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having superquadratic growth**

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## Abstract

We discuss partial regularity results concerning local minimizers  $u : \mathbb{R}^3 \supset \Omega \rightarrow \mathbb{R}^3$  of variational integrals of the form

$$\int_{\Omega} \{h(|\epsilon(w)|) - f \cdot w\} dx$$

defined on appropriate classes of solenoidal fields, where  $h$  is a  $N$ -function of rather general type. As a byproduct we obtain a theorem on partial  $C^1$ -regularity for weak solutions of certain non-uniformly elliptic Stokes-type systems modelling generalized Newtonian fluids.

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## 1 Introduction

As stated in the monograph of Ladyzhenskaya (see [La], p. 35) the Stokes problem in its classical formulation for the stationary case reads as follows: find a velocity field  $v : \Omega \rightarrow \mathbb{R}^n$  and a pressure function  $\pi : \Omega \rightarrow \mathbb{R}$  such that the following system of partial differential equations is satisfied

$$\begin{cases} \Delta v = \nabla \pi - f & \text{on } \Omega, \\ \operatorname{div} v = 0 & \text{on } \Omega, \\ v = v_0 & \text{on } \partial\Omega. \end{cases} \quad (1.1)$$

Here  $\Omega$  denotes a domain in  $\mathbb{R}^n$  ( $n \in \{2, 3\}$ ),  $f : \Omega \rightarrow \mathbb{R}^n$  is a system of volume forces and  $v_0 : \partial\Omega \rightarrow \mathbb{R}^n$  represents the given boundary data. For results concerning existence and regularity of solutions of (1.1) we again refer to [La] or to the more recent expositions [Ga1,2] of Galdi. If we let  $H(\epsilon) = \frac{1}{2}|\epsilon|^2$ , then the solutions of (1.1) are clearly in one-to-one correspondence to the minimizers of

$$J[w] := \int_{\Omega} \{H(\epsilon(w)) - f \cdot w\} dx \quad (1.2)$$

defined on an appropriate class of solenoidal fields  $w : \Omega \rightarrow \mathbb{R}^n$ ,  $\epsilon(w)$  denoting the symmetric gradient, i.e.  $\epsilon(w) = \frac{1}{2}(\nabla w + \nabla w^T)$

A natural extension of this problem also proposed by Ladyzhenskaya (compare [La], p. 193) is to consider minimizers of (1.2) with potential  $H$  being of power growth in the sense that

$$\lambda(1 + |\epsilon|^2)^{\frac{p-2}{2}} |\sigma|^2 \leq D^2 H(\epsilon)(\sigma, \sigma) \leq \Lambda(1 + |\epsilon|^2)^{\frac{p-2}{2}} |\sigma|^2$$

holds for all  $\epsilon, \sigma \in \mathbb{S}$  with positive constants  $\lambda, \Lambda$  and for an exponent  $p > 1$ , where  $\mathbb{S}$  is the space of symmetric  $n \times n$ -matrices. In this case the first equation in (1.1) is replaced by the nonlinear system

$$\operatorname{div} \{ \nabla H(\epsilon(v)) \} = \nabla \pi - f \quad \text{on } \Omega,$$

where on the l.h.s. the operator “div” has to be applied linewise. For these power law models full interior  $C^{1,\alpha}$ -regularity in the 2D case has been proved by Kaplický, Málek and Stará [KMS] and Wolf [Wo], whereas the higher dimensional situation is studied for example in Naumann and Wolf [NW]. For partial regularity results in dimensions  $n \geq 3$  we also refer to [FGR] and [Fu4]. The reader should note that the related but much more difficult case of power law models with  $x$ -dependent exponents describing the behavior of electrorheological fluids has been investigated by Acerbi and Mingione [AM]. In the paper [BF1] Bildhauer and the second author consider the minimization problem now under so-called anisotropic growth conditions, i.e. they assume the validity of

$$\lambda(1 + |\epsilon|^2)^{\frac{p-2}{2}} |\sigma|^2 \leq D^2 H(\epsilon)(\sigma, \sigma) \leq \Lambda(1 + |\epsilon|^2)^{\frac{q-2}{2}} |\sigma|^2$$

with exponents  $1 < p \leq q < \infty$  and constants  $\lambda, \Lambda > 0$ . It should be remarked that such a behavior of the potential  $H$  is suggested for example in Section 5.1 of the monograph [MNR] of Málek, Necăs, Rokyta and Růžička. The main result of the paper [BF1] is a partial  $C^{1,\alpha}$ -regularity theorem in general dimensions  $n$  using the hypothesis

$$q < p \frac{n+2}{n}$$

limiting the range of anisotropy. This corresponds to the result being valid in the framework of classical variational calculus (see [BF2]), and in general there is no hope for regularity if  $p$  and  $q$  differ too much (compare the counterexamples of Giaquinta [Gi] and Hong [Ho] in this context). For completeness we like to mention that in the case  $n = 2$  the hypothesis  $q < \min(2p, p+2)$  is a sufficient condition for full regularity of stationary and also slow anisotropic flows, see [BFZ].

In this note we will follow the ideas of [Fu1] and [Fu2], where the author proves full regularity in two dimensions including the case of fluids and partial regularity for  $n \geq 3$  in the setting of variational calculus for integrands depending on the modulus, i.e. the dissipative potential  $H$  is of the special form

$$H(\epsilon) = h(|\epsilon|), \quad \epsilon \in \mathbb{S},$$

which seems to be a natural assumption for the study of fluids. Here  $h : [0, \infty) \rightarrow [0, \infty)$  is a  $C^2$ -function satisfying:

$$\begin{aligned} &h \text{ is strictly increasing and convex together with} \\ &h''(0) > 0 \quad \text{and} \quad \lim_{t \downarrow 0} \frac{h(t)}{t} = 0; \end{aligned} \tag{A1}$$

there exists a constant  $\bar{k} > 0$  such that  $h(2t) \leq \bar{k}h(t)$  for all  $t \geq 0$ ; (A2)

$$\frac{h'(t)}{t} \leq h''(t) \leq a(1+t^2)^{\frac{\omega}{2}} \frac{h'(t)}{t} \quad \text{for all } t \geq 0 \quad (\text{A3})$$

with an exponent  $\omega \geq 0$  and a constant  $a \geq 0$ ;

Let us give some comments on (A1-3):

- i) We have  $h(0) = h'(0) = 0$ , and by convexity  $h'$  is an increasing function with  $h'(t) > 0$  for all  $t > 0$ : otherwise it would follow that  $h' = 0$  on some interval  $[0, t_0]$ ,  $t_0 > 0$ , contradicting the first part of (A1).
- ii) The inequality  $\frac{h'(t)}{t} \leq h''(t)$  implies that the function  $t \mapsto \frac{h'(t)}{t}$  is increasing, moreover we deduce the lower bound

$$h(t) \geq \frac{1}{2}h''(0)t^2, \quad t \geq 0, \quad (1.3)$$

and (A1) combined with (1.3) shows that  $h$  is a  $N$ -function in the sense of Adams [Ad, Section 8.2].

- iii) (A2) states that  $h$  satisfies a global  $(\Delta 2)$ -condition, and it is easy to see that

$$h(t) \leq c(t^{\bar{q}} + 1) \quad (1.4)$$

for a suitable exponent  $\bar{q} \geq 2$  and a constant  $c$ . The convexity of  $h$  then implies that  $h'(t)$  can be bounded in terms of  $t^{\bar{q}-1}$ .

