

Universität des Saarlandes



Fachrichtung 6.1 – Mathematik

Preprint Nr. 315

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degenerate power law fluids in the plane**

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Saarbrücken 2012

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Abstract

We extend the Liouville-type theorems of Gilbarg and Weinberger and of Koch, Nadirashvili, Seregin and Sverák valid for the stationary variant of the classical Navier-Stokes equations in $2D$ to the degenerate power law fluid model.

1 Introduction

To begin with we look at a velocity field $u: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ and a pressure function $\pi: \mathbb{R}^2 \rightarrow \mathbb{R}$ satisfying the stationary equations of Navier-Stokes

$$\left. \begin{aligned} -\Delta u + u^k \partial_k u + \nabla \pi &= 0, \\ \operatorname{div} u &= 0 \quad \text{on } \mathbb{R}^2, \end{aligned} \right\} \quad (1.1)$$

which correspond to the flow of an incompressible Newtonian fluid with constant viscosity (w.l.o.g. equal to 1). Here we study entire solutions, and a natural question is the search for suitable conditions which force u (and thereby π) to be constant. We recall two prominent examples of such Liouville-type results for the Navier-Stokes equation (1.1): if u is a finite energy solution, i.e. if we have

$$\int_{\mathbb{R}^2} |\nabla u|^2 dx < \infty, \quad (1.2)$$

then Gilbarg and Weinberger [GW] proved $u = \text{const}$ making extensive use of the fact that the vorticity function $\omega := \partial_2 u^1 - \partial_1 u^2$ satisfies a nice elliptic equation. Recently, Koch, Nadirashvili, Seregin and Sverák [KNSS] discussed the instationary variant of (1.1) and, as a byproduct of their investigations, they showed that in the stationary case (1.2) can be replaced by

$$\sup_{x \in \mathbb{R}^2} |u(x)| < \infty \quad (1.3)$$

implying the constancy of the vector field u . In connection with the Navier-Stokes equation we like to remark that according to [Zh] the hypothesis

$$\int_{\mathbb{R}^2} |u|^t dx < \infty \quad \text{for some } t > 1$$

(replacing (1.1) or (1.3)) implies the vanishing of u , whereas in [FZho] it is observed that $u = \text{const}$ is still true if the growth of $|u(x)|$ as $|x| \rightarrow \infty$ is not too strong.

AMS Subject Classification: 76D05, 76D07, 76M30, 35Q30, 35Q35

Keywords: generalized Newtonian fluids, stationary flows in $2D$, power law fluids, equations of Navier-Stokes type, Liouville theorems

In [Fu], [FZha], [Zh] the situation for generalized Newtonian fluids being either of shear thickening or shear thinning type is studied. For this case equation (1.1) has to be replaced by

$$\left. \begin{aligned} -\operatorname{div} [DH(\varepsilon(u))] + u^k \partial_k u + \nabla \pi &= 0, \\ \operatorname{div} u &= 0 \quad \text{on } \mathbb{R}^2 \end{aligned} \right\} \quad (1.4)$$

with a strictly convex potential H of class C^2 acting on symmetric (2×2) -matrices $(\varepsilon(u))$ denoting the symmetric gradient of the velocity field u) and being of the form

$$H(\varepsilon) = h(|\varepsilon|) \quad (1.5)$$

for a function $h: [0, \infty) \rightarrow [0, \infty)$ for which

$$\mu(t) := \frac{h'(t)}{t}$$

either decreases or increases. Note that according to (1.5) we have $DH(\varepsilon) = \mu(|\varepsilon|)\varepsilon$, thus μ plays the role of a shear dependent viscosity. For further physical and mathematical explanations we refer to the monographs [La], [Ga1], [Ga2], [MNRR] or [FS].

The most severe restriction concerns the existence and the behaviour of $D^2H(0)$, which in particular means that we require

$$D^2H(0)(\varepsilon, \varepsilon) \geq \lambda |\varepsilon|^2 \quad (1.6)$$

for some positive constant λ . Assuming (1.6) it is shown: suppose that $u \in C^1(\mathbb{R}^2, \mathbb{R}^2)$ is an entire weak solution of (1.4), i.e. it holds $\operatorname{div} u = 0$ together with

$$0 = \int_{\mathbb{R}^2} DH(\varepsilon(u)) : \varepsilon(\varphi) \, dx + \int_{\mathbb{R}^2} u^k \partial_k u^i \varphi^i \, dx \quad (1.7)$$

for all $\varphi \in C_0^\infty(\mathbb{R}^2, \mathbb{R}^2)$ such that $\operatorname{div} \varphi = 0$. Then we have $u \equiv \text{const}$, if either (1.3) holds or if we replace (1.2) through the appropriate hypothesis

$$\int_{\mathbb{R}^2} h(|\nabla u|) \, dx < \infty. \quad (1.8)$$

Clearly these results apply to non-degenerate p -fluids for which $h(t) = (1 + t^2)^{p/2}$ (modulo physical constants) with exponent $p \in (1, \infty)$ but not to the degenerate power law model, i.e. to the potential H with function $h(t) = t^p$.

