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**On the Tate Modules of Elliptic Curves over
a Local Field of Characteristic two**

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Abstract

Let $K := \mathbb{F}_{2^f}((T))$ be the field of Laurent series over the finite field with 2^f elements. Every non-supersingular elliptic curve \mathcal{E} over K has a short Weierstraß form

$$Y^2 + XY = X^3 + \alpha X^2 + \beta$$

with appropriate $\alpha, \beta \in K$. The Tate module of \mathcal{E} yields a two dimensional representation $\pi'_{\alpha, \beta}$ of the Weil-Deligne group $W'(K^{\text{sep}}/K)$. Contrary to characteristics different from two, arbitrarily high ramification may occur. If β is integral, the rational points of \mathcal{E} can be completely described in terms of periodic functions. As a consequence, $\pi'_{\alpha, \beta}$ is completely known.

We will deal with the case in which β is not integral. In this case we can consider $\pi'_{\alpha, \beta}$ as a representation $\pi_{\alpha, \beta}$ of the Weil group $W(K^{\text{sep}}/K)$ of K . The aim of this article is to give an explicit description of $\pi_{\alpha, \beta}$ and to determine the ramification properties. As a consequence, we will be able to calculate the conductor.

1 Introduction

In the following we will recall the most important facts and definitions. For further information as well as a general introduction to this topic, we refer to [3]. Our notation concerning local fields is the notation from [4].

Let K be a local field with finite residue field of characteristic p with $q = p^f$ elements. By $G(K^{\text{sep}}/K)$ we denote the absolute Galois group of K , thought of as the group of automorphisms of a fixed separable closure K^{sep} of K . The group $G(K^{\text{sep}}/K)$ can be regarded as a topological group by taking $G(K^{\text{sep}}/M)$, where M runs over all finite Galois extensions of K , as a fundamental system of open neighbourhoods of the identity element. Let K_0 be the maximal unramified extension. We consider the non-open subgroup $G_0(K^{\text{sep}}/K) := G(K^{\text{sep}}/K_0)$, which is called inertia group. The quotient

$$G(K^{\text{sep}}/K)/G_0(K^{\text{sep}}/K)$$

is canonically isomorphic to the absolute Galois group $G(\mathbb{F}_q^{\text{alg}}/\mathbb{F}_q)$ of the residue field. An element of $G(K^{\text{sep}}/K)$ is called Frobenius if it is mapped to the Frobenius automorphism $x \mapsto x^q$ of $G(\mathbb{F}_q^{\text{alg}}/\mathbb{F}_q)$.

The Weil group $W(K^{\text{sep}}/K)$ is the subgroup of $G(K^{\text{sep}}/K)$ generated by the inertia group $G_0(K^{\text{sep}}/K)$ and a Frobenius element. We define $W(K^{\text{sep}}/K)$ as a topological group by requiring that the topology on $G_0(K^{\text{sep}}/K)$ is the

topology induced from $G(K^{\text{sep}}/K)$ and that $G_0(K^{\text{sep}}/K)$ itself is open. A representation of $W(K^{\text{sep}}/K)$ is a continuous group homomorphism

$$\rho : W(K^{\text{sep}}/K) \longrightarrow \text{GL}(W),$$

where W is a finite dimensional vector space over \mathbb{C} and $\text{GL}(W)$ denotes the general linear group of W , endowed with its complex topology. We recall that there always exists a finite Galois extension L of K so that the restriction of ρ to $G_0(K^{\text{sep}}/L)$ is trivial. As in [4] we can choose a uniformizer T_L of L and define for every $i \in \mathbb{N}_0$ the higher ramification group

$$G_i(L/K) := \{\sigma \in G(L/K) \mid \nu_L(\sigma(T_L) - T_L) \geq i + 1\}.$$

This definition does not depend on the choice of T_L . We now consider for every $i \in \mathbb{N}_0$ the action of $G_i(L/K)$ on W and denote by $W^{G_i(L/K)}$ the fixed space of W . Then the conductor of ρ is defined by

$$\text{cond}(\rho) := \sum_{i=0}^{\infty} \frac{\#G_i(L/K)}{\#G_0(L/K)} \dim(W/W^{G_i(L/K)}).$$

We have to add that $\text{cond}(\rho)$ is always an integer greater or equal zero, which does not depend on the choice of L . We think of $\text{cond}(\rho)$ as a measure which describes the ramification properties of ρ , i.e., the complexity of the operation of the higher ramification groups on W .

We now consider an elliptic curve \mathcal{E} over K and assume that \mathcal{E} has potential good reduction, i.e., that the j -invariant of \mathcal{E} is integral. We further fix an embedding $\iota : \mathbb{Q}_\ell \hookrightarrow \mathbb{C}$ and consider the tensor product

$$V := \mathbb{C} \otimes_\iota T_\ell(\mathcal{E}),$$

where $T_\ell(\mathcal{E})$ is the ℓ -adic Tate module and ℓ a prime different from p . The action of $G(K^{\text{sep}}/K)$ on the points of \mathcal{E} induces an action of $G(K^{\text{sep}}/K)$ on V . Restricting this action to the Weil group defines a continuous representation $\pi : W(K^{\text{sep}}/K) \longrightarrow \text{GL}(V)$. The isomorphism class of π is independent of the choices of ℓ and ι .