- iv) From (A2) and from the convexity of  $h$  we deduce the inequality

$$\bar{k}^{-1} h'(t)t \leq h(t) \leq th'(t), \quad t \geq 0. \quad (1.5)$$

- v) From (A3) we conclude the ellipticity condition

$$\frac{h'(|Z|)}{|Z|} |Y|^2 \leq D^2H(Z)(Y, Y) \leq a(1 + |Z|^2)^{\frac{\omega}{2}} \frac{h'(|Z|)}{|Z|} |Y|^2. \quad (1.6)$$

Recalling iii) and using ( see ii))  $\frac{h'(|Z|)}{|Z|} \geq h''(0)$ , we get from (1.6) with exponent  $q := \bar{q} + \omega$

$$h''(0)|Y|^2 \leq D^2H(Z)(Y, Y) \leq C(1 + |Z|^2)^{\frac{q-2}{2}} |Y|^2, \quad (1.7)$$

and (1.7) means that  $H$  is of anisotropic  $(2, q)$ -growth.

- vi) In physical terms our conditions on  $h$  imply that the fluid described by the potential  $H$  is of shear thickening type.

vii) Let  $\Theta : [0, \infty) \rightarrow [0, \infty)$  denote a continuous and increasing function such that  $\Theta(0) > 0$ . If in addition  $\Theta$  has the  $(\Delta_2)$ -property, then it is shown in [BF3], that

$$h(t) := \int_0^t \int_0^s \Theta(u) \, du \, ds$$

satisfies (A1)-(A3) with exponent  $\omega = 0$ .

Suppose now that we are given a function  $u_0$  from the Orlicz-Sobolev class  $W^{1,h}(\Omega, \mathbb{R}^n)$  generated by  $h$  (see [Ad] for a definition) satisfying  $\operatorname{div} u_0 = 0$ . We define the class

$$\mathcal{C} := \left\{ w \in u_0 + W_0^{1,h}(\Omega, \mathbb{R}^n) : \operatorname{div} w = 0 \right\},$$

$W_0^{1,h}(\Omega, \mathbb{R}^n)$  denoting the subspace of  $W^{1,h}(\Omega, \mathbb{R}^n)$  of fields with zero trace, and deduce from Lemma 4.2 the unique solvability of the variational problem

$$\mathbb{J}[w, \Omega] := \int_{\Omega} h(|\varepsilon(w)|) \, dx \longrightarrow \min \quad (1.8)$$

in  $\mathcal{C}$ . Of course we could also add a volume force term like  $\int_{\Omega} f \cdot w \, dx$  to (1.8) which under appropriate assumptions on  $f$  is of no effect on the argumentation below. Since we will not touch the question of boundary regularity, we restrict ourselves to local minimizers of (1.8).

**Definition 1.1.** *We call a function  $u$  from the local Orlicz-Sobolev space  $W_{loc}^{1,h}(\Omega, \mathbb{R}^n)$  satisfying  $\operatorname{div} u = 0$  a local minimizer of (1.8), if for any subdomain  $\Omega' \Subset \Omega$  it holds*

- $\mathbb{J}[u, \Omega'] < \infty$  and
- $\mathbb{J}[u, \Omega'] \leq \mathbb{J}[v, \Omega']$

for all  $v \in W_{loc}^{1,h}(\Omega, \mathbb{R}^n)$  such that  $\operatorname{div} v = 0$  and  $\operatorname{spt}(u - v) \subset \Omega'$ .

Abbreviating

$$V_{h,loc}^0(\Omega, \mathbb{R}^n) := \left\{ w \in W_{loc}^{1,h}(\Omega, \mathbb{R}^n) : \operatorname{div} w = 0 \right\}$$

we can now state our main results in case  $n = 3$  (full regularity in 2D is proved in [Fu2] even under weaker hypotheses concerning  $h$ ):

**THEOREM 1.1.** *Let  $u \in V_{h,loc}^0(\Omega)(\Omega, \mathbb{R}^3)$  be a local minimizer of (1.8) under the assumptions (A1)-(A3) with  $\omega < 4/3$ . Then we have*

- a)  $\int_0^{|\varepsilon(u)|} \sqrt{\frac{h'(t)}{t}} \, dt \in W_{loc}^{1,2}(\Omega);$
- b)  $h(|\varepsilon(u)|) \in L_{loc}^3(\Omega).$



**THEOREM 1.2.** *Let  $u \in V_{h,loc}^0(\Omega, \mathbb{R}^3)$  be a local minimizer of (1.8) under the assumptions (A1)-(A3) with  $\omega < 4/3$ . Then there is an open subset  $\Omega_0$  of  $\Omega$  with full Lebesgue measure such that  $u \in C^{1,\alpha}(\Omega_0, \mathbb{R}^3)$  for any  $0 < \alpha < 1$ .*

An explicit description of the set  $\Omega_0$  is given after Lemma 3.1. Unfortunately we could not rule out the occurrence of singular points (for  $\nabla u$ ), but even if they exist, the solution itself is at least continuous. In fact, from Theorem 1.1 b) combined with (1.3) it follows that  $|\varepsilon(u)| \in L_{loc}^6(\Omega)$  holds, and we deduce from Korn's inequality (see e.g. [FS] or [AM]) and Sobolev's embedding theorem

**Corollary 1.1.** *Under the assumptions of Theorem 1.1 and 1.2 any local minimizer of problem (1.8) is locally Hölder continuous with exponent  $1/2$ .*

**Remark 1.1.** *It is easy to see that the statement of Theorem 1.1 remains valid in higher dimensions, which means that we get  $h(|\varepsilon(u)|) \in L_{loc}^{\frac{n}{n-2}}(\Omega)$  provided  $\omega < 4/n$ . This corresponds to the result obtained in [Fu2], where it is shown that  $\omega < 2$  is a sufficient condition for full regularity in the 2D case.*

**Remark 1.2.** *In the setting of classical variational problems studied in [Fu1] the appropriate variant of Theorem 1.2 requires the bound  $\omega \leq 4$ , if the case  $n = 3$  is considered (compare [Fu1], Remark 1.1). Actually, as it was recently shown in [Fu5], local minimizers satisfy a local Lipschitz condition independent of the value of  $\omega$  and for any dimension  $n \geq 2$ .*

**Remark 1.3.** *It is an open problem if the bound on  $\omega$  imposed in Theorem 1.1 and Theorem 1.2 can be improved. Clearly, if we drop the side condition  $\operatorname{div} = 0$ , then we obtain the result of Theorem 1.2 now for  $\omega \leq 4$  by completely adopting the arguments from [Fu1], but this statement seems to be of no physical interest. A very challenging task however is to discuss if in the setting of Theorem 1.2 actually singular points occur and if the value of  $\omega$  is of any importance for the regular or irregular behaviour of minimizers.*

Our paper is organized as follows:

In section 2 we introduce a suitable sequence of regularized problems whose solutions are smooth enough to carry out the calculations which lead to the proof of Theorem 1.1 after passing to the limit. Section 3 contains the proof of the partial regularity result stated in Theorem 1.2. This step makes essential use of a blow-up argument. In section 4 we present some background material on Korn's inequality in Orlicz spaces.