In the present paper we are going to investigate the degenerate p -case, i.e. from now on we assume that H is given by

$$H(\varepsilon) = |\varepsilon|^p$$

for some $1 < p < \infty$ and that $u \in C^1(\mathbb{R}^2, \mathbb{R}^2)$ with $\operatorname{div} u = 0$ solves equation (1.7). Then our results are as follows:

Theorem 1.1 *Suppose that $1 < p \leq 2$.*

i) If u belongs to the space $L^\infty(\mathbb{R}^2, \mathbb{R}^2)$, i.e. if condition (1.3) holds, then u is a constant vector.

ii) If $p < 2$, if

$$0 < \alpha < \frac{2-p}{6+p} \quad (1.9)$$

and if we have

$$\limsup_{|x| \rightarrow \infty} |u(x)| |x|^{-\alpha} < \infty, \quad (1.10)$$

then the conclusion of i) holds.

Remark 1.1 For the choice $p = 2$ we reproduce the contribution of Koch, Nadirashvili, Seregin and Sverák [KNSS], for $1 < p < 2$ condition (1.10) allows even a certain growth of $|u(x)|$ as $|x| \rightarrow \infty$. In Theorem 1.5 we will discuss in more detail the admissible a priori growth rates of u in the case $p = 2$.

The next two theorems extend the Liouville result of Gilbarg and Weinberger [GW] to exponents p not necessarily equal to 2.

Theorem 1.2 Let $6/5 < p \leq 2$ and assume that

$$\int_{\mathbb{R}^2} |\nabla u|^p dx < \infty,$$

which means that (1.8) is satisfied. Then u has to be constant.

Theorem 1.3 Theorem 1.2 remains valid for exponents $p \in [2, 3]$.

Theorem 1.4 is the counterpart to Theorem 1.1, ii) for $p > 2$ involving formally the same exponent $(p-2)/(p+6)$.

Theorem 1.4 Let $p > 2$ and let $u_\infty \in \mathbb{R}^2$ denote a vector such that

i) in case $2 < p < 6$

$$\sup_{|x| \geq R} |u(x) - u_\infty| |x|^{\frac{p-2}{p+6}} \rightarrow 0 \quad \text{as } R \rightarrow \infty; \quad (1.11)$$

ii) in case $p = 6$:

$$\limsup_{|x| \rightarrow \infty} |u(x) - u_\infty| |x|^{\frac{1}{3}} < \infty; \quad (1.12)$$

iii) in case $p > 6$:

$$\sup_{|x| \geq R} |u(x) - u_\infty| |x|^{\frac{1}{3}} \rightarrow 0 \quad \text{as } R \rightarrow \infty. \quad (1.13)$$

Then $u \equiv u_\infty$ follows.

Remark 1.2 *It remains an open question, if in case $p > 2$ bounded solutions are constant without imposing a decay condition.*

An inspection of the proofs of Theorem 1.1 - 1.4 will show:

Corollary 1.1 *Let $p \in (1, \infty)$ and suppose that $u: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is a solution of the p -Stokes system in the plane, i.e. a solution of (1.7) with $H(\varepsilon) = |\varepsilon|^p$, where now the convective term is neglected. Then u is a constant vector if either $u \in L^\infty(\mathbb{R}^2, \mathbb{R}^2)$ or if u is of finite energy, i.e. $\int_{\mathbb{R}^2} |\nabla u|^p dx < \infty$.*

Remark 1.3 *Clearly Corollary 1.1 can be generalized in the sense that for $1 < p < 2$ a certain growth of u can be included which might be even stronger in comparison to the formulation given in (1.9) and (1.10). We leave the details to the reader.*

We finish this introduction with an extension of the Liouville results obtained in [KNSS] and [FZho] for the case of the classical Navier-Stokes equation.

Theorem 1.5 *Suppose that $u: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is a solution of (1.1) such that*

$$\limsup_{|x| \rightarrow \infty} |u(x)| |x|^{-\alpha} < \infty \tag{1.14}$$

for some $\alpha < 1/3$. Then the constancy of u follows.