We can apply the same construction if the j -invariant fails to be integral, but then π will turn out to be not continuous. In this case, there is a construction due to Deligne and Grothendieck which gives us a representation π' of the so-called Weil-Deligne group $W'(K^{\text{sep}}/K)$. This group can be realised as a semi-direct product of the form $W(K^{\text{sep}}/K) \rtimes \mathbb{C}$. Since there is a satisfactory characterisation for π' , if the j -invariant is non-integral, there is no need to treat this case in detail here. We restrict to presenting the result. The representation π' is then isomorphic to the two dimensional special representation

$\text{sp}(2)$ iff \mathcal{E} has multiplicative reduction. If the reduction of \mathcal{E} is additive then there exists always a separable quadratic extension M/K so that \mathcal{E} has multiplicative reduction over M . If χ is the unique non-trivial character of $W(K^{\text{sep}}/K)$ vanishing on $W(K^{\text{sep}}/M)$, then we have $\pi' \cong \chi \otimes \text{sp}(2)$. For the definitions and proofs we refer to [3].

The famous Neron-Ogg-Shafarevich criterion says that \mathcal{E} has good reduction iff π is unramified, i.e., if π is trivial on $G_0(K^{\text{sep}}/K)$. Now an extension M of the ground field K causes a restriction of π to the corresponding subgroup $W(K^{\text{sep}}/M)$ of $W(K^{\text{sep}}/K)$. So if L is an extension of K such that \mathcal{E} has good reduction over L , then $\pi(G_0(K^{\text{sep}}/M))$ has to be trivial. Further it is well known that such an L can be obtained by adjoining the coordinates of the set of all ℓ -torsion points.

We now restrict ourselves to the case that K is of equal characteristic 2. That is, K can be considered as a field of Laurent series $\mathbb{F}_{2^f}((T))$ over a finite field \mathbb{F}_{2^f} . In this case, every elliptic curve over K with non-vanishing j -invariant has a short Weierstraß form

$$\mathcal{E} : Y^2 + XY = X^3 + \alpha X^2 + \beta$$

for appropriate $\alpha, \beta \in K$. Using this short Weierstraß form the j -invariant is β^{-1} . So the condition of \mathcal{E} having potential good reduction means that β^{-1} is integral. The aim of this article is to analyse the corresponding representation $\pi_{\alpha, \beta}$ of the Weil group $W(K^{\text{sep}}/K)$.

Since $\pi_{\alpha, \beta}$ is semi-simple, it has to be irreducible or the direct sum of two one dimensional representations. So there are two questions natural to ask about $\pi_{\alpha, \beta}$.

- First, when is $\pi_{\alpha, \beta}$ irreducible ?
- Secondly, how can we describe $\pi_{\alpha, \beta}$ explicitly in terms of α and β ?

Further, we want to describe the ramification properties of $\pi_{\alpha, \beta}$ and to calculate $\text{cond}(\pi_{\alpha, \beta})$.

The impact of the parameter α on $\pi_{\alpha, \beta}$ is already known and can easily be described. Viz., let γ be an element of K , and consider the splitting field M of the polynomial $X^2 + X + \gamma$. Define χ_γ as the unique one dimensional representation of $W(K^{\text{sep}}/K)$ whose kernel is $W(K^{\text{sep}}/M)$. Then for all $\alpha' \in K$ we have an isomorphism

$$\pi_{\alpha', \beta} \cong \chi_{\alpha + \alpha'} \otimes \pi_{\alpha, \beta}.$$

2 Adjoining coordinates of 3-torsion points

In this section we will give an explicit construction of a Galois extension L over K such that the restriction of $\pi_{\alpha,\beta}$ to $G_0(K^{\text{sep}}/L)$ is trivial. This extension may be obtained by adjoining coordinates of the ℓ -torsion points. In order to minimise the calculation effort we choose $\ell = 3$. Applying the duplication formula [5, III.2.3 (d)] gives us the following system

$$0 = x^4 + x^3 + \beta$$

$$0 = y^2 + xy + x^3 + \alpha x^2 + \beta,$$

whose solutions (x, y) are precisely the coordinates of the non-trivial 3-torsion-points. For the construction of L we choose

- a primitive third root φ of the unit element 1,
- a third root γ of β ,
- an element D of K^{sep} satisfying $D + D^2 = \gamma$,
- an element E of K^{sep} satisfying $E + E^2 = D$, and
- an element F_α of K^{sep} satisfying $F_\alpha + F_\alpha^2 = (D + 1)E + \alpha$.

We set $L := K(\varphi, E, F_\alpha)$. An explicit calculation shows that the 3-torsion points unequal to zero of \mathcal{E} are exactly the points $P_{ij} = (x_i, y_{ij})$ with

$$\begin{aligned} x_1 &:= (D + 1)E, & x_2 &:= (D + 1)(E + 1), \\ x_3 &:= (E + \varphi)D, & x_4 &:= (E + \varphi + 1)D \end{aligned}$$

and

$$\begin{aligned} y_{11} &:= x_1(x_1 + F_\alpha), & y_{12} &:= x_1(x_1 + F_\alpha + 1), \\ y_{21} &:= x_2(x_2 + F_\alpha + E + \varphi), & y_{22} &:= x_2(x_2 + F_\alpha + E + \varphi + 1), \\ y_{31} &:= x_3(x_3 + F_\alpha + (\varphi + 1)E), & y_{32} &:= x_3(x_3 + F_\alpha + (\varphi + 1)E + 1), \\ y_{41} &:= x_4(x_4 + F_\alpha + \varphi E), & y_{42} &:= x_4(x_4 + F_\alpha + \varphi E + 1). \end{aligned}$$

On the other hand, we can recover the generators φ, E, F_α by the formulas

$$\varphi = \frac{x_3}{E + E^2} + E, \quad E = \frac{x_1}{x_1 + x_2}, \quad F_\alpha = \frac{y_{11}}{x_1} + x_1.$$

We conclude that L is the smallest extension of K containing the coordinates of all 3-torsion points.

We now consider \mathcal{E} as an elliptic curve over L .

