n$ ,  $\mathbb{P}^m$  and  $\mathbb{P}^N$ . Moreover we call a polynomial

$$f = \sum_{|\alpha|=d, |\beta|=e} f_{\alpha,\beta} x^\alpha y^\beta \in K[\mathbf{x}, \mathbf{y}]$$

**bihomogeneous (in  $\mathbf{x}$  and  $\mathbf{y}$ ) of bidegree  $(d, e)$ .**

## Segre embedding 2

**Proposition.** Let  $\Sigma_{n,m} \subset \mathbb{P}^N$  be the projective algebraic set defined by the  $2 \times 2$ -minors of the  $(n+1) \times (m+1)$ -matrix  $(z_{ij})$ . Then

$$\sigma_{n,m} : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \Sigma_{m,n}$$

is a bijection which induces isomorphisms  $U_i \times U_j \cong \Sigma_{n,m} \cap U_{ij}$  on the standard charts. Moreover  $\Sigma_{n,m} \subset \mathbb{P}^N$  is irreducible, and the ideal of  $2 \times 2$ -minors coincides with the homogeneous ideal of  $\Sigma_{m,m}$ .

**Proof.** The minor

$$\det \begin{pmatrix} z_{i_1 j_1} & z_{i_1 j_2} \\ z_{i_2 j_1} & z_{i_2 j_2} \end{pmatrix}$$

vanishes on the image of  $\sigma_{n,m}$  because

$$\det \begin{pmatrix} x_{i_1} y_{j_1} & x_{i_1} y_{j_2} \\ x_{i_2} y_{j_1} & x_{i_2} y_{j_2} \end{pmatrix} = 0.$$

Thus the image of  $\sigma_{m,n}$  is contained in  $\Sigma_{m,n}$ .

## Segre embedding 3

The point  $r = [1 : c_{01} : \dots : c_{nm}] \in \Sigma_{n,m} \cap U_{00}$  satisfies

$$c_{ij} = c_{i0}c_{0j}.$$

Thus the pair of points

$$(p, q) = ([1 : c_{10} : \dots, c_{n0}], [1 : c_{01} : \dots : c_{0m}]) \in U_0 \times U_0 \subset \mathbb{P}^n \times \mathbb{P}^m$$

is the unique preimage point of  $r$  and  $\Sigma_{n,m} \cap U_{00} \cong U_0 \times U_0$ . The same argument in other charts gives that  $\sigma_{n,m} : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \Sigma_{n,m}$  is bijective and gives isomorphisms  $\Sigma_{n,m} \cap U_{ij} \cong U_i \times U_j$ .

To prove that  $\Sigma_{m,n}$  is irreducible and that the ideal  $J$  of  $2 \times 2$ -minors of  $(z_{ij})$  is its homogeneous ideal, it suffices to prove that  $J$  is a prime ideal.

## Segre embedding 4

Consider the ring homomorphism

$$\varphi : K[\mathbf{z}] \rightarrow K[\mathbf{x}, \mathbf{y}], z_{ij} \mapsto x_i y_j$$

Clearly,  $J \subset \ker \varphi$ . To prove equality we consider a reverse lexicographic order  $>_{\text{rlex}}$  which refines the following order on the variables

$$\begin{array}{ccccccc} z_{00} & > & z_{01} & > & \dots & > & z_{0m} \\ \vee & & \vee & & & & \vee \\ z_{10} & > & z_{11} & > & \dots & > & z_{1m} \\ \vee & & \vee & & & & \vee \\ \vdots & & \vdots & & & & \vdots \\ \vee & & \vee & & & & \vee \\ z_{n0} & > & z_{n1} & > & \dots & > & z_{nm} \end{array}$$

We have

$$\text{Lt}(\det \begin{pmatrix} z_{i_1 j_1} & z_{i_1 j_2} \\ z_{i_2 j_1} & z_{i_2 j_2} \end{pmatrix}) = -z_{i_2 j_1} z_{i_1 j_2}$$

whenever  $i_1 < i_2$  and  $j_1 < j_2$ .