Remark 1.4 *It would be interesting to know the optimal bound for the number α occurring in (1.14).*

Our paper is organized as follows: in Section 2 we give estimates for the energy $\int_{B_r(x_0)} |\nabla u|^p dx$, $1 < p < \infty$, on disks in terms of the radius under various hypotheses imposed on u . Section 3 is devoted to the case $1 < p < 2$, i.e. we will present the proofs of Theorem 1.1 and of Theorem 1.2 by combining the results of Section 2 with estimates for the “second derivatives” due to Wolf [Wo].

Since these estimates are not available for $p > 2$, we have to find alternatives leading to Theorem 1.3 and to Theorem 1.4. This is done in Section 4.

In Section 5 we give a proof of Theorem 1.5. Moreover, we collect some technical tools in an appendix.

Acknowledgement: We thank Jörg Wolf for valuable discussions.

2 Estimates for the p -energy on disks

In this section we describe the growth of the energy $\int_{B_r(x_0)} |\nabla u|^p dx$ of weak solutions u to (1.7) in terms of the radius of the disk under various conditions concerning the growth of u .

Lemma 2.1 Let $u \in C^1(\mathbb{R}^2, \mathbb{R}^2)$, $\operatorname{div} u = 0$, denote a solution of (1.7) for the choice $H(\varepsilon) = |\varepsilon|^p$ with exponent $p \in (1, \infty)$.

i) Then, for any real number $\beta < 1$, it holds

$$\int_{B_r(x_0)} |\nabla u|^p dx \leq c \left[r^{-p} \int_{B_{2r}(x_0)} |u|^p dx + r^{-1+\beta} \int_{B_{2r}(x_0)} |u|^2 dx + r^{-1} \int_{B_{2r}(x_0)} |u|^3 dx + r^{-1-\beta} \int_{B_{2r}(x_0)} |u|^4 dx \right] \quad (2.1)$$

for all disks $B_{2r}(x_0)$. Here, the positive constant c is independent of x_0 , r and u .

ii) If u is bounded, then it follows by choosing $\beta = 0$

$$\int_{B_r(x_0)} |\nabla u|^p dx \leq c \left(\|u\|_{L^\infty(\mathbb{R}^2)} \right) \left[r^{-p} \int_{B_{2r}(x_0)} |u|^p dx + r^{-1} \int_{B_{2r}(x_0)} |u|^2 dx \right] \quad (2.2)$$

again for all disks. In particular it holds

$$\int_{B_R(0)} |\nabla u|^p dx \leq c \left(\|u\|_{L^\infty(\mathbb{R}^2)} \right) R \quad (2.3)$$

for radii $R \geq 1$.

If $u_\infty \in \mathbb{R}^2$ is some fixed vector, then (2.2) is also valid for the function $\tilde{u} := u - u_\infty$ in place of u .

iii) Suppose that

$$\limsup_{|x| \rightarrow \infty} |u(x)| |x|^{-\gamma} < \infty$$

for some number γ such that

$$\gamma \in \begin{cases} [0, 1), & \text{if } 1 < p \leq 2, \\ [-1/2, 0), & \text{if } p > 2. \end{cases} \quad (2.4)$$

Then it holds for any $R \geq 1$

$$\int_{B_R(0)} |\nabla u|^p dx \leq c R^{1+3\gamma}. \quad (2.5)$$

Proof of Lemma 2.1.

Ad i) & ii).

Consider $\eta \in C_0^\infty(B_{2r}(x_0))$ such that $0 \leq \eta \leq 1$, $\eta \equiv 1$ on $B_r(x_0)$ and $|\nabla\eta| \leq c/r$. In equation (1.7) we let $\varphi = \eta^{2l}u - w$, where the field w is defined on $B_{2r}(x_0)$, vanishing on $\partial B_{2r}(x_0)$ with the properties

$$\begin{aligned} \operatorname{div} w &= \operatorname{div}(\eta^{2l}u) = \nabla\eta^{2l} \cdot u \quad \text{on } B_{2r}(x_0), \\ \|\nabla w\|_{L^q(B_{2r}(x_0))} &\leq c\|\nabla\eta^{2l} \cdot u\|_{L^q(B_{2r}(x_0))}. \end{aligned} \quad (2.6)$$