Proposition 2.1 *Over L the elliptic curve \mathcal{E} is isomorphic to the elliptic curve*

$$\mathcal{E}_E : Y^2 + E^{-1}XY + Y = X^3 + E^{-3} + 1.$$

PROOF. First, we make the transformation $(X, Y) \mapsto (X, Y + X(E + F_\alpha))$. This yields the equation

$$Y^2 + XY = X^3 + (F_\alpha + F_\alpha^2 + E + E^2 + \alpha)X^2 + \beta.$$

Using the identities

$$F_\alpha + F_\alpha^2 = (D + 1)E + \alpha = E^3 + E^2 + E + \alpha$$

and

$$\beta = \gamma^3 = (E + E^4)^3 = E^3 + E^6 + E^9 + E^{12},$$

we obtain

$$Y^2 + XY = X^3 + E^3X^2 + E^3 + E^6 + E^9 + E^{12}.$$

Now we make the transformation $(X, Y) \mapsto (X + E^3, Y + E^6)$, which gives us

$$Y^2 + XY + E^3Y = X^3 + E^3 + E^6.$$

Finally, the transformation $(X, Y) \mapsto (E^2X, E^3Y)$ leads us to the result

$$Y^2 + E^{-1}XY + Y = X^3 + E^{-3} + 1.$$

□

Note that the curve \mathcal{E}_E has integral coefficients. In order to simplify our exposition, we will further assume that the valuation $\nu_K(\beta)$ is strictly less than zero. Then we can consider the reduced curve, which is given by the equation

$$Y^2 + Y = X^3 + 1.$$

The coefficients are independent of α and β , and the curve \mathcal{E}_E has good reduction. Now we can apply the criterion of Neron-Ogg-Shafarevich, which states that the action of $G_0(K^{\text{sep}}/L)$ on V is trivial and the action of a Frobenius automorphism of $G(K^{\text{sep}}/L)$ is given by the action of the Frobenius automorphism of $G(\mathbb{F}_2^{\text{alg}}/\mathbb{F}_{2^g})$, where \mathbb{F}_{2^g} is the residue field of L . On the other hand, the eigenvalues of the Frobenius automorphism can be obtained just by counting rational points.

In the following we will write $\pi_{\alpha, \beta}^M$ for the restriction of $\pi_{\alpha, \beta}$ to $W(K^{\text{sep}}/M)$ for an arbitrary finite separable extension M of K . We recall that, if we

consider \mathcal{E} as an elliptic curve over M , the construction of $\pi_{\alpha,\beta}^M$ is completely analogous to that of $\pi_{\alpha,\beta}$. To avoid confusion, we will sometimes write $\pi_{\alpha,\beta}^K$ instead of $\pi_{\alpha,\beta}$ if we like to emphasise that $\pi_{\alpha,\beta}$ is defined over the ground field K .

In order to characterise the representation $\pi_{\alpha,\beta}^L$, we define the one dimensional representation

$$\Omega_K : W(K^{\text{sep}}/K) \longrightarrow \mathbb{C}^*$$

by requiring that it should be trivial on $G_0(K^{\text{sep}}/K)$ and

$$\Omega_K(\Phi_K) = \left(\frac{i}{\sqrt{2}}\right)^f$$

for every Frobenius element Φ_K of $G(K^{\text{sep}}/K)$. This definition ensures that, for every finite separable extension M of K , the representation Ω_M is equal to the restriction of Ω_K to $W(K^{\text{sep}}/M)$.

Proposition 2.2 *The representation*

$$\Omega_K \otimes \pi_{\alpha,\beta}^K : W(K^{\text{sep}}/K) \longrightarrow \text{GL}(V)$$

is trivial on $W(K^{\text{sep}}/L)$.

PROOF.

Let Φ_L be a Frobenius element of $G(K^{\text{sep}}/L)$ and \mathbb{F}_{2^g} the residue field of L . We only have to show that $\pi_{\alpha,\beta}^K(\Phi_L) = \left(\frac{\sqrt{2}}{i}\right)^g$. According to the Neron-Ogg-Shafarevich criterion, $\pi_{\alpha,\beta}^K(\Phi_L)$ is determined by the action of the Frobenius element $\Phi_{\mathbb{F}_{2^g}}$ of $G(\mathbb{F}_2^{\text{alg}}/\mathbb{F}_{2^g})$ on the Tate module of the reduced curve

$$Y^2 + Y = X^3 + 1.$$

Since this curve is even defined over \mathbb{F}_2 , we have only to regard the action of the Frobenius $\Phi_{\mathbb{F}_2}$ of $G(\mathbb{F}_2^{\text{alg}}/\mathbb{F}_2)$. Over \mathbb{F}_2 the curve has precisely 3 points. As described in [5, p. 136], we get for the eigenvalues λ_1 and λ_2 of $\Phi_{\mathbb{F}_2}$ the relations

$$\begin{aligned} 3 &= 1 - \lambda_1 - \lambda_2 + 2, \\ \lambda_1 &= \overline{\lambda_2}, \end{aligned}$$

and

$$|\lambda_1| = |\lambda_2| = \sqrt{2}.$$

This is possible only if these eigenvalues are $\sqrt{2}i$ and $-\sqrt{2}i$. Since $\varphi \in L$, the subfield $\mathbb{F}_4 = \{0, 1, \varphi, \varphi + 1\}$ is contained in L . It follows that g is even.

Therefore $\pi_{\alpha,\beta}^K(\Phi_L)$ has two equal eigenvalues $(\frac{\sqrt{2}}{i})^g$ and must be a scalar. \square

As a consequence of this proposition, we can divide out $W(K^{\text{sep}}/L)$ and obtain a representation $\rho_{\alpha,\beta}^K$ of the finite Galois group

$$W(K^{\text{sep}}/K)/W(K^{\text{sep}}/L) \cong G(L/K),$$

which contains all the information about $\pi_{\alpha,\beta}$.