## Segre embedding 5

Thus the remainder of a monomial in  $K[\mathbf{z}]$  divided by the  $2 \times 2$ -minors has the form

$$z_{i_1 j_1} z_{i_2 j_2} \cdots z_{i_d j_d} \text{ with } i_1 \leq i_2 \leq \dots \leq i_d \text{ and } j_1 \leq j_2 \leq \dots \leq j_d.$$

Since  $\varphi$  induces a bijection between such monomials and bihomogeneous monomials of bidegree  $(d, d)$  we conclude that the  $2 \times 2$ -minors form a Gröbner basis of  $\ker \varphi$ . In particular  $J = \ker \varphi$  and this is a prime ideal because  $K[\mathbf{z}]/\ker \varphi$  is isomorphic to a subring of the domain  $K[\mathbf{x}, \mathbf{y}]$ .  $\square$

**Definition.** We give  $\mathbb{P}^n \times \mathbb{P}^m$  the structure of a projective variety by identifying  $\mathbb{P}^n \times \mathbb{P}^m$  and  $\Sigma_{n,m}$ .

**Example.** We identify  $\mathbb{P}^1 \times \mathbb{P}^1$  with the quadric

$$\Sigma_{1,1} = V(z_{00}z_{11} - z_{10}z_{01}) \subset \mathbb{P}^3.$$



## Hypersurface in $\mathbb{P}^n \times \mathbb{P}^m$ of bidegree $(d, e)$ .

Notice that the Zariski topology on  $\mathbb{P}^n \times \mathbb{P}^m$  is finer than the product of the Zariski topologies of the factors. For example, if

$$f = \sum_{|\alpha|=d, |\beta|=e} f_{\alpha, \beta} x^\alpha y^\beta \in K[\mathbf{x}, \mathbf{y}]$$

is a bihomogeneous polynomial of bidegree  $(d, e)$ , then

$$V(f) = \{(a, b) \in \mathbb{P}^n \times \mathbb{P}^m \mid f(a, b) = 0\}$$

is a Zariski closed subset, which for general  $f$  is not closed in the product topology. To see that  $V(f)$  is an algebraic subset of  $\mathbb{P}^n \times \mathbb{P}^m$  we argue as follows: Suppose  $d \geq e$ . Then multiplying  $f$  with monomials  $y^\beta \in K[\mathbf{y}]$  of degree  $d - e$  we get  $\binom{d-e+m}{m}$  polynomials  $fy^\beta$  of bidegree  $(d, d)$ , each of which is the image of a polynomial in  $F_\beta \in K[\mathbf{z}]$  of degree  $d$ .  $V(f)$  coincides with the zero-loci of  $(\{F_\beta \mid |\beta| = d - e\}) + \ker \varphi$ .

$V(f)$  is called a **hypersurface of bidegree  $(d, e)$**  in  $\mathbb{P}^n \times \mathbb{P}^m$ .

## Algebraic subsets of $\mathbb{P}^n \times \mathbb{P}^m$

**Definition.** Let  $A \subset \mathbb{P}^n \times \mathbb{P}^m$  be a subset. The **bihomogeneous vanishing ideal** of  $A$  is

$$I(A) = (\{f \in K[\mathbf{x}, \mathbf{y}] \text{ bihomogeneous} \mid f(a, b) = 0 \forall (a, b) \in A\})$$

and  $V(I(A)) = \bar{A}$  is its Zariski closure. For an algebraic subset  $A \subset \mathbb{P}^n \times \mathbb{P}^m$  the bigraded ring  $K[\mathbf{x}, \mathbf{y}]/I(A)$  is called the **bihomogeneous coordinate ring** of  $A$ .

**Remark.** For  $J \subset K[\mathbf{x}, \mathbf{y}]$  a bihomogenous ideal we have

$$I(V(J)) = ((\text{rad}(J) : (x_0, \dots, x_n)) : (y_0, \dots, y_m)). \quad \square$$

We now are ready to define the product of two arbitrary projective algebraic sets  $A \subset \mathbb{P}^n$  and  $B \subset \mathbb{P}^m$ :

$$A \times B \subset \mathbb{P}^n \times \mathbb{P}^m \subset \mathbb{P}^N$$

is the algebraic set defined by the bihomogeneous polynomials  $f_i \in I(A) \subset K[\mathbf{x}]$  of bidegree  $(d_i, 0)$  and  $g_j \in I(B) \subset K[\mathbf{y}]$  of bidegree  $(0, e_j)$ .