Note that (2.6) holds with the same field w both for the choice $q = 2$ and for the choice $q = p$ (cf. Lemma A.1). The integer l will be determined later. We have

$$\begin{aligned} \int_{B_{2r}(x_0)} DH(\varepsilon(u)) : \varepsilon(u)\eta^{2l} \, dx &= - \int_{B_{2r}(x_0)} DH(\varepsilon(u)) : (\nabla\eta^{2l} \otimes u) \, dx \\ &\quad + \int_{B_{2r}(x_0)} DH(\varepsilon(u)) : \varepsilon(w) \, dx \\ &\quad - \int_{B_{2r}(x_0)} u^k \partial_k u \cdot u\eta^{2l} \, dx + \int_{B_{2r}(x_0)} u^k \partial_k u \cdot w \, dx \\ &=: T_1 + T_2 + T_3 + T_4. \end{aligned} \quad (2.7)$$

Young's inequality yields for any $\delta > 0$

$$\begin{aligned} |T_1| &\leq c \int_{B_{2r}(x_0)} |\varepsilon(u)|^{p-1} \eta^{2l-1} |\nabla\eta| |u| \, dx \\ &\leq \delta \int_{B_{2r}(x_0)} |\varepsilon(u)|^p \eta^{(2l-1)\frac{p}{p-1}} \, dx + c(\delta) \int_{B_{2r}(x_0)} |\nabla\eta|^p |u|^p \, dx \\ &\leq \delta \int_{B_{2r}(x_0)} \eta^{2l} |\varepsilon(u)|^p \, dx + c(\delta)r^{-p} \int_{B_{2r}(x_0)} |u|^p \, dx, \end{aligned}$$

provided that we choose l so large that $(2l-1)p/(p-1) \geq 2l$. For small enough δ the bound for $|T_1|$ in combination with (2.7) yields

$$\int_{B_{2r}(x_0)} |\varepsilon(u)|^p \eta^{2l} \, dx \leq c \left[r^{-p} \int_{B_{2r}(x_0)} |u|^p \, dx + |T_2| + |T_3| + |T_4| \right]. \quad (2.8)$$

Next we use (2.6) for $q = p$ and obtain by Young's inequality

$$\begin{aligned} |T_2| &\leq \delta \int_{B_{2r}(x_0)} |\varepsilon(u)|^p \, dx + c(\delta) \int_{B_{2r}(x_0)} |\varepsilon(w)|^p \, dx \\ &\leq \delta \int_{B_{2r}(x_0)} |\varepsilon(u)|^p \, dx + c(\delta)r^{-p} \int_{B_{2r}(x_0)} |u|^p \, dx, \end{aligned}$$

thus by (2.8)

$$\int_{B_r(x_0)} |\varepsilon(u)|^p \, dx \leq \delta \int_{B_{2r}(x_0)} |\varepsilon(u)|^p \, dx + c(\delta)r^{-p} \int_{B_{2r}(x_0)} |u|^p \, dx + [|T_3| + |T_4|]. \quad (2.9)$$

Finally we observe using an integration by parts

$$|T_3| = \frac{1}{2} \left| \int_{B_{2r}(x_0)} u^k |u|^2 \partial_k \eta^{2l} dx \right| \leq cr^{-1} \int_{B_{2r}(x_0)} |u|^3 dx \quad (2.10)$$

and

$$T_4 = - \int_{B_{2r}(x_0)} u^i u^k \partial_k w^i dx ,$$

thus

$$|T_4| \leq \left[\int_{B_{2r}(x_0)} |u|^4 dx \right]^{\frac{1}{2}} \left[\int_{B_{2r}(x_0)} |\nabla w|^2 dx \right]^{\frac{1}{2}} ,$$

and the use of (2.6) now with the choice $q = 2$ shows

$$\begin{aligned} |T_4| &\leq \left[\int_{B_{2r}(x_0)} |u|^4 dx \right]^{\frac{1}{2}} \left[r^{-2} \int_{B_{2r}(x_0)} |u|^2 dx \right]^{\frac{1}{2}} \\ &= \left[r^{-1-\beta} \int_{B_{2r}(x_0)} |u|^4 dx \right]^{\frac{1}{2}} \left[r^{-1+\beta} \int_{B_{2r}(x_0)} |u|^2 dx \right]^{\frac{1}{2}} \\ &\leq cr^{-1+\beta} \int_{B_{2r}(x_0)} |u|^2 dx + cr^{-1-\beta} \int_{B_{2r}(x_0)} |u|^4 dx . \end{aligned} \quad (2.11)$$

Combining (2.9) with (2.10) and (2.11) and using Lemma A.4 it follows

$$\begin{aligned} \int_{B_r(x_0)} |\varepsilon(u)|^p dx &\leq c \left[r^{-p} \int_{B_{2r}(x_0)} |u|^p dx + r^{-1+\beta} \int_{B_{2r}(x_0)} |u|^2 dx \right. \\ &\quad \left. + r^{-1} \int_{B_{2r}(x_0)} |u|^3 dx + r^{-1-\beta} \int_{B_{2r}(x_0)} |u|^4 dx \right] . \end{aligned}$$

Applying Korn's inequality in $W_p^1(B_{2r}(x_0), \mathbb{R}^2)$ (cf. Lemma A.2) we arrive at (2.1). From (2.1) the claims (2.2) and (2.3) immediately follow.