## 2 Proof of Theorem 1.1

Let  $u$  denote a local minimizer of problem (1.8) under the assumptions of Theorem 1.1. A first step is to approximate (1.8) locally by variational problems with sufficiently regular minimizers. Let

$$\delta := \delta(\rho) := \frac{1}{1 + \rho^{-1} + \|(\varepsilon((u)_\rho))\|_{L^q(B)}^{2q}},$$

$$H_\delta(\epsilon) := \delta (1 + |\epsilon|^2)^{\frac{q}{2}} + H(\epsilon)$$

for  $\epsilon \in \mathbb{S}$  and for a small parameter  $\rho > 0$ . Here the exponent  $q$  is defined in (1.7) and  $(u)_\rho$  denotes the mollification of  $u$  with radius  $\rho$ . With  $B := B_{R_0}(x_0) \Subset \Omega$  we define  $u_\delta$  as the unique minimizer of

$$\mathbb{J}_\delta[w, B] := \int_B H_\delta(\epsilon(w)) dx \quad (2.1)$$

in  $(u)_\rho + W_0^{1,q}(B, \mathbb{R}^3)$  subject to the constraint  $\operatorname{div} w = 0$ . Some elementary properties of  $u_\delta$  are summarized in the following Lemma (see [BF1], Lemma 3.1, Lemma 4.1 and estimate (4.10), as well as the inequalities (12) and (13) from [Fu2] for part c)):

**Lemma 2.1.** *Let the hypothesis of Theorem 1.1 hold. Then we have*

- a)  $u_\delta \in W_{loc}^{2,2}(B, \mathbb{R}^3)$ ,
- b)  $\sigma_\delta := DH_\delta(\epsilon(u_\delta)) \in W_{loc}^{1,q/(q-1)}(B, \mathbb{S})$ ;
- c)  $(1 + |\epsilon(u_\delta)|^2)^{\frac{q}{4}} \in W_{loc}^{1,2}(B)$ ,  
and for all  $\eta \in C_0^\infty(B)$ ,  $Q \in \mathbb{R}^{3 \times 3}$  and  $\gamma \in \{1, \dots, n\}$  we obtain

$$\begin{aligned} & \int_B \eta^2 D^2 H_\delta(\epsilon(u_\delta)) (\partial_\gamma \epsilon(u_\delta), \partial_\gamma \epsilon(u_\delta)) dx \\ & \leq -2 \int_B \eta \partial_\gamma \tau_\delta : (\nabla \eta \odot \partial_\gamma [u_\delta - Qx]) dx. \end{aligned}$$

Here we have abbreviated  $\tau_\delta := \sigma_\delta - p_\delta I$  for a suitable pressure function  $p_\delta$ , i.e.  $\nabla p_\delta = \operatorname{div} \sigma_\delta$ , which implies  $\tau_\delta \in W_{loc}^{1,q/(q-1)}(B, \mathbb{S})$  together with

$$|\nabla \tau_\delta| \leq c |\nabla \sigma_\delta|.$$

- d) As  $\rho \rightarrow 0$  we have  $u_\delta \rightarrow u$  in  $W^{1,2}(B, \mathbb{R}^3)$  and

$$\delta \int_B (1 + |\epsilon(u_\delta)|^2)^{\frac{q}{2}} dx \rightarrow 0.$$

- e) The integrals  $\int_B h(|\epsilon(u_\delta)|) dx$  are bounded independent of  $\delta$ .

Furthermore we need the following statements:

**Lemma 2.2.** *Under the assumptions of Theorem 1.1 it holds:*

a)  $u_\delta$  is uniformly bounded in  $W^{1,h}(B, \mathbb{R}^3)$ .

b) The sequence  $h(|u_\delta|)$  is uniformly bounded in any space  $L^\chi(B)$ ,  $\chi < 3$ , so that

$$h(|u_\delta|)|u_\delta|^\mu \in L^1(B)$$

uniformly, provided  $\mu < 4$ .

**Proof of Lemma 2.2:** From Lemma 2.1 e) combined with Korn's inequality formulated in Lemma 4.1 a) we deduce that the  $L_h$ -norms of the tensors  $\nabla(u_\delta - (u)_\rho)$  can be estimated in terms of the corresponding norms of  $\nabla(u)_\rho$ , which in turn stay bounded due to Jensen's inequality. The claim of part a) then is a consequence of the Poincaré inequality (applied to  $u_\delta - (u)_\rho$ ) for functions from the space  $W_0^{1,h}(B, \mathbb{R}^3)$  (see [FO]). For the higher integrability result stated in part b) we first observe that according to a) the functions  $h(|u_\delta|)^{\frac{1}{\beta}}$  stay bounded (uniformly w.r.t. the approximation parameter) in any space  $L^\beta(B)$ , where  $\beta \in (1, 2)$ . By (1.5) we have

$$\int_B |\nabla h(|u_\delta|)^{\frac{1}{\beta}}|^\beta dx \leq c \int_B h'(|u_\delta|)|u_\delta|^{1-\beta} |\nabla u_\delta|^\beta dx$$

and in order to discuss the integral on the r.h.s. we define the  $N$ -function

$$\mathcal{N}(t) := h(t^{\frac{1}{\beta}}).$$

Note that  $\mathcal{N}$  actually is a  $N$ -function on account of (A3) and the choice of  $\beta$ . From (1.5) we deduce for the conjugate function

$$\begin{aligned} \mathcal{N}^*(t) &= \sup_{s \geq 0} \left[ t - \frac{h(s^{\frac{1}{\beta}})}{s} \right] s \leq \sup_{s \geq 0} \left[ t - \bar{k}^{-1} h'(s^{\frac{1}{\beta}}) s^{\frac{1}{\beta}-1} \right] s \\ &\leq \bar{h}^{-1}(\bar{k}t) t, \quad \bar{h}(t) := h'(t^{\frac{1}{\beta}}) t^{\frac{1}{\beta}-1}. \end{aligned}$$

We remark that the strict monotonicity of  $\bar{h}$  also follows from (A3) and our choice  $\beta < 2$ . We now apply Young's inequality for  $N$ -functions and obtain

$$\int_B h'(|u_\delta|)|u_\delta|^{1-\beta} |\nabla u_\delta|^\beta dx \leq \int_B \mathcal{N}^* \left( \bar{k}^{-1} h'(|u_\delta|)|u_\delta|^{1-\beta} \right) dx + c(\bar{k}) \int_B h(|\nabla u_\delta|).$$

Clearly the last integral is uniformly bounded by part a). For the first one we have the upper bound

$$c \int_B \bar{h}^{-1} \left( h'(|u_\delta|)|u_\delta|^{1-\beta} \right) h'(|u_\delta|)|u_\delta|^{1-\beta} dx$$

and the definition of  $\bar{h}$  gives (remember (1.5))

$$\bar{h}^{-1} \left( h'(t)t^{1-\beta} \right) h'(t)t^{1-\beta} = h'(t)t \leq \bar{k}h(t),$$

hence we can also control the remaining term independent of  $\delta$  by part a). Altogether it is shown that for each  $\beta \in (1, 2)$  the sequence  $h(|u_\delta|)^{\frac{1}{\beta}}$  stays bounded in the Sobolev-space  $W^{1,\beta}(B)$ , and our claim follows from Sobolev's embedding theorem recalling also (1.3).  $\square$

After these preparations we come to the proof of Theorem 1.1: in a first step we work with a cut-off function  $\eta_1 \in C_0^\infty(B_{\tilde{r}}(z))$  with  $\eta_1 \equiv 1$  on  $B_r(z)$ ,  $0 \leq \eta_1 \leq 1$  and  $|\nabla \eta_1| \leq c/(\tilde{r}-r)$ , where  $0 < r < R$  are such that  $B_R(z) \Subset B$  and  $\tilde{r} := \frac{R+r}{2}$ . We get by Sobolev's inequality

$$\begin{aligned} \int_{B_r(z)} h(|\varepsilon(u_\delta)|)^3 dx &\leq \int_{B_{\tilde{r}}(z)} \eta_1^6 h(|\varepsilon(u_\delta)|)^3 dx \\ &\leq c \left\{ \int_{B_{\tilde{r}}(z)} |\nabla \eta_1|^2 h(|\varepsilon(u_\delta)|) dx + \int_{B_{\tilde{r}}(z)} \eta_1^2 \frac{[h'(|\varepsilon(u_\delta)|)]^2}{h(|\varepsilon(u_\delta)|)} |\nabla \varepsilon(u_\delta)|^2 dx \right\}^3. \end{aligned}$$