## Quasi-projective algebraic sets and regular functions

**Definition.** A **quasi-affine algebraic set** is an open subset of an affine algebraic set. Similarly we have the notion of a **quasi-projective algebraic set**. Every quasi-affine algebraic set is also quasi-projective because  $\mathbb{A}^n = \mathbb{P}^n \setminus V(x_0)$ .

The product of two quasi-affine (quasi-projective) algebraic sets  $A = A_1 \setminus A_2$  and  $B = B_1 \setminus B_2$  is again quasi-affine (quasi-projective).

$$A \times B = A_1 \times B_1 \setminus (A_2 \times B_1 \cup A_1 \times B_2).$$

For  $A \subset \mathbb{P}^n$  a quasi-projective algebraic set we define the **ring of regular functions**  $\mathcal{O}(A)$  as the ring of functions

$$f : A \rightarrow K$$

such that for every point  $p \in A$  there exist an open neighbourhood  $U \subset A$  and homogeneous polynomials  $g, h \in K[x_0, \dots, x_n]$  of the same degree with  $h(p) \neq 0$  for all  $p \in U$  such that

$$f(p) = \frac{g(p)}{h(p)}.$$

# Morphism

**Definition.** Let  $A$  be a quasi-projective algebraic set.

1. Let  $B \subset \mathbb{A}^m$  be a quasi-affine algebraic set. A morphism  $\varphi : A \rightarrow B$  is a map which is given by an  $m$ -tuple of regular functions  $f_j \in \mathcal{O}(A)$ :

$$\varphi(p) = (f_1(p), \dots, f_m(p)) \quad \forall p \in A.$$

2. Let  $B \subset \mathbb{P}^m$  be a quasi-projective algebraic set. A map  $\varphi : A \rightarrow B$  is a morphism if  $\varphi$  is locally given by regular functions, i.e., for each point  $p \in A$  there exist an open neighborhood  $U \subset A$  and regular functions  $f_0, \dots, f_m \in \mathcal{O}(U)$  such that

$$\varphi(p) = [f_0(p) : \dots : f_m(p)] \quad \forall p \in U$$

Clearly, morphisms can be composed.

**Definition.** A morphism  $\varphi : A \rightarrow B$  is an isomorphism if there exists a morphism  $\psi : B \rightarrow A$  such that  $\psi \circ \varphi = id_A$  and  $\varphi \circ \psi = id_B$ .

## Examples

1. Let  $A \subset \mathbb{P}^n$  be a quasi-projective algebraic set, and let  $f_0, \dots, f_m \in K[x_0, \dots, x_n]$  be homogeneous polynomials of the same degree  $d$  such that  $V(f_0, \dots, f_m) \cap A = \emptyset$ . Then

$$\varphi : A \rightarrow \mathbb{P}^m, p \mapsto [f_0(p) : \dots : f_m(p)]$$

is a well-defined morphism. Indeed on the open set  $U = A \cap (\mathbb{P}^n \setminus V(f_i))$  the map  $\varphi$  is given by the regular functions

$$\left[ \frac{f_0}{f_i} : \dots : \frac{f_m}{f_i} \right],$$

and these open sets cover  $A$  since  $V(f_0, \dots, f_m) \cap A = \emptyset$ .

In particular we see that the regular functions in  $\mathcal{O}(U)$  which define  $\varphi$  on  $U$  might not exist globally.

2. More specifically, consider the morphism  $\rho_d : \mathbb{P}^1 \rightarrow \mathbb{P}^d$  defined by

$$[t_0 : t_1] \mapsto [t_0^d : t_0^{d-1}t_1 : \dots : t_1^d]$$

## Examples

The image of  $\rho_d$  is the so-called **rational normal curve of degree  $d$** . It has the homogeneous ideal generated by the  $2 \times 2$ -minors of the  $2 \times d$ -matrix

$$\begin{pmatrix} x_0 & x_1 & \dots & x_{d-1} \\ x_1 & x_2 & \dots & x_d \end{pmatrix}$$

**Remark.** Morphisms  $\varphi : A \rightarrow B$  between affine algebraic sets are easier to describe because they simply correspond to  $K$ -algebra homomorphisms  $\varphi^* : K[B] \rightarrow K[A]$ .