For the second statement of *ii*) we observe that $\tilde{u} = u - u_\infty$ solves equation (1.7) with the additional term $\int u_\infty^k \partial_k \tilde{u} \cdot \varphi dx$ and the choice $\varphi = \eta^{2l} \tilde{u} - \tilde{w}$ (with an obvious meaning of \tilde{w}) leads to (2.2) for \tilde{u} with the help of elementary identities like

$$u_\infty^k \int_{B_{2r}(x_0)} \partial_k \tilde{u}^i \eta^{2l} \tilde{u}^i dx = -\frac{1}{2} u_\infty^k \int_{B_{2r}(x_0)} |\tilde{u}|^2 \partial_k \eta^{2l} dx .$$

Ad iii).

Suppose that we have

$$\limsup_{|x| \rightarrow \infty} |u(x)| |x|^{-\gamma} < \infty \quad (2.12)$$

with γ satisfying (2.4).

Case 1: $\gamma \in [0, 1)$ and $1 < p \leq 2$. In this case (2.12) implies the growth condition

$$\sup_{B_R(0)} |u| \leq cR^\gamma \quad \text{for all } R \geq 1. \quad (2.13)$$

Quoting inequality (2.1) choosing $x_0 = 0$, $r = R \geq 1$ and $\beta = \gamma$, (2.13) gives

$$\int_{B_R(0)} |\nabla u|^p dx \leq c[R^{2-p+p\gamma} + R^{1+3\gamma}],$$

and since $2 - p + p\gamma \leq 1 + 3\gamma$, we get (2.5).

Case 2: $\gamma \in [-1/2, 0)$ and $p > 2$. From (2.12) we deduce the boundedness of u together with

$$\sup_{R \leq |x| \leq 2R} |u| \leq R^\gamma \quad (2.14)$$

for R sufficiently large. We return to the beginning of the proof and replace φ through the modified test-function (with η as before and with $w^* \in \dot{W}_q^1(T_R(0), \mathbb{R}^2)$ given according to Lemma A.1 – again we will make use both of the choice $q = 2$ and of the choice $q = p$ in this Lemma)

$$\varphi^* = \begin{cases} u & \text{on } B_R(0), \\ \eta^{2l}u - w^* & \text{on } T_R(0), \end{cases}$$

where we always set

$$T_R(x_0) := B_{2R}(x_0) - \overline{B_R(x_0)}.$$

We have

$$\begin{aligned} \operatorname{div} w^* &= \operatorname{div}(\eta^{2l}u) = \nabla \eta^{2l} \cdot u \quad \text{on } T_R(0), \\ \|\nabla w^*\|_{L^q(T_R(0))} &\leq c \|\nabla \eta^{2l} \cdot u\|_{L^q(T_R(0))}. \end{aligned}$$

Note that $\int_{T_R(0)} \operatorname{div}(\eta^{2l} \cdot u) dx = 0$. We then obtain a version of (2.7) with $x_0 = 0$, w being replaced by w^* and where in T_2 and T_4 the integration is performed over the annulus $T_R(0)$. In place of (2.9) we get after specifying $c(\delta)$

$$\int_{B_R(0)} |\varepsilon(u)|^p dx \leq \delta \int_{T_R(0)} |\varepsilon(u)|^p dx + c\delta^{1-p}R^{-p} \int_{T_R(0)} |u|^p dx + [|T_3| + |T_4|]. \quad (2.15)$$

For T_3 it holds (compare (2.10))

$$|T_3| \leq cR^{-1} \int_{T_R(0)} |u|^3 dx$$

and for T_4 we just observe

$$|T_4| \leq cR^{-1} \left[\int_{T_R(0)} |u|^4 dx \right]^{\frac{1}{2}} \left[\int_{T_R(0)} |u|^2 dx \right]^{\frac{1}{2}}.$$

Thus (2.15) implies (recalling (2.14))

$$\int_{B_R(0)} |\varepsilon(u)|^p dx \leq \delta \int_{T_R(0)} |\varepsilon(u)|^p dx + c[\delta^{1-p} R^{2-p+p\gamma} + R^{1+3\gamma}] . \quad (2.16)$$