Using (1.5), Lemma 2.1 e) and (1.6) we obtain for a suitable positive number  $\beta$  (summation w.r.t.  $\gamma \in \{1, 2, 3\}$ )

$$\begin{aligned} \int_{B_r(z)} h(|\varepsilon(u_\delta)|)^3 dx &\leq c(R-r)^{-\beta} + c \left\{ \int_{B_{\tilde{r}}(z)} \eta_1^2 \frac{h'(|\varepsilon(u_\delta)|)}{|\varepsilon(u_\delta)|} |\nabla \varepsilon(u_\delta)|^2 dx \right\}^3 \\ &\leq c(R-r)^{-\beta} + c \left\{ \int_{B_{\tilde{r}}(z)} \eta_1^2 D^2 H_\delta(\varepsilon(u_\delta))(\partial_\gamma \varepsilon(u_\delta), \partial_\gamma \varepsilon(u_\delta)) dx \right\}^3. \end{aligned} \quad (2.2)$$

In order to discuss the integral on the r.h.s. of (2.2) we apply the Caccioppoli-type inequality from Lemma 2.1 c): we have for all  $\kappa > 0$

$$\begin{aligned} \int_{B_{\tilde{r}}(z)} \eta_1^2 D^2 H_\delta(\varepsilon(u_\delta))(\partial_\gamma \varepsilon(u_\delta), \partial_\gamma \varepsilon(u_\delta)) dx &\leq -2 \int_{B_{\tilde{r}}(z)} \eta_1 \partial_\gamma \tau_\delta : (\nabla \eta_1 \odot \partial_\gamma u_\delta) dx \\ &\leq \kappa \int_{B_{\tilde{r}}(z)} \eta_1^2 D^2 H_\delta(\varepsilon(u_\delta))(\partial_\gamma \varepsilon(u_\delta), \partial_\gamma \varepsilon(u_\delta)) dx \\ &\quad + c(\kappa) \int_{B_{\tilde{r}}(z)} |\nabla \eta_1|^2 \frac{h'(|\varepsilon(u_\delta)|)}{|\varepsilon(u_\delta)|} \Gamma_\delta^{\frac{\omega}{2}} |\nabla u_\delta|^2 dx \\ &\quad + c(\kappa) \delta \int_{B_{\tilde{r}}(z)} |\nabla \eta_1|^2 \Gamma_\delta^{\frac{q-2}{2}} |\nabla u_\delta|^2 dx \end{aligned} \quad (2.3)$$

which follows from

$$|\partial_\gamma \tau_\delta|^2 \leq c |\partial_\gamma \sigma_\delta|^2 \leq c D^2 H_\delta(\varepsilon(u_\delta))(\partial_\gamma \varepsilon(u_\delta), \partial_\gamma \varepsilon(u_\delta))^{\frac{1}{2}} D^2 H_\delta(\varepsilon(u_\delta))(\partial_\gamma \sigma_\delta, \partial_\gamma \sigma_\delta)^{\frac{1}{2}}$$

in combination with (A3) and Young's inequality. For  $\kappa$  small enough we deduce from (2.3)

$$\begin{aligned} \int_{B_r(z)} h(|\varepsilon(u_\delta)|)^3 dx &\leq c(R-r)^{-\beta} + \left\{ \|\nabla \eta_1\|_\infty^2 \int_{B_{\tilde{r}}(z)} \frac{h'(|\varepsilon(u_\delta)|)}{|\varepsilon(u_\delta)|} |\varepsilon(u_\delta)|^\omega |\nabla u_\delta|^2 dx \right\}^3. \end{aligned} \quad (2.4)$$

Here the  $\delta$ -term from the r.h.s. of (2.3) has been handled as follows: obviously it is enough to control the quantity  $\delta \int_{B_{\tilde{r}}(z)} |\nabla u_\delta|^q dx$ , and according to Korn's inequality an upper bound is given by

$$c\delta \left[ \int_{B_{\tilde{r}}(z)} |u_\delta|^q dx + \int_{B_{\tilde{r}}(z)} |\varepsilon(u_\delta)|^q dx \right].$$

By the interpolation inequality [FS], Lemma 3.0.2, it holds

$$\|u_\delta\|_{L^q(B_{\tilde{r}}(z))} \leq c \left[ \|u_\delta\|_{L^2(B_{\tilde{r}}(z))} + \|\varepsilon(u_\delta)\|_{L^q(B_{\tilde{r}}(z))} \right]$$

and on account of (1.3) and part a) of Lemma 2.2 the  $L^2$ -norms of  $u_\delta$  are uniformly bounded. Now we quote Lemma 2.1 d) to see that

$$\delta \int_{B_{\tilde{r}}(z)} |\nabla \eta_1|^2 \Gamma_\delta^{\frac{q-2}{2}} |\nabla u_\delta|^2 dx \leq c(R-r)^{-2}$$

is true.

Let us have a look at the integral on the r.h.s. of (2.4): recalling the monotonicity of  $t \mapsto \frac{h'(t)}{t}$  and condition (A3) we find

$$\int_{B_{\tilde{r}}(z)} \frac{h'(|\varepsilon(u_\delta)|)}{|\varepsilon(u_\delta)|} |\varepsilon(u_\delta)|^\omega |\nabla u_\delta|^2 dx \leq c \int_{B_{\tilde{r}}(z)} \tilde{h}(|\nabla u_\delta|) dx, \quad (2.5)$$

where  $\tilde{h}(t) := h(t)t^\omega$ . Consider next a cut-off function  $\eta_2 \in C_0^\infty(B_R(z))$  with  $\eta_2 \equiv 1$  on  $B_{\tilde{r}}(z)$ ,  $0 \leq \eta_2 \leq 1$  and  $|\nabla \eta_2| \leq c/(R-\tilde{r})$ . Lemma 4.1 in the version for  $\tilde{h}$  implies

$$\begin{aligned} \int_{B_{\tilde{r}}(z)} \tilde{h}(|\nabla u_\delta|) dx &\leq \int_{B_R(z)} \tilde{h}(|\nabla(\eta_2 u_\delta)|) dx \\ &\leq c(R-r)^{-\alpha} \left[ \int_{B_R(z)} \tilde{h}(|\varepsilon(u_\delta)|) dx + \int_{B_R(z)} \tilde{h}(|u_\delta|) dx \right] \\ &\leq c(R-r)^{-\alpha} \left\{ \int_{B_R(z)} \tilde{h}(|\varepsilon(u_\delta)|) dx + 1 \right\} \end{aligned} \quad (2.6)$$

for an exponent  $\alpha > 0$ . Note that we have used the  $(\Delta_2)$ -condition valid also for  $\tilde{h}$  (see [BF3], Lemma A.3) and Lemma 2.2 b) for the derivation of the estimate (2.6). If we combine (2.4)-(2.6) we see (by enlarging  $\beta$  if necessary)

$$\int_{B_r(z)} h(|\varepsilon(u_\delta)|)^3 dx \leq c(R-r)^{-\beta} \left[ 1 + \left\{ \int_{B_R(z)} h(|\varepsilon(u_\delta)|) |\varepsilon(u_\delta)|^\omega dx \right\}^3 \right]. \quad (2.7)$$