Morphisms  $\varphi : A \rightarrow B$  between projective algebraic sets have a more complicated description. However they are better behaved:

We will see in one of the next lectures that the image of a projective algebraic set under a morphism is always an algebraic subset of the target.

This was not the case for morphisms between affine algebraic sets.

## Example

Consider  $A = V(xy - z^2) \subset \mathbb{P}^2$ . On the affine chart  $U_{z=1}$  we saw that the projection

$$\mathbb{A}^2 \supset V(xy - 1) \rightarrow \mathbb{A}^1, (a, b) \mapsto a$$

is not surjective, because the origin  $o$  is not in the image.

The map

$$A \setminus \{[0 : 1 : 0]\} \rightarrow \mathbb{P}^1, [x : y : z] \mapsto [x : z]$$

extends to a surjective morphism  $\pi : A \rightarrow \mathbb{P}^1$  because

$$[x : z] = [xy : yz] = [z^2 : yz] = [z : y]$$

holds on  $A \setminus V(yz)$ . Thus the missing preimage point of  $o = [0 : 1] \in \mathbb{A}^1 \subset \mathbb{P}^1$  is the point  $p = [0 : 1 : 0]$  on the line  $V(z)$  at infinity.

## Linear projections

Let  $A \subset \mathbb{P}^n$  be a projective variety. Let  $\ell_0, \dots, \ell_r \in K[x_0, \dots, x_n]$  be  $r + 1$  linearly independent linear forms such that  $L = V(\ell_0, \dots, \ell_r) \cong \mathbb{P}^{n-r-1}$  does not intersect  $A$ . Then

$$\pi_L : A \rightarrow \mathbb{P}^r, a \mapsto [\ell_0(a) : \dots : \ell_r(a)]$$

is called the **linear projection from  $L$** . The condition  $A \cap L = \emptyset$  is equivalent to  $\text{rad}(I(A) + (\ell_0, \dots, \ell_r)) = (x_0, \dots, x_n)$ . If we choose coordinates on  $\mathbb{P}^n$  such that  $\ell_0 = x_{n-r}, \dots, \ell_r = x_n$ , then  $A \cap L = \emptyset$  is equivalent to the condition that there are homogeneous equations  $f_i \in I(A)$  with

$$f_i \equiv x_i^{d_i} \pmod{(x_{n-r}, \dots, x_n)} \text{ for } i = 0, \dots, n-r-1.$$

Thus in this case the map

$$\phi : K[x_{n-r}, \dots, x_n] \rightarrow K[A] = K[x_0, \dots, x_n]/I(A)$$

induces an integral ring extension  $K[A'] \hookrightarrow K[A]$  where  $A' = V(\ker(\phi))$ .



## A dimension bound

Thus in this situation  $\pi_L$  induces a finite and surjective map  $A \rightarrow A' \subset \mathbb{P}^r$ . In particular,  $\dim A' = \dim A \leq r$ .

**Corollary.** *Let  $A \subset \mathbb{P}^n$  be a projective algebraic set. If there exists a linear subspace  $L \subset \mathbb{P}^n$  of dimension  $n - r - 1$  with  $A \cap L = \emptyset$ , then  $\dim A \leq r$ .* □

**Definition.** Let  $A \subset \mathbb{P}^n$  be a projective algebraic set. We call a linear projection  $\pi_L : A \rightarrow \mathbb{P}^r$  with  $L \cap A = \emptyset$  with  $r = \dim A$  a **linear Noether normalization**.