Since u is bounded, we can apply (2.3) to the first term on the r.h.s. of (2.16), hence

$$\int_{B_R(0)} |\varepsilon(u)|^p dx \leq c[\delta R + \delta^{1-p} R^{2-p+p\gamma} + cR^{1+3\gamma}] . \quad (2.17)$$

Suppose now that we have for some $n = 0, 1, 2$

$$\int_{B_R(0)} |\varepsilon(u)|^p dx \leq cR^{1+n\gamma} , \quad (2.18)$$

which by (2.3) in fact is true in the case $n = 0$. Then, instead of (2.17), we have using assumption (2.18)

$$\int_{B_R(0)} |\varepsilon(u)|^p dx \leq c[\delta R^{1+n\gamma} + \delta^{1-p} R^{2-p+p\gamma} + cR^{1+3\gamma}] . \quad (2.19)$$

We choose $\delta = R^\gamma$ in (2.19):

$$\begin{aligned} \int_{B_R(0)} |\varepsilon(u)|^p dx &\leq c[R^{1+(n+1)\gamma} + R^{\gamma-\gamma p} R^{2-p+p\gamma} + R^{1+3\gamma}] \\ &\leq cR^{1+(n+1)\gamma} , \end{aligned} \quad (2.20)$$

provided that we have $(n+1) \leq 3$ (which clearly is true since we suppose $n \leq 2$ – recall $\gamma \leq 0$ in the case under consideration) and if we have in addition

$$\gamma + 2 - p \leq 1 + (n+1)\gamma \quad \Leftrightarrow \quad 1 - p \leq \gamma n . \quad (2.21)$$

Note that for $\gamma \in [-1/2, 0]$ and $p \geq 2$ (2.21) holds true up to the choice $n = 2$ and as the final result we obtain

$$\int_{B_R(0)} |\varepsilon(u)|^p dx \leq cR^{1+3\gamma} . \quad (2.22)$$

Applying the version of Korn's in equality stated in Lemma A.2, *iii*), to (2.22) we obtain

$$\int_{B_R(0)} |\nabla u|^p dx \leq c[R^{1+3\gamma} + R^{-p+2+p\gamma}]$$

and thereby (2.5) which completes the proof of Lemma 2.1. \square

From Lemma 2.1 we immediately obtain

Corollary 2.1 *Suppose that $p > 2$ and that*

$$\limsup_{|x| \rightarrow \infty} |u(x)| |x|^{-\gamma} < \infty$$

holds for some number $\gamma < -1/3$. Then u must be identically zero.

Proof of Corollary 2.1. W.l.o.g. we may assume $\gamma \in [-1/2, -1/3)$ since otherwise we replace the (negative) exponent γ through $-1/2$. But then (2.5) yields the claim by passing to the limit $R \rightarrow \infty$. \square

3 The case $1 < p < 2$

During this section we always assume that $u \in C^1(\mathbb{R}^2, \mathbb{R}^2)$ is a solenoidal field satisfying (1.7) for the choice $H(\varepsilon) = |\varepsilon|^p$ with exponent $p \in (1, 2)$. Note that on account of Corollary I in the paper [Wo] of Wolf weak solutions of (1.7) from the space $W_{p,\text{loc}}^1(\mathbb{R}^2, \mathbb{R}^2)$ are of class C^1 if we require $p > 3/2$.

The proofs of Theorem 1.1 and Theorem 1.2 make extensive use of the following preliminary result, where we let

$$V(\varepsilon) := \begin{cases} |\varepsilon|^{\frac{p-2}{2}} & \text{if } \varepsilon \neq 0, \\ 0 & \text{if } \varepsilon = 0. \end{cases}$$

Lemma 3.1 *The velocity field u is an element of the space $W_{p,\text{loc}}^2(\mathbb{R}^2, \mathbb{R}^2)$ and for any disk $B_r(x_0)$ it holds (recall $T_r(x_0) = B_{2r}(x_0) - \overline{B_r(x_0)}$)*

$$\int_{B_r(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx \leq c \left[r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx + r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx \right], \quad (3.1)$$

where c denotes a finite constant independent of u , r and x_0 .

Proof of Lemma 3.1. The existence of the second order weak derivatives in $L_{\text{loc}}^p(\mathbb{R}^2, \mathbb{R}^2)$ has been established by Naumann [Na] in Theorem 2 of his paper. Actually Naumann considers slow flows, i.e. the convective term is neglected, but his arguments cover the case of volume forces $f \in L_{\text{loc}}^{p'}$, and since u is a C^1 -function, we just put $f := -u^k \partial_k u$.