For  $t \in (0, 1)$  arbitrary we get

$$\left\{ \int_{B_R(z)} h(|\varepsilon(u_\delta)|) |\varepsilon(u_\delta)|^\omega dx \right\}^3 = \left\{ \int_{B_R(z)} h(|\varepsilon(u_\delta)|)^t h(|\varepsilon(u_\delta)|)^{1-t} |\varepsilon(u_\delta)|^\omega dx \right\}^3$$

$$\leq \left\{ \int_{B_R(z)} h(|\epsilon(u_\delta)|)^{3t} dx \right\} \left\{ \int_{B_R(z)} h(|\epsilon(u_\delta)|)^{\frac{3(1-t)}{2}} |\epsilon(u_\delta)|^{\frac{3\omega}{2}} dx \right\}^2.$$

If we split

$$\int_{B_R(z)} h(|\epsilon(u_\delta)|)^{\frac{3(1-t)}{2}} |\epsilon(u_\delta)|^{\frac{3\omega}{2}} dx = \int_{B_r(z) \cap \{|\epsilon(u_\delta)| \leq 1\}} \dots + \int_{B_r(z) \cap \{|\epsilon(u_\delta)| > 1\}} \dots,$$

then clearly the first integral is uniformly bounded. For the second one we choose  $t > 0$  sufficiently close to 1 in order to reach

$$h(s)^{\frac{3(1-t)}{2}} s^{\frac{3\omega}{2}} \leq cs^2 \leq ch(s) \quad \text{for } s \geq 1$$

which is possible by (1.3), (1.4) and our assumption  $\omega < 4/3$ . Hence we can bound the whole integral independent of  $\delta$  (remember Lemma 2.1 e)), and we have shown

$$\int_{B_r(z)} h(|\epsilon(u_\delta)|)^3 dx \leq c(R-r)^{-\beta} \left[ 1 + \int_{B_R(z)} h(|\epsilon(u_\delta)|)^{3t} dx \right]. \quad (2.8)$$

In a final step we use Young's inequality and get for some number  $\nu > 0$

$$\int_{B_r(z)} h(|\epsilon(u_\delta)|)^3 dx \leq c(R-r)^{-\nu} + \frac{1}{2} \int_{B_R(z)} h(|\epsilon(u_\delta)|)^3 dx. \quad (2.9)$$

To inequality (2.9) we may apply Lemma 3.1, p 161, of [Gi3] in order to see that  $h(|\epsilon(u_\delta)|)^3$  is in the space  $L^1_{loc}(B)$  uniformly w.r.t.  $\delta$ . This proves Theorem 1.1 b).

During our calculations we have shown that

$$D^2 H(\epsilon(u_\delta))(\partial_\gamma \epsilon(u_\delta), \partial_\gamma \epsilon(u_\delta)) dx \in L^1_{loc}(B) \quad (2.10)$$

holds uniformly w.r.t. the approximation parameter. In fact, if we return to (2.3) and absorb the  $\kappa$ -term in the l.h.s., then (2.10) is an immediate consequence of our integrability result stated after (2.9). From (1.3) and (1.7) in combination with (2.10) we deduce uniform  $W^2_{loc}$ -bounds on  $u_\delta$ , hence  $u \in W^2_{loc}(\Omega, \mathbb{R}^3)$  and for suitable subsequences it holds

$$u_\delta \rightharpoonup u \text{ in } W^2_{loc}(B, \mathbb{R}^3), \quad \nabla u_\delta \rightarrow \nabla u \quad \text{a.e. on } B$$

as  $\rho \downarrow 0$ . Moreover we see that the functions

$$\psi_\delta := \int_0^{|\epsilon(u_\delta)|} \sqrt{\frac{h'(t)}{t}} dt$$

are uniformly bounded in the space  $W^{1,2}_{loc}(B)$ , thus we have weak  $W^{1,2}_{loc}(B)$ -convergence of  $\psi_\delta$  with limit

$$\psi := \int_0^{|\epsilon(u)|} \sqrt{\frac{h'(t)}{t}} dt.,$$

and Theorem 1.1 a) is proved.  $\square$

**Remark 2.1.** *Returning to the Caccioppoli inequality stated in Lemma 2.1 - now with arbitrary matrix  $Q \in \mathbb{R}^{3 \times 3}$  - it is easy to see that the appropriate variant of (2.3) after absorbing the  $\kappa$ -term and passage to the limit  $\rho \rightarrow 0$  gives the inequality*

$$\int_B \eta^2 |\nabla \psi|^2 dx \leq c \int_B |\nabla \eta|^2 |D^2 H(\varepsilon(u))| |\nabla u - Q|^2 dx \quad (2.11)$$

*valid for any  $\eta \in C_0^\infty(B)$  and all  $Q \in \mathbb{R}^{3 \times 3}$ . Alternatively we may replace  $|\nabla \psi|^2$  by  $D^2 H(\varepsilon(u))(\partial_\gamma \varepsilon(u), \partial_\gamma \varepsilon(u))$  (or just  $|\nabla^2 u|^2$ ) in this inequality. The reader should note that the l.h.s. of the  $\delta$ -version of (2.11) is treated via lower semicontinuity, whereas on the r.h.s. we use equi-integrability in order to pass to the limit  $\rho \rightarrow 0$ .*

### 3 Proof of Theorem 1.2

Let  $u$  denote a local  $\mathbb{J}$ -minimizer and suppose w.l.o.g. that  $\omega \in [1, 4/3)$  in (A3). We further let

$$\tilde{h}(t) := t^\omega h(t), \quad t \geq 0,$$

and recall that  $\tilde{h}$  is a  $N$ -function. From Lemma 2.2 b) and Theorem 1.1 b) combined with Lemma 4.1 (choosing  $\varphi = \tilde{h}$  there) it follows that  $u$  is an element of the space  $\in W_{\tilde{h}, \text{loc}}^1(\Omega, \mathbb{R}^3)$ , hence the excess-function

$$E(x, r) := \int_{B_r(x)} |\varepsilon(u) - (\varepsilon(u))_{x,r}|^2 dy + \int_{B_r(x)} \tilde{h}(|\varepsilon(u) - (\varepsilon(u))_{x,r}|) dy$$

for balls  $B_r(x) \Subset \Omega$  is well-defined. Here and in what follows  $\dashv\int f, (f)$  denote the mean value of a function  $f$ .

**Lemma 3.1.** *Fix  $L > 0$  and a subdomain  $\Omega' \Subset \Omega$ . Then there is a constant  $C_*(L)$  such that for every  $\tau \in (0, 1)$  one can find a number  $\kappa = \kappa(L, \tau)$  with the following property: if  $B_r(x) \subset \Omega'$  and if*

$$|(\varepsilon(u))_{x,r}| \leq L, \quad E(x, r) \leq \kappa, \quad (3.1)$$

*then it holds*

$$E(x, \tau r) \leq C_*(L) \tau^2 E(x, r). \quad (3.2)$$

Once having established Lemma 3.1, it is standard (see, e.g. Giaquinta's textbook [Gi3]) to prove the desired partial regularity result. It turns out that the regular set  $\Omega_0$  is given by

$$\Omega_0 = \left\{ x \in \Omega : \sup_{r>0} |(\varepsilon(u))_{x,r}| < \infty \text{ and } \liminf_{r \downarrow 0} E(x, r) = 0 \right\},$$

i.e. Lemma 3.1 shows that the set on the r.h.s. is open and  $\nabla u \in C^{0,\alpha}$  there for any  $0 < \alpha < 1$ . Obviously  $\Omega_0$  is a set of full Lebesgue measure.