**Corollary.** *Let  $A \subset \mathbb{P}^n$  be a projective algebraic set of dimension  $\dim A = r$ . Then every linear subspace  $L$  of dimension  $\dim L \geq n - r$  intersects  $A$ .*

**Proof.** If  $L \cap A = \emptyset$ , then  $\dim A < r$ . □

**Theorem.** *Let  $X, Y \subset \mathbb{P}^n$  be projective algebraic sets. Then*

$$\dim X \cap Y \geq \dim X + \dim Y - n.$$

*In particular the intersection of algebraic sets of complementary dimensions is always non-empty.*

## Proof of the dimension bound

**Proof.** Consider the projective space  $\mathbb{P}^{2n+1}$  with coordinate ring  $K[x_0, \dots, x_n, y_0, \dots, y_n]$  and the algebraic set  $J(X, Y)$  defined by  $I(X) + I(Y)$  where  $I(X) \subset K[x_0, \dots, x_n]$  and  $I(Y) \subset K[y_0, \dots, y_n]$  denote the homogeneous ideals in disjoint sets of variables. The algebraic set  $J(X, Y)$  is called the **join** of  $X$  and  $Y$  because it is the union of all lines joining a point of  $X$  and with a point of  $Y$ ,

$$X \subset \mathbb{P}^n \cong V(y_0, \dots, y_n) \subset \mathbb{P}^{2n+1} \supset V(x_0, \dots, x_n) \cong \mathbb{P}^n \supset Y.$$

Clearly,

$$\dim J(X, Y) = \dim X + \dim Y + 1$$

as one can see by combining linear Noether normalizations of  $X$  and  $Y$ .  $X \cap Y = J(X, Y) \cap V(x_0 - y_0, \dots, x_n - y_n)$  is the intersection of  $J(X, Y)$  with a linear subspace of dimension  $n$ .

Thus the intersection  $X \cap Y \neq \emptyset$  if

$$n \geq 2n + 1 - (\dim X + \dim Y + 1) \Leftrightarrow \dim X + \dim Y - n \geq 0$$

by the second corollary.

## Proof of the dimension bound continued

Suppose  $\dim X \cap Y = e > 0$ . Let  $\ell_0, \dots, \ell_e \in K[x_0, \dots, x_n]$  define a linear Noether normalization of  $X \cap Y$ . Then

$$J(X, Y) \cap L = \emptyset$$

where  $L = V(x_0 - y_0, \dots, x_n - y_n, \ell_0, \dots, \ell_e)$  is a linear space of dimension  $2n + 1 - (n + 1 + e + 1) = 2n + 1 - (n + 1 + e) - 1$ , and

$$\dim J(X, Y) \leq n + 1 + e$$

holds by the first corollary. Thus

$$\dim X \cap Y = e \geq \dim J(X, Y) - n - 1 = \dim X + \dim Y - n.$$



**Remark.** Using Krull's principal ideal theorem one can show for projective **varieties**  $X, Y \subset \mathbb{P}^n$  that every component  $C$  of  $X \cap Y$  has dimension  $\dim C \geq \dim X + \dim Y - n$ .

## Rational maps from smooth curves extend to morphisms

**Proposition.** *Let  $C$  be a smooth irreducible quasi projective curve and  $\varphi' : C \dashrightarrow \mathbb{P}^n$  a rational map. Then  $\varphi'$  extends to a morphism*

$$\varphi : C \rightarrow \mathbb{P}^n.$$

**Proof.** Suppose that  $\varphi'$  is given by a tuple  $f_0, \dots, f_n$  of rational functions. There are two reasons why  $[f_0(p) : \dots : f_n(p)]$  might be not defined in  $p \in C$ . One of the rational functions might have a pole at  $p$  or all rational functions might vanish at  $p$ .

Taking  $k = \min\{v_p(f_j) \mid j = 0, \dots, n\}$  and  $t \in \mathfrak{m}_{C,p} \subset \mathcal{O}_{C,p}$  a generator then we see that  $[t^{-k}f_0 : \dots : t^{-k}f_n]$  is defined at  $p \in C$  and coincides  $\varphi'$  where  $t$  has no zeroes or poles.  $\square$

**Remark.** The proposition is not true for a higher dimension source: The morphism

$$\mathbb{A}^2 \setminus \{o\} \rightarrow \mathbb{P}^1, p \mapsto [x(p) : y(p)]$$

has no extension to  $\mathbb{A}^2$ . Instead the closure of the graph is the blow-up of  $o \in \mathbb{A}^2$ .