Proposition 2.3 *The representation*

$$\rho_{\alpha,\beta}^K : G(L/K) \longrightarrow \text{GL}(V)$$

is injective.

PROOF.

Suppose $\sigma \in G(L/K)$ with $\rho_{\alpha,\beta}^K(\sigma) = 1$. Then σ has to act as a scalar on the 3-torsion points. So we have $\sigma(P) = -P$ or P for all 3-torsion points $P = (x, y)$. It follows that $\sigma(x_i) = x_i$ for $i = 1, \dots, 4$. So we conclude that $\sigma(\varphi) = \varphi$ and $\sigma(E) = E$, which means that σ is trivial on $K(\varphi, E)$. In the case $K(\varphi, E) = L$ we are done.

In the case $K(\varphi, E) \neq L$ it remains to show that the restriction

$$\Omega_{K(\varphi,E)} \otimes \pi_{\alpha,\beta}^{K(\varphi,E)}$$

of $\Omega_K \otimes \pi_{\alpha,\beta}^K$ is not trivial. We apply our remark in the end of the introduction. Since we have

$$(F_\alpha + E)^2 + F_\alpha + E + \alpha + E^3 = F_\alpha^2 + F_\alpha + D + \alpha + E^3 = 0,$$

we get

$$\pi_{\alpha,\beta}^{K(\varphi,E)} \cong \chi \otimes \pi_{E^3,\beta}^{K(\varphi,E)},$$

where χ is the one dimensional representation of $W(K^{\text{sep}}/K(\varphi, E))$ defined by the condition $\text{Ker}(\chi) = W(K^{\text{sep}}/L)$. From the identity

$$(F_{E^3})^2 + F_{E^3} = (D + 1)E + E^3 = D,$$

we conclude that $K(\varphi, E, F_{E^3}) = K(\varphi, E)$. Therefore $\Omega_{K(\varphi,E)} \otimes \pi_{E^3,\beta}^{K(\varphi,E)}$ has to be trivial, which means that $\Omega_{K(\varphi,E)} \otimes \pi_{\alpha,\beta}^{K(\varphi,E)}$ is not. \square

As a simple conclusion of this proposition, we can answer the first question asked in the introduction.

Conclusion 2.4 *The representation $\pi_{\alpha,\beta}$ is reducible iff $G(L/K)$ is abelian.*

3 Functorial properties of $\pi_{\alpha,\beta}$

In order to describe how $\pi_{\alpha,\beta}$ depends on β , we assume $\alpha = 0$. We now consider the smallest local subfield of K over which the curve \mathcal{E} is defined. Obviously, this is the field $\tilde{K} := \mathbb{F}_2((\beta^{-1}))$. Note that this construction is only possible because we made the assumption $\nu_K(\beta) < 0$.

Considering \mathcal{E} as an elliptic curve over \tilde{K} , we can apply the construction mentioned above and obtain a representation $\pi_{0,\beta}^{\tilde{K}}$ of the Weil group $W(\tilde{K}^{\text{sep}}/\tilde{K})$. Similarly we get a representation $\rho_{0,\beta}^{\tilde{K}}$ of $G(\tilde{L}/\tilde{K})$, where $\tilde{L} = \tilde{K}(\varphi, E, F_0)$. Further, we may identify the underlying spaces of $\pi_{0,\beta}^{\tilde{K}}$ and $\pi_{0,\beta}^K$ as well as the underlying spaces of $\rho_{0,\beta}^{\tilde{K}}$ and $\rho_{0,\beta}^K$. If we do so, we get the following proposition.

Proposition 3.1 *The following diagram is commutative:*

$$\begin{array}{ccc}
 G(L/K) & \xrightarrow{\text{Figure 1:}} & G(\tilde{L}/\tilde{K}) \\
 \searrow \rho_{0,\beta}^K & \sigma \mapsto \sigma|_{\tilde{L}} & \swarrow \rho_{0,\beta}^{\tilde{K}} \\
 & \text{GL}_2(V) &
 \end{array}$$

PROOF.

Comparing the action of $G(K^{\text{sep}}/K)$ with that of $G(\tilde{K}^{\text{sep}}/\tilde{K})$ on V , we get the commutative diagram

$$\begin{array}{ccc}
 W(K^{\text{sep}}/K) & \xrightarrow{\text{Figure 2:}} & W(\tilde{K}^{\text{sep}}/\tilde{K}) \\
 \searrow \pi_{0,\beta}^K & \sigma \mapsto \sigma|_{\tilde{K}^{\text{sep}}} & \swarrow \pi_{0,\beta}^{\tilde{K}} \\
 & \text{GL}_2(V) &
 \end{array}$$

We now compare Ω_K with $\Omega_{\tilde{K}}$. They are both trivial on the inertia groups $G_0(K^{\text{sep}}/K)$ and $G_0(\tilde{K}^{\text{sep}}/\tilde{K})$. We remark further that the rule $\sigma \mapsto \sigma|_{\tilde{K}^{\text{sep}}}$

maps the inertia group $G_0(K^{\text{sep}}/K)$ to $G_0(\tilde{K}^{\text{sep}}/\tilde{K})$. If Φ_K is a Frobenius element of $W(K^{\text{sep}}/K)$, then $\Phi_K|_{\tilde{K}^{\text{sep}}}$ is the f -th power of a Frobenius element $\Phi_{\tilde{K}}$ of $W(\tilde{K}^{\text{sep}}/\tilde{K})$. This yields the equation

$$\Omega_{\tilde{K}}(\Phi_K|_{\tilde{K}^{\text{sep}}}) = \Omega_{\tilde{K}}(\Phi_{\tilde{K}}^f) = \left(\frac{i}{\sqrt{2}}\right)^f = \Omega_K(\Phi_K).$$

So we have the commutative diagram

Figure 3:

$$\begin{array}{ccc} W(K^{\text{sep}}/K) & \xrightarrow{\sigma \mapsto \sigma|_{\tilde{K}^{\text{sep}}}} & W(\tilde{K}^{\text{sep}}/\tilde{K}) \\ \Omega_K \searrow & & \swarrow \Omega_{\tilde{K}} \\ & \text{GL}_2(V) & \end{array}$$

Now we get the required result by tensoring both diagrams and dividing out the subgroup $W(K^{\text{sep}}/L)$ on the left and $W(\tilde{K}^{\text{sep}}/\tilde{L})$ on the right hand side. \square

The significance of the last proposition is that we only have to consider the case $K = \mathbb{F}_2((T))$ and $\beta = T^{-1}$, what we will do now.