**Proof of Lemma 3.1:** We argue by contradiction (compare [Fu1]). Let  $L > 0$  and choose  $C_* = C_*(L)$  as outlined below. Then, for some  $\tau \in (0, 1)$ , there is a sequence of balls  $B_{r_m}(x_m) \Subset \Omega'$  such that

$$|(\epsilon(u))_{x_m, r_m}| \leq L, \quad E(x_m, r_m) =: \lambda_m^2 \rightarrow 0, \quad \text{as } m \rightarrow \infty, \quad (3.3)$$

$$E(x_m, \tau r_m) > C_* \tau^2 \lambda_m^2. \quad (3.4)$$

Letting  $A_m := (\epsilon(u))_{x_m, r_m}$  we define for  $z \in B_1 := B_1(0)$

$$\tilde{u}_m(z) := \frac{1}{\lambda_m r_m} \left[ u(x_m + r_m z) - r_m A_m z \right], \quad (3.5)$$

$$u_m(z) := \tilde{u}_m(z) - R_m(z), \quad (3.6)$$

where  $R_m$  is the orthogonal projection of  $\tilde{u}_m$  into the space of rigid motions with respect to the  $L^2(B_1, \mathbb{R}^3)$  inner product. We get from (3.3) using

$$\epsilon(u_m)(z) = \frac{1}{\lambda_m} \left[ \epsilon(u)(x_m + r_m z) - A_m \right]$$

the relations

$$|A_m| \leq L, \quad \int_{B_1} |\epsilon(u_m)|^2 dz + \lambda_m^{-2} \int_{B_1} \tilde{h}(\lambda_m |\epsilon(u_m)|) dz = 1. \quad (3.7)$$

On the other hand, (3.4) reads after scaling

$$\int_{B_\tau} |\epsilon(u_m) - (\epsilon(u_m))_{0, \tau}|^2 dz + \lambda_m^{-2} \int_{B_\tau} \tilde{h}(\lambda_m |\epsilon(u_m) - (\epsilon(u_m))_{0, \tau}|) dz > C_* \tau^2. \quad (3.8)$$

After passing to suitable subsequences we obtain from (3.7)

$$\begin{aligned} A_m &\rightharpoonup A, \quad u_m \rightharpoonup: \bar{u} \quad \text{in } W_2^1(B_1; \mathbb{R}^3), \\ \lambda_m \epsilon(u_m) &\rightarrow 0 \quad \text{in } L^2(B_1; \mathbb{S}) \text{ and a.e.}, \end{aligned} \quad (3.9)$$

where obviously  $(\epsilon(\bar{u}))_{0,1} = 0$ . To prove the second convergence we apply Korn's inequality in  $L^2$  (see for example [FS], Lemma 3.0.1 and 3.0.3, and in particular [AM], Proposition 2.6 (g) and Proposition 2.7 (c)) which gives by the choice of  $R_m$

$$\|u_m\|_{W^{1,2}(B)} \leq \|\epsilon(u_m)\|_{L^2(B)}.$$

If we argue as in [Fu1], (3.8)- (3.15), replacing  $\nabla$  by  $\epsilon$  and letting  $Z_m := Z_m(s, z) := A_m + s \lambda_m \epsilon(u_m)(z)$ , we obtain the limit equation

$$\int_{B_1} D^2 H(A)(\epsilon(\bar{u}), \epsilon(\varphi)) dz = 0$$

valid for any  $\varphi \in C_0^\infty(B_1, \mathbb{R}^3)$  such that  $\operatorname{div} \varphi = 0$ . Quoting standard results on weak solutions of elliptic systems with constant coefficients involving the symmetric gradient as



well as the incompressibility condition (see, e.g., [GM] or [FS], Lemma 3.5) we find that  $\bar{u}$  is of class  $C^\infty(B_1, \mathbb{R}^3)$  satisfying the Campanato-type estimate

$$\int_{B_\tau} |\varepsilon(\bar{u}) - (\varepsilon(\bar{u}))_\tau|^2 dz \leq C^* \tau^2 \int_{B_1} |\varepsilon(\bar{u}) - (\varepsilon(\bar{u}))_1|^2 dz$$

for a constant  $C^* = C^*(L)$ . Observing  $\int_{B_1} |\varepsilon(\bar{u})|^2 dz \leq 1$  and  $(\varepsilon(\bar{u}))_1 = 0$ , we get

$$\int_{B_\tau} |\varepsilon(\bar{u}) - (\varepsilon(\bar{u}))_\tau|^2 dz \leq C^* \tau^2.$$

Letting  $C_* = 2C^*$  this inequality will give a contradiction to (3.8) as soon as we can show

$$\epsilon(u_m) \rightarrow \varepsilon(\bar{u}) \text{ in } L^2_{\text{loc}}(B_1, \mathbb{S}), \quad (3.10)$$

$$\lambda_m^{-2} \int_{B_r} \tilde{h}(\lambda_m |\varepsilon(u_m)|) dz \rightarrow 0, \quad r < 1. \quad (3.11)$$

For a detailed exposition of how to obtain the desired contradiction we refer to the comments given after (3.18) in [Fu1]. In order to prove (3.10) and (3.11) we return to (2.11) (with  $|\nabla u|^2$  in place of  $|\nabla \psi|^2$  on the l.h.s.) and get after scaling and with appropriate choice of the testfunction  $\eta$

$$\int_{B_t} |\nabla^2 u_m|^2 dz \leq C(s-t)^{-2} \int_{B_s} |D^2 H(\lambda_m \epsilon(u_m) + A_m)| |\nabla u_m|^2 dz \quad (3.12)$$

valid for  $0 < t < s < 1$ . On  $[\lambda_m |\epsilon(u_m)| \leq K]$  we have

$$|D^2 H(A_m + \lambda_m \epsilon(u_m))| |\nabla u_m|^2 \leq c(K) |\nabla u_m|^2,$$

whereas on  $[\lambda_m |\epsilon(u_m)| \geq K]$  it holds ( $K$  large enough)

$$\begin{aligned} & |D^2 H(\lambda_m \epsilon(u_m) + A_m)| |\nabla u_m|^2 \\ & \leq c(K) \left[ 1 + (\lambda_m |\epsilon(u_m)|)^\omega \frac{h'(\lambda_m |\epsilon(u_m)|)}{\lambda_m |\epsilon(u_m)|} \right] |\nabla u_m|^2 \\ & \leq c(K) \left[ |\nabla u_m|^2 + \lambda_m^{-2} \tilde{h}(\lambda_m |\nabla u_m|) \right]. \end{aligned}$$

(3.12) therefore implies (compare (3.20) in [Fu1])

$$\int_{B_t} |\nabla^2 u_m|^2 dz \leq c(s-t)^{-2} \left[ \int_{B_s} |\nabla u_m|^2 dz + \lambda_m^{-2} \int_{B_s} \tilde{h}(\lambda_m |\nabla u_m|) dz \right]. \quad (3.13)$$