For proving estimate (3.1) we benefit from the basic inequality (3.24) in Wolf's paper [Wo]: let $\eta \in C_0^\infty(B_{2r}(x_0))$ such that $0 \leq \eta \leq 1$, $\eta \equiv 1$ on $B_r(x_0)$ and $|\nabla^l \eta| \leq cr^{-l}$, $l = 1, 2$. Choosing

$$S_{ij} = \frac{\partial H}{\partial \varepsilon_{ij}}, \quad \lambda = 0, \quad \xi = \eta, \quad \tilde{f} := -u^k \partial_k u$$

and using the symbol π for the pressure we obtain from (3.24) in [Wo] (replacing r by $2r$)

$$c(p) \int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 \eta^2 dx \leq \sum_{i=1}^6 I_i \quad (3.2)$$

with I_i defined exactly as in the above reference and for a constant $c(p) > 0$. We have (c denoting positive constants with values varying from line to line but being independent of x_0 and r)

$$\begin{aligned} |I_1| &\leq c \int_{T_r(x_0)} |\varepsilon(u)|^{p-1} |\nabla u| [|\nabla \eta|^2 + |\nabla^2 \eta|] dx \\ &\leq cr^{-2} \int_{T_r(x_0)} |\nabla u|^p dx \end{aligned} \quad (3.3)$$

and by Young's inequality (using also the estimate $|\nabla^2 u| \leq c|\nabla \varepsilon(u)|$ and recalling the definition of V)

$$\begin{aligned} |I_2| &\leq c \int_{B_{2r}(x_0)} |\varepsilon(u)|^{p-1} |\nabla^2 u| \eta |\nabla \eta| dx \\ &\leq c \int_{B_{2r}(x_0)} V(\varepsilon(u)) |\nabla \varepsilon(u)| \eta |\varepsilon(u)|^{\frac{p}{2}} |\nabla \eta| dx \\ &\leq \delta \int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 \eta^2 dx + c(\delta) \int_{T_r(x_0)} |\varepsilon(u)|^p |\nabla \eta|^2 dx . \end{aligned}$$

Choosing δ small enough and quoting (3.3) we deduce from (3.2)

$$\begin{aligned} &\int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 \eta^2 dx \\ &\leq c \left[r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx + |I_3 + I_4| + |I_5| + |I_6| \right] . \end{aligned} \quad (3.4)$$

Next we rewrite the quantity $|I_3 + I_4|$ in the following form:

$$|I_3 + I_4| = \left| \int_{B_{2r}(x_0)} \pi \partial_k (\partial_i \eta^2 \partial_k u^i) dx \right| = \left| \int_{B_{2r}(x_0)} \pi \operatorname{div} \varphi dx \right| ,$$

where $\varphi^k := \partial_i \eta^2 \partial_k u^i$. From (1.4) it follows that

$$\int_{B_{2r}(x_0)} \pi \operatorname{div} \varphi dx = \int_{B_{2r}(x_0)} DH(\varepsilon(u)) : \varepsilon(\varphi) dx + \int_{B_{2r}(x_0)} u^k \partial_k u \cdot \varphi dx ,$$

hence

$$\begin{aligned} |I_3 + I_4| &\leq c \left[\int_{B_{2r}(x_0)} |\varepsilon(u)|^{p-1} |\nabla \eta^2| |\nabla^2 u| dx + \int_{B_{2r}(x_0)} |\varepsilon(u)|^{p-1} |\nabla^2 \eta^2| |\nabla u| dx \right. \\ &\quad \left. + \left| \int_{B_{2r}(x_0)} u^k \partial_k u^i \partial_i \eta^2 \partial_i u^l dx \right| \right] \\ &=: c[J_1 + J_2 + J_3] . \end{aligned}$$

J_1 is handled in the same way as I_2 , J_2 corresponds to I_1 , thus we get from (3.4)

$$\int_{B_r(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx \leq c \left[r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx + |I_5| + |I_6| + J_3 \right]. \quad (3.5)$$

We estimate I_5 :

$$|I_5| = \left| \int_{B_{2r}(x_0)} u^k \partial_k u^i \partial_l u^i \partial_l \eta^2 dx \right| \leq r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx.$$