4 The special case $K = \mathbb{F}_2((T))$ and $\beta = T^{-1}$

Throughout this section we assume $K = \mathbb{F}_2((T))$ and $\beta = T^{-1}$. We note that $K(\varphi)/K$ is an unramified extension. Further we have the equations

$$\beta = E^3 + E^6 + E^9 + E^{12}$$

and

$$F_0 + F_0^2 = E^3 + E^2 + E.$$

Since $\nu_K(\beta) = -1$, we conclude that $\nu_K(E) = -\frac{1}{12}$ and $\nu_K(F_0) = -\frac{1}{24}$. In particular $L/K(\varphi)$ must be totally ramified of degree 24. So L/K has maximal degree 48. Since we obtained L by adjoining coordinates of 3-torsion points, we have the inclusion $G(L/K) \hookrightarrow \text{GL}_2(\mathbb{F}_3)$ and therefore an isomorphism

$$G(L/K) \cong \text{GL}_2(\mathbb{F}_3).$$

So we can consider $\rho_{0,\beta}^K$ as a representation of $\mathrm{GL}_2(\mathbb{F}_3)$. We now apply the representation theory of $\mathrm{GL}_2(\mathbb{F}_3)$, which can be found for example in [2]. We briefly recall some basic facts.

Referring to the table on page 70, loc. cit., all two dimensional irreducible representations of $\mathrm{GL}_2(\mathbb{F}_3)$ are cuspidal. The cuspidal representations of the group $\mathrm{GL}_2(\mathbb{F}_3)$ are parametrised by the regular characters of \mathbb{F}_9^* . A character $\mu : \mathbb{F}_9^* \rightarrow \mathbb{C}^*$ is called regular if it does not agree with the conjugate character $\bar{\mu}$. The conjugate character $\bar{\mu}$ is defined by $\bar{\mu}(x) := \mu(\bar{x})$, where \bar{x} is the conjugate of x over \mathbb{F}_3 . This conjugation of characters yields an equivalence relation on the set of all regular characters of \mathbb{F}_9 . Each equivalence class corresponds to an isomorphism class of cuspidal representations of $\mathrm{GL}_2(\mathbb{F}_3)$. As a generator of \mathbb{F}_9^* we choose the element $\zeta = 1 + \sqrt{-1}$. We further choose the characters μ_1, μ_2 , and μ_5 defined by $\mu_k(\zeta) = (e^{i\frac{\pi}{4}})^k$ for $k = 1, 2, 5$ as a system of representatives of the equivalence classes of regular characters. By ρ_k for $k = 1, 2, 5$ we denote the corresponding isomorphism classes of cuspidal representations of $\mathrm{GL}_2(\mathbb{F}_3)$. Since μ_2 is not injective, the representation ρ_2 is not injective either. So we only have to decide whether $\rho_{0,\beta}^K$ is isomorphic to ρ_1 or ρ_5 .

To do so we must identify $G(L/K)$ and $\mathrm{GL}_2(\mathbb{F}_3)$ by choosing a basis for the \mathbb{F}_3 -vector space of 3-torsion points. Our choice is the basis (P_{11}, P_{21}) . Then we have the following result.

Proposition 4.1 *The representation $\rho_{0,\beta}^K$ is isomorphic to ρ_5 .*

PROOF.

Let $\sigma \in G(L/K)$ be the automorphism whose operation on the 3-torsion points is expressed by the matrix

$$\begin{pmatrix} 0 & 1 \\ 1 & -1 \end{pmatrix} = \begin{pmatrix} 0 & -\zeta\bar{\zeta} \\ 1 & \zeta + \bar{\zeta} \end{pmatrix}.$$

According to [2, p. 70] we have

$$\begin{aligned} \mathrm{Tr} \left(\mu_1 \left(\begin{pmatrix} 0 & 1 \\ 1 & -1 \end{pmatrix} \right) \right) &= -\mu_1(\zeta) - \mu_1(\bar{\zeta}) \\ &= -\mu_1(\zeta) - \mu_1(\zeta^3) \\ &= -e^{i\frac{\pi}{4}} - e^{i\frac{3\pi}{4}} \\ &= -i\sqrt{2}. \end{aligned}$$

We now determine the action of $\sigma(\varphi)$. Recall that $\mathrm{SL}_2(\mathbb{F}_3)$ is the only subgroup of $\mathrm{GL}_2(\mathbb{F}_3)$ of index two. As a consequence, $K(\varphi)/K$ is the only

subfield of L quadratic over K . Since the matrix corresponding to σ is not contained in $\mathrm{SL}_2(\mathbb{F}_3)$, we must have $\sigma(\varphi) \neq \varphi$.