Clearly the first integral on the r.h.s. is uniformly bounded by (3.9). For the second one we deduce from Lemma 4.4 by letting  $\tilde{h}_{\lambda_m}(t) := \lambda_m^{-2} \tilde{h}(\lambda_m t)$

$$\|\nabla u_m\|_{L_{\tilde{h}_{\lambda_m}}(B_s)} \leq c \|\varepsilon(u_m)\|_{L_{\tilde{h}_{\lambda_m}}(B_1)} + c(s) \|u_m\|_{L_{\tilde{h}_{\lambda_m}}(B_1)}.$$

By (3.7) the first term on the r.h.s. is uniformly bounded. In order to get the same result for the second one, we have to estimate the quantity

$$\lambda_m^{-2} \int_{B_1} \tilde{h}(\lambda_m |u_m|) dz.$$

To this purpose we observe that the superquadratic growth of  $h$  stated in (1.3) in combination with (3.7) implies the bound

$$\int_{B_1} |\lambda_m^{1-\frac{2}{2+\omega}} \epsilon(u_m)|^{2+\omega} dz \leq c \lambda_m^{-2} \int_{B_1} \tilde{h}(\lambda_m |\epsilon(u_m)|) dz \leq c. \quad (3.14)$$

We therefore get by Korn's inequality in spaces  $L^p$  (see again [FS] or [AM]) and the choice of  $R_m$

$$\|\lambda_m^{1-\frac{2}{2+\omega}} u_m\|_{W^{1,2+\omega}(B_1)} \leq c \|\lambda_m^{1-\frac{2}{2+\omega}} \epsilon(u_m)\|_{L^{2+\omega}(B_1)},$$

hence we find

$$\lambda_m^{1-\frac{2}{2+\omega}} u_m \in L^t(B_1, \mathbb{R}^3) \text{ uniformly in } m \text{ for all } t < \infty \quad (3.15)$$

by quoting Sobolev's theorem for  $n = 3$  (recall  $\omega \geq 1$ ). From the inequalities (see (A1) and (1.3))

$$h(t) \leq ct^2 \text{ for } t \leq 1 \text{ and } h(t) \leq ct^q \text{ for } t \geq 1$$

in combination with (3.15) we deduce

$$\lambda_m^{-2} \int_{B_1} \tilde{h}(\lambda_m |u_m|) dz \leq c \int_{B_1} |\lambda_m^{1-\frac{2}{2+\omega}} u_m|^{2+\omega} dz + c \int_{B_1} |\lambda_m^{1-\frac{2}{q+\omega}} u_m|^{q+\omega} dz \leq c$$

independent of  $m$ . Note that we have used the estimate

$$\lambda_m^{1-\frac{2}{q+\omega}} \leq c \lambda_m^{1-\frac{2}{2+\omega}},$$

which follows from  $q \geq 2$ . Hence we can bound the r.h.s. of (3.13) uniformly in  $m$  and therefore we obtain uniform  $L^2_{loc}$ -bounds on  $\nabla^2 u_m$ , which shows (3.10).

For proving our claim (3.11) we return to (2.11) (in the version with  $D^2 H(\epsilon(u))(\partial_\gamma \epsilon(u), \partial_\gamma \epsilon(u))$  in place of  $|\nabla \psi|^2$  on the l.h.s.) and observe that after scaling the r.h.s. of (3.12) provides an upper bound for the quantity

$$\int_{B_t} \frac{h'(|\lambda_m \epsilon(u_m) + A_m|)}{|\lambda_m \epsilon(u_m) + A_m|} |\nabla^2 u_m|^2 dz := a_m(t).$$

On the other hand, our previous calculations guarantee uniform bounds for the r.h.s. of (3.12) so that we arrive at

$$a_m(t) \leq c(t) \quad (3.16)$$

for finite constants  $c(t)$ ,  $0 < t < 1$ . We introduce the auxiliary functions

$$\Psi_m := \frac{1}{\lambda_m} \left\{ \int_0^{|\lambda_m \varepsilon(u_m) + A_m|} \sqrt{\frac{h'(t)}{t}} dt - \int_0^{|A_m|} \sqrt{\frac{h'(t)}{t}} dt \right\}$$

and deduce from (3.16)

$$\int_{B_t} |\nabla \Psi_m|^2 dz \leq c(t). \quad (3.17)$$

Following the lines of [Fu1] (after (3.22)) (replacing  $\nabla$  by  $\varepsilon$ ) we easily obtain  $\int_{B_1} |\Psi_m|^2 dz \leq c$  and therefore together with (3.17) it is shown that

$$\|\Psi_m\|_{W_2^1(B_t)} \leq c(t) < \infty, 0 < t < 1. \quad (3.18)$$

With (3.18) we can exactly repeat the arguments presented after (3.23) in the paper [Fu1] ending up with (3.11). Note that the condition

$$t^\omega \leq c [h(t)^2 + 1] \quad (t \geq 0)$$

required in [Fu1] is clearly satisfied in our context as a consequence of the superquadratic growth of  $h$  and the hypothesis  $\omega < 4/3$ . This completes the proof of Lemma 3.1.  $\square$

## 4 Appendix

In this section we collect some auxiliary material concerning Korn type inequalities, which are a crucial tool for solving the global problem (1.8) and also for proving the strong convergences (3.10) and (3.11). We start with

**Lemma 4.1.** *a) Let  $\Omega$  denote a bounded Lipschitz domain in  $\mathbb{R}^n$  and let  $\varphi$  denote a  $N$ -function of class  $(\Delta_2) \cap (\nabla_2)$  (see, e.g., [RR] for a definition). Then there is a constant  $C = C(n, \varphi, \Omega)$  such that*

$$\int_{\Omega} \varphi(|\nabla w|) dz \leq c \int_{\Omega} \varphi(|\varepsilon(w)|) dz$$

*holds for any  $w \in W_0^{1,\varphi}(\Omega, \mathbb{R}^n)$ .*

*b) In the case that  $\Omega$  is a ball  $B_R(x_0)$  the constant  $C$  has the form*

$$C = c(n, \varphi) R^{-\beta}$$

*for a positive exponent  $\beta$ .*

The proof of Lemma 4.1 a) is presented in [Fu3], part b) can easily be derived from this first inequality by scaling and using the  $(\Delta_2)$ -property of  $\varphi$ .  $\square$

Suppose now that  $h$  satisfy (A1)-(A3). Then we have

$$th'(t) = \int_0^t \frac{d}{ds} [sh'(s)] ds = h(t) + \int_0^t sh''(s) ds \geq 2h(t),$$

and in conclusion

$$a(h) := \inf_{t>0} \frac{h'(t)t}{h(t)} \geq 2.$$

Therefore  $h$  is a  $N$ -function of (global) type  $(\nabla_2)$ , which follows from Corollary 4 on p. 26 in [RR], and we have

**Corollary 4.1.** *The Korn type inequalities stated in Lemma 4.1 hold for the  $N$ -function  $h$ .*

**Remark 4.1.** *If we consider the  $N$ -function  $\tilde{h}(t) = t^\omega h(t)$ , then we have  $a(\tilde{h}) \geq 2 + \omega$ , hence Lemma 4.1 applies to  $\tilde{h}$  as well.*

**Remark 4.2.** *Using the interpolation argument outlined in the work of Acerbi and Mingione [AM] we obtain the Korn inequality in terms of the Luxemburg norm*

$$\|\nabla w\|_{L_h(\Omega)} \leq c(n, h, \Omega) \|\nabla \varepsilon(w)\|_{L_h(\Omega)}$$

valid for fields  $w \in W_0^{1,h}(\Omega, \mathbb{R}^n)$ . We refer to Lemma 4.3 and Lemma 4.4, where this interpolation argument is applied to the sequence  $\tilde{h}_{\lambda_m}$  defined in Section 3.