Next we construct an appropriate extension of σ , which will enable us to calculate $\rho_{0,\beta}^K(\sigma)$ approximately. Therefore let $\tilde{\sigma} \in W(K^{\mathrm{sep}}/K)$ be an arbitrary extension of σ . For a fixed Frobenius element Φ_K we have $\tilde{\sigma} = \Phi_K^j \sigma_0$, where $j \in \mathbb{Z}$ and $\sigma_0 \in G_0(K^{\mathrm{sep}}/K)$. Since $f(L/K) = 2$ and $\sigma(\varphi) \neq \varphi$, we conclude that j is odd and Φ_K^{j-1} is trivial on L . So $\sigma^* := \Phi_K \sigma_0$ is also an extension of σ . Further we have

$$\Omega_K(\sigma^*) = \frac{i}{\sqrt{2}}.$$

Now assume that $\rho_{0,\beta}^K$ is isomorphic to ρ_1 . Then we have

$$\begin{aligned} \mathrm{Tr}(\pi_{0,\beta}^K(\sigma^*)) &= \Omega_K^{-1}(\sigma^*) \mathrm{Tr}(\rho_{0,\beta}^K(\sigma)) \\ &= \frac{\sqrt{2}}{i} (-i\sqrt{2}) \\ &= -2. \end{aligned}$$

On the other hand, the operation of σ^* on the 3-torsion points yields the congruence

$$\begin{aligned} \mathrm{Tr}(\pi_{0,\beta}^K(\sigma^*)) &\equiv \mathrm{Tr}\left(\begin{pmatrix} 0 & 1 \\ 1 & -1 \end{pmatrix}\right) \pmod{3\mathbb{Z}_3} \\ &\equiv 2 \pmod{3\mathbb{Z}_3}. \end{aligned}$$

This is clearly a contradiction. So our assumption needs to be false and we conclude that $\rho_{0,\beta}^K$ is isomorphic to ρ_5 . \square

Now the second question asked in the introduction is completely answered. But this answer is less satisfactory than it appears on a first view, since it fails to reveal the ramification properties of $\pi_{\alpha,\beta}$. This question will be addressed in the next section.

5 The ramification properties of $\pi_{\alpha,\beta}$

In this section we will calculate the conductor of $\pi_{\alpha,\beta}$ in the general case, where α is arbitrary and $\nu_K(\beta) < 0$. Therefore we need to consider the extension L/K more closely. We define the elements

$$D_\varphi := \varphi E + (\varphi E)^2 \quad \text{and} \quad D_{\varphi^2} := \varphi^2 E + (\varphi^2 E)^2.$$

This yields $D_\varphi + (D_\varphi)^2 = \varphi\gamma$ and $D_{\varphi^2} + (D_{\varphi^2})^2 = \varphi^2\gamma$, which should be compared with the relation $D + D^2 = \gamma$. So the elements D_φ and D_{φ^2} describe how D changes if we choose $\varphi\gamma$ or $\varphi^2\gamma$ instead of γ as a third root of β . Later we will see that this change of D in dependence of the choice of γ becomes important for the calculation of the conductor.

In order to calculate $\text{cond}(\pi_{\alpha,\beta})$ (see section 1), we have to calculate the higher ramification groups $G_i(L/K)$ for $i > 0$. We begin with a closer look at $G_1(L/K)$. Since $K(\varphi, \gamma)/K$ is tamely ramified, we have

$$G_1(L/K) \subset G(L/K(\varphi, \gamma)).$$

Lemma 5.1 *Let $\sigma \in G_1(L/K)$. Then all possible values for the pair*

$$(\sigma(E), \sigma(F_\alpha))$$

are listed in the following table:

Table 1: Possible elements of $G_1(L/K)$

| $\sigma(\mathbf{E})$ | $\sigma(\mathbf{F}_\alpha)$ |
|----------------------|---------------------------------|
| E | F_α |
| E | $F_\alpha + 1$ |
| $E + 1$ | $F_\alpha + E + \varphi$ |
| $E + 1$ | $F_\alpha + E + \varphi + 1$ |
| $E + \varphi$ | $F_\alpha + (\varphi + 1)E$ |
| $E + \varphi$ | $F_\alpha + (\varphi + 1)E + 1$ |
| $E + \varphi + 1$ | $F_\alpha + \varphi E$ |
| $E + \varphi + 1$ | $F_\alpha + \varphi E + 1$ |

For the order of σ we have

$$\text{ord}(\sigma) = \begin{cases} 1 & \text{if } \sigma(E) = E \text{ and } \sigma(F_\alpha) = F_\alpha \\ 2 & \text{if } \sigma(E) = E \text{ and } \sigma(F_\alpha) = F_\alpha + 1 \\ 4 & \text{else.} \end{cases}$$

PROOF.

Since σ leaves $\gamma = E + E^4$ invariant, we have the identity

$$\sigma(E) + \sigma(E^4) = E + E^4.$$

On the other hand, we have $E + a + (E + a)^4 = E + E^4 + a + a^4$ for all $a \in \mathbb{F}_4 = \{0, 1, \varphi, \varphi + 1\}$. So $E, E + 1, E + \varphi, E + \varphi + 1$ are exactly the possible values for $\sigma(E)$.

In the case $\sigma(E) = E$ we obtain from $F_\alpha + F_\alpha^2 = (D+1)E + \alpha$ the equation

$$\sigma(F_\alpha) + \sigma(F_\alpha)^2 = (D+1)E + \alpha,$$

which has the solutions $\sigma(F_\alpha) = F_\alpha$ and $\sigma(F_\alpha) = F_\alpha + 1$. We leave it to the reader as an exercise to check that we obtain the equation

$$\sigma(F_\alpha) + \sigma(F_\alpha)^2 = (D+1)(E+1) + \alpha$$

in the case $\sigma(E) = E+1$, the equation

$$\sigma(F_\alpha) + \sigma(F_\alpha)^2 = D(E+\varphi) + \alpha$$

in the case $\sigma(E) = E+\varphi$, and

$$\sigma(F_\alpha) + \sigma(F_\alpha)^2 = D(E+\varphi+1) + \alpha$$

in the case $\sigma(E) = E+\varphi+1$. Further the reader should check that the values for $\sigma(F_\alpha)$ given in the table are all possible solutions of these equations.

There remains the calculation of $\text{ord}(\sigma)$. In the case $\sigma(E) = E$ it is clear that $\text{ord}(\sigma) = 1$ if $\sigma(F_\alpha) = F_\alpha$ and $\text{ord}(\sigma) = 2$ if $\sigma(F_\alpha) = F_\alpha + 1$. In all other cases we have only to show that $\sigma^2(E) = E$ and $\sigma^2(F_\alpha) = F_\alpha + 1$, which we leave again as an exercise. \square

We now calculate for every possible $\sigma \in G_1(L/K)$ the numbers

$$i_{L/K}(\sigma) := \nu_L(\sigma(T_L) + T_L),$$

where T_L is an arbitrary uniformizer of L . Let us recall some basic facts about these numbers, which can be found in [4, Chap. 4]. We assume that we have a tower $M \supset N \supset K$, where M/K is Galois. First we have the identity

$$i_{M/K}(\sigma) = i_{M/N}(\sigma) \tag{1}$$

for every $\sigma \in G(M/N)$. Secondly, if N/K is Galois then

$$i_{N/K}(\sigma) = \frac{1}{e(M/N)} \sum_{\substack{s \in G(M/K) \\ s|_N = \sigma}} i_{M/K}(s) \tag{2}$$

for each $\sigma \in G(N/K)$. Finally we have the relation

$$d(M/K) = \sum_{\sigma \in G(M/K) \setminus \{\text{id}_M\}} i_{M/K}(\sigma), \tag{3}$$

where $d(M/K)$ denotes the different exponent of M/K .

Lemma 5.2 1. Let $\sigma \in G_1(L/K)$ with $\sigma(E) = E$ and $\sigma(F_\alpha) = F_\alpha + 1$.
Then we have

$$i_{L/K}(\sigma) = d(L/K(\varphi, E)).$$

2. If $d(L/K(\varphi, E)) > 0$ then there is a $\sigma \in G_1(L/K)$ with $\sigma(E) = E$ and $\sigma(F_\alpha) = F_\alpha + 1$.

PROOF.

Assertion (1) is just a simple application of (1) and (3). To show (2), just note that $L/K(\varphi, E)$ has to be wildly ramified of degree two. Therefore an automorphism σ with the required properties exists. \square

Lemma 5.3 1. Let $\sigma \in G_1(L/K)$ with $\sigma(E) = E + 1$. Then we have

$$i_{L/K}(\sigma) = d(K(E)/K(D)).$$

2. If $d(K(E)/K(D)) > 0$ then there are two different automorphisms $\sigma \in G_1(L/K)$ with the property $\sigma(E) = E + 1$.

PROOF.

Ad (1). An easy calculation shows that σ has order 4 and that $\sigma^3(E) = E + 1$. Every subgroup of $G(L/K)$ which contains σ also contains σ^3 and vice versa. Therefore we have $i_{L/K}(\sigma) = i_{L/K}(\sigma^3)$. Applying (1), (2), and (3) we get

$$\begin{aligned} \frac{2}{e(L/K(\varphi, E))} i_{L/K}(\sigma) &= i_{K(\varphi, E)/K}(\sigma |_{K(\varphi, E)}) \\ &= i_{K(\varphi, E)/K(\varphi, D)}(\sigma |_{K(\varphi, E)}) \\ &= d(K(\varphi, E)/K(\varphi, D)). \end{aligned}$$

Since $K(\varphi, D)$ is the fixed field of $\langle \sigma \rangle$ and $\sigma \in G_1(L/K) \subset G_1(L/K(\varphi, D))$, the extension $L/K(\varphi, D)$ needs to be totally ramified. It follows that

$$i_{L/K}(\sigma) = d(K(\varphi, E)/K(\varphi, D)).$$

Finally note that the transitivity property of the different gives us

$$d(K(\varphi, E)/K(\varphi, D)) = d(K(E)/K(D)).$$

Ad (2). Let $\tilde{\sigma}$ be the unique non-trivial element of $G(K(\varphi, E)/K(\varphi, D))$ and $\sigma \in G(L/K(\varphi, D))$ an extension of $\tilde{\sigma}$. Then we have $\sigma(E) = E + 1$. In order to show that σ is in $G_1(L/K)$, it suffices to show that $L/K(\varphi, D)$ is totally

ramified. Since σ has order 4, the extension $L/K(\varphi, D)$ is cyclic of degree 4. Let K' be the maximal unramified subextension of $L/K(\varphi, D)$. From $d(K(E)/K(D)) > 0$ we conclude that the degree of $K'/K(\varphi, D)$ is at most two. If it were two we had $K' = K(\varphi, E)$, which is impossible. Thus we have shown that σ has the required properties. Finally it is easily seen that σ^3 is also an element of $G_1(L/K)$ for which $\sigma^3(E) = E + 1$ holds. \square

In the same way we get the following two lemmata.

Lemma 5.4 1. Let $\sigma \in G_1(L/K)$ with $\sigma(E) = E + \varphi + 1$. Then we have

$$i_{L/K}(\sigma) = d(K(\varphi E)/K(D_\varphi)).$$

2. If $d(K(\varphi E)/K(D_\varphi)) > 0$ then there are two different automorphisms $\sigma \in G_1(L/K)$ with the property $\sigma(E) = E + \varphi + 1$.

Lemma 5.5 1. Let $\sigma \in G_1(L/K)$ with $\sigma(E) = E + \varphi$. Then we have

$$i_{L/K}(\sigma) = d(K(\varphi^2 E)/K(D_{\varphi^2})).$$

2. If $d(K(\varphi^2 E)/K(D_{\varphi^2})) > 0$ then there are two different automorphisms $\sigma \in G_1(L/K)$ with the property $\sigma(E) = E + \varphi$.