**Lemma 4.2.** *Let  $h$  satisfy (A1)-(A3), consider  $u_0 \in W^{1,h}(\Omega, \mathbb{R}^n)$  such that  $\operatorname{div} u_0 = 0$  and define the class  $\mathcal{C}$  as done in section 1. Then the variational problem (1.8) admits a unique solution  $u$  in  $\mathcal{C}$ .*

**Proof:** If  $u_k \in \mathcal{C}$  denotes a minimizing sequence, then Lemma 4.1 a) (applied to  $u_k - u_0$ ) in combination with the Poincaré inequality from [FO] gives the boundedness of  $u_k$  in the space  $W^{1,h}(\Omega, \mathbb{R}^n)$ . Since  $h$  is of type  $(\Delta_2) \cap (\nabla_2)$ , we see that  $W^{1,h}$  is reflexive (compare [RR], Corollary 4 on p. 26, and [Ad], Theorem 8.28), and our claim follows from standard arguments.  $\square$

Next we are going to prove that we have uniform Korn type inequalities for the scaled  $N$ -functions

$$\tilde{h}_\lambda(t) := \lambda^{-2} \tilde{h}(\lambda t),$$

where  $\lambda > 0$  denotes a parameter and where  $\tilde{h}(s) := s^\omega h(s)$ ,  $h$  satisfying (A1)-(A3). This will be done along the lines of [AM], proof of Theorem 3.1, using the following auxiliary result:

**Lemma 4.3.** *We can find some exponents  $p_1, p_2 > 1$  such that the function  $\tilde{h}_\lambda(t)/t^{p_1}$  increases and the function  $\tilde{h}_\lambda(t)/t^{p_2}$  decreases. Furthermore there are positive constants  $k_1$  and  $k_2$  independent of  $\lambda$  such that the estimates*

$$\int_0^t \frac{\tilde{h}_\lambda(s)}{s^{p_1}} \frac{ds}{s} \leq k_1 \frac{\tilde{h}_\lambda(t)}{t^{p_1}}, \quad (4.1)$$

$$\int_t^\infty \frac{\tilde{h}_\lambda(s)}{s^{p_2}} \frac{ds}{s} \leq k_2 \frac{\tilde{h}_\lambda(t)}{t^{p_2}}, \quad (4.2)$$

hold for all  $t > 0$ .

**Proof:** We set  $p_1 := 1 + \omega$  and choose  $p_2 > \omega + \bar{k}$  with  $\omega$  and  $\bar{k}$  from (A2) and (A3). It follows

$$\frac{\tilde{h}_\lambda(t)}{t^{p_1}} = \lambda^{\omega-2} \frac{h(\lambda t)}{t}$$

which is increasing on account of  $th'(t) - h(t) \geq 0$ . Moreover we have by (A3) and  $h'(0) = 0$

$$\begin{aligned} \int_0^t \frac{\tilde{h}_\lambda(s)}{s^{p_1}} \frac{ds}{s} &= \lambda^{\omega-2} \int_0^t \frac{h(\lambda s)}{s} \frac{ds}{s} \leq \lambda^{\omega-1} \int_0^t \frac{h'(\lambda s)}{s} ds \\ &\leq \lambda^\omega \int_0^t h''(\lambda s) ds = \lambda^{\omega-1} h'(\lambda t). \end{aligned}$$

If we use (1.5), we get from this estimate

$$\int_0^t \frac{\tilde{h}_\lambda(s)}{s^{p_1}} \frac{ds}{s} \leq \bar{k} \lambda^{\omega-1} \frac{h(\lambda t)}{\lambda t} = \bar{k} \frac{\tilde{h}_\lambda(t)}{t^{p_1}},$$

hence (4.1) holds with  $k_1 = \bar{k}$ . From (1.5) we obtain

$$\frac{d}{dt} \left[ \tilde{h}_\lambda(t)/t^{p_2} \right] \leq \lambda^{\omega-2} t^{\omega-p_2-1} [h'(\lambda t)\lambda t - \bar{k}h(\lambda t)] \leq 0,$$

hence  $\tilde{h}_\lambda(t)/t^{p_2}$  is decreasing. Finally we prove (4.2): since the function  $s \mapsto h(s)/s^{\bar{k}}$  is also decreasing, we have

$$\begin{aligned} \int_t^\infty \frac{\tilde{h}_\lambda(s)}{s^{p_2}} \frac{ds}{s} &= \lambda^{\omega+\bar{k}-2} \int_t^\infty \frac{h(\lambda s)}{(\lambda s)^{\bar{k}}} \frac{1}{s^{p_2-\bar{k}-\omega}} \frac{ds}{s} \\ &\leq \lambda^{\omega+\bar{k}-2} \frac{h(\lambda t)}{(\lambda t)^{\bar{k}}} \int_t^\infty s^{-1-p_2+\bar{k}+\omega} ds \\ &= \frac{1}{p_2 - \bar{k} - \omega} \lambda^{\omega+\bar{k}-2} \frac{h(\lambda t)}{(\lambda t)^{\bar{k}}} t^{\bar{k}+\omega-p_2} \\ &= \frac{1}{p_2 - \bar{k} - \omega} \frac{\tilde{h}_\lambda(t)}{t^{p_2}}, \end{aligned}$$

which completes the proof of Lemma 4.3.  $\square$

**Lemma 4.4.** *With the notation introduced before Lemma 4.3 we have for all  $w : \Omega \rightarrow \mathbb{R}^n$  with  $|w|, |\varepsilon(w)| \in L_{\tilde{h}\lambda}(\Omega)$  and all  $\Omega^* \Subset \Omega$*

$$\|\nabla w\|_{L_{\tilde{h}\lambda}(\Omega^*)} \leq c_1(h) \|\varepsilon(w)\|_{L_{\tilde{h}\lambda}(\Omega)} + c_2(h, \Omega^*) \|w\|_{L_{\tilde{h}\lambda}(\Omega)},$$

where the constants  $c_i$  are independent of the parameter  $\lambda$ . Moreover,  $c_2$  growth like  $\text{dist}(\partial\Omega, \Omega^*)^{-1}$ .

**Proof:** From Lemma 4.3 and [AM], Theorem 3.3, we get for all  $v \in C_0^\infty(\mathbb{R}^n, \mathbb{R}^n)$

$$\|\nabla v\|_{L_{\tilde{h}\lambda}(\mathbb{R}^n)} \leq c(h) \|\varepsilon(v)\|_{L_{\tilde{h}\lambda}(\mathbb{R}^n)} \quad (4.3)$$

with a positive constant  $c(h)$  being independent of  $\lambda$ . For  $w$  with  $|w|, |\varepsilon(w)| \in L_{\tilde{h}\lambda}(\Omega)$  and  $\Omega^* \Subset \Omega$  we choose  $\eta \in C_0^\infty(\Omega)$  such that  $\eta \equiv 1$  on  $\Omega^*$ ,  $0 \leq \eta \leq 1$  and  $|\nabla\eta| \leq c/\text{dist}(\Omega^*, \partial\Omega)$ . From (4.3) applied to  $v := \eta w$  we conclude (using a standard approximation argument)

$$\|\nabla w\|_{L_{\tilde{h}\lambda}(\Omega^*)} \leq c(h) \|\varepsilon(w)\|_{L_{\tilde{h}\lambda}(\Omega)} + c(h) \|\nabla\eta \odot w\|_{L_{\tilde{h}\lambda}(\Omega)},$$

and the claim of Lemma 4.4 is a consequence of the choice of  $\eta$ . □

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