Now we are able to calculate the numbers $\#G_i(L/K)$.

Proposition 5.6 Let

$$r := \min\{d(K(E)/K(D)), d(K(\varphi E)/K(D_\varphi)), d(K(\varphi^2 E)/K(D_{\varphi^2}))\},$$

$$s := \max\{d(K(E)/K(D)), d(K(\varphi E)/K(D_\varphi)), d(K(\varphi^2 E)/K(D_{\varphi^2}))\},$$

and

$$t := d(L/K(\varphi, E)).$$

Then we have

$$\#G_i(L/K) = \begin{cases} 8 & \text{if } i < r \\ 4 & \text{if } r \leq i < s \\ 2 & \text{if } s \leq i < t \\ 1 & \text{if } t \leq i \end{cases}$$

for all $i \in \mathbb{N}_0$.

PROOF.

Since $G_i(L/K)$ is a 2-group for $i > 0$, the only possible values for $\#G_i(L/K)$ are 1, 2, 4, and 8. We now only have to apply the last four lemmata.

If $i < r$ then $G_1(L/K)$ must contain two automorphisms which send E to $E+1$, two which send E to $E+\varphi$ and another two which send E to $E+\varphi+1$. So we have $\#G_i(L/K) = 8$.

If $r \leq i < s$ then there is either no element of $G_1(L/K)$ which takes E to $E+1$ or no element which takes E to $E+\varphi$ or no element which takes E to $E+\varphi+1$. So we have $\#G_i(L/K) \leq 4$. On the other hand there must be two elements of $G_i(L/K)$ which take E to $E+1$, $E+\varphi$ or $E+\varphi+1$. Since $G_i(L/K)$ contains the identity element, we get $\#G_i(L/K) = 4$.

In the case $s \leq i < t$ the group $G_i(L/K)$ contains no automorphism which takes E to $E+1$, $E+\varphi$ or $E+\varphi+1$, but an automorphism σ with $\sigma(E) = E$ and $\sigma(F_\alpha) = F_\alpha + 1$. This gives us $\#G_i(L/K) = 2$.

In the case $t \leq i$ the group $G_i(L/K)$ contains only the identity element. \square

Lemma 5.7 *For all $i \in \mathbb{N}$ the fixed space $V^{G_i(L/K)}$ is either V or 0.*

(Recall that V is the representation space of $\pi_{\alpha,\beta}$.)

PROOF.

If $G_i(L/K)$ is trivial then we have $V^{G_i(L/K)} = V$. If $G_i(L/K)$ is not trivial then it contains an element σ which has order two. According to 5.1 we have $\sigma(E) = E$ and $\sigma(F_\alpha) = F_\alpha + 1$. Since σ leaves the values x_1, x_2, x_3 , and x_4 invariant it has to act as the scalar -1 on the 3-torsion points. Applying [2, p. 70] gives us $\text{Tr}(\rho_{\alpha,\beta}^K(\sigma)) = -2$. So $\rho_{\alpha,\beta}^K(\sigma)$ needs to be the scalar -1 . Therefore $\pi_{\alpha,\beta}(\sigma)$ is a non-trivial scalar, so $V^{G_i(L/K)} = 0$. \square

Now we can state our main result.

Theorem 5.8 *Let*

$$r' := \min\{d(K(E)/K(D)t), d(K(\varphi E)/K(D_\varphi)), d(K(\varphi^2 E)/K(D_{\varphi^2}))\},$$

$$s' := \max\{d(K(E)/K(D)), d(K(\varphi E)/K(D_\varphi)), d(K(\varphi^2 E)/K(D_{\varphi^2}))\},$$

and

$$t' := d(L/K(\varphi, E)).$$

Further we define the numbers $r := \max\{r' - 1, 0\}$, $s := \max\{s' - 1, 0\}$, and $t := \max\{t' - 1, 0\}$. Then we have

$$\text{cond}(\pi_{\alpha,\beta}) = \begin{cases} 0 & \text{if } L/K \text{ is unramified} \\ 2 + \frac{8r+4(s+t)}{e(L/K)} & \text{if } L/K \text{ is ramified.} \end{cases}$$

PROOF.

If L/K is unramified then clearly $G_i(L/K) = \{1\}$ for all $i \geq 1$. Therefore $\text{cond}(\pi_{\alpha,\beta}) = 0$. We now consider the case where L/K is ramified. Using the abbreviation $g_i := \#G_i(L/K)$ we have

$$\begin{aligned}
\text{cond}(\pi_{\alpha,\beta}) &= \frac{2}{e(L/K)} \sum_{i=0}^t g_i \\
&= 2 + \frac{2}{e(L/K)} \left(\sum_{i=1}^r g_i + \sum_{i=r+1}^s g_i + \sum_{i=s+1}^t g_i \right) \\
&= 2 + \frac{2}{e(L/K)} (8r + 4(s-r) + 2(t-s)) \\
&= 2 + \frac{8r + 4(s+t)}{e(L/K)}.
\end{aligned}$$

□

6 Concluding Remark

The descriptions of the higher ramification groups $G_i(L/K)$ in 5.6 and of the conductor of $\pi_{\alpha,\beta}$ in 5.8 are not quite explicit, since they depend on the calculation of the different exponents of the extensions

$$K(E)/K(D), \quad K(\varphi E)/K(D_\varphi), \quad K(\varphi^2 E)/K(D_{\varphi^2}), \quad \text{and} \quad L/K(\varphi, E).$$

Therefore, we would like to add that there is a way to determine these differentials by explicit calculations in K in dependence of β and α . These calculations, too involved to present here, are carried out in [1].

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