For I_6 it holds:

$$\begin{aligned} |I_6| &= \left| \int_{B_{2r}(x_0)} u^k \partial_k u^i \partial_l \partial_l u^i \eta^2 dx \right| = \left| \int_{B_{2r}(x_0)} \partial_l (u^k \partial_k u^i \eta^2) \partial_l u^i dx \right| \\ &= \left| \int_{B_{2r}(x_0)} \partial_l u^k \partial_k u^i \partial_l u^i \eta^2 dx + \int_{B_{2r}(x_0)} u^k \partial_l \partial_k u^i \eta^2 \partial_l u^i dx \right. \\ &\quad \left. + \int_{B_{2r}(x_0)} u^k \partial_k u^i \partial_l u^i \partial_l \eta^2 dx \right| \\ &=: |K_1 + K_2 + K_3|. \end{aligned}$$

Since we are in the 2 D-case, we have $K_1 = 0$. For K_2 we observe

$$\begin{aligned} |K_2| &= \left| \int_{B_{2r}(x_0)} \frac{1}{2} u^k \partial_k |\nabla u|^2 \eta^2 dx \right| = \left| \int_{T_r(x_0)} \frac{1}{2} u^k |\nabla u|^2 \partial_k \eta^2 dx \right| \\ &\leq cr^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx, \end{aligned}$$

and clearly the same bound holds for K_3 . With (3.5) we therefore arrive at

$$\begin{aligned} &\int_{B_r(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx \\ &\leq c \left[r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx + R^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx + J_3 \right]. \end{aligned} \quad (3.6)$$

By the definition of J_3 we finally have

$$J_3 \leq cr^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx,$$

and our claim (3.1) follows from (3.6). \square

With the help of Lemma 3.1 we now give the

Proof of Theorem 1.1. Suppose that $1 < p < 2$ and that we have (1.9) together with (1.10) (the case $p = 2$ together with bounded field u follows by the same arguments setting $\alpha = 0$).

From Lemma 2.1, *iii*), it follows with the choice $x_0 = 0$ on account of $\alpha < 1/3$

$$\lim_{R \rightarrow \infty} R^{-2} \int_{B_R(0)} |\nabla u|^p dx = 0. \quad (3.7)$$

Thus (3.1) will imply

$$V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 = 0 \quad \text{a.e. on } \mathbb{R}^2 \quad (3.8)$$

as soon as we can show that the remaining integral on the r.h.s. of (3.1) can be estimated in a suitable way.

Obviously it is also sufficient to discuss the integral of $|u| |\nabla u|^2$ with $T_r(x_0)$ replaced by $\Delta_r(x_0) := B_{3r/2}(x_0) - \overline{B_r(x_0)}$. In fact, inequality (3.1) remains true with $\Delta_r(x_0)$ as domain of integration on the r.h.s., which follows by appropriate choice of η .

In order to estimate the integral $\int_{\Delta_r(x_0)} |u| |\nabla u|^2 dx$ we choose a new cut-off function $\eta \in C_0^\infty(B_{2r}(x_0))$ such that $0 \leq \eta \leq 1$, $\eta \equiv 1$ on $\Delta_r(x_0)$ and $|\nabla \eta| \leq c/r$. Moreover, we note that (1.10) implies with a positive constant

$$|u(x)| \leq c(1 + |x|^2)^{\frac{\alpha}{2}} =: h(x).$$

Using this bound we obtain after an integration by parts

$$\begin{aligned} r^{-1} \int_{\Delta_r(x_0)} |u| |\nabla u|^2 dx &\leq cr^{-1} \int_{B_{2r}(x_0)} h \eta^2 \partial_k u^i \partial_k u^i dx \\ &= -cr^{-1} \int_{B_{2r}(x_0)} h u^i \partial_k \partial_k u^i \eta^2 dx \\ &\quad - cr^{-1} \int_{B_{2r}(x_0)} h u^i \partial_k u^i \partial_k \eta^2 dx \\ &\quad - cr^{-1} \int_{B_{2r}(x_0)} \partial_k h u^i \partial_k u^i \eta^2 dx \\ &\leq cr^{-1} \int_{B_{2r}(x_0)} (1 + |x|)^{2\alpha} |\nabla \varepsilon(u)| dx \\ &\quad + cr^{-2} \int_{B_{2r}(x_0)} (1 + |x|)^{2\alpha} |\nabla u| dx + cr^{-1} |T|, \end{aligned}$$

where

$$T := \int_{B_{2r}(x_0)} \partial_k h u^i \partial_k u^i \eta^2 dx.$$

On the set $[\varepsilon(u) = 0]$ we clearly have $\nabla\varepsilon(u) = 0$, if $\varepsilon(u) \neq 0$, then we use the definition of $V(\varepsilon)$ and obtain from Young's inequality

$$\begin{aligned}
r^{-1} \int_{\Delta_r(x_0)} |u| |\nabla u|^2 dx &\leq cr^{-1} \int_{B_{2r}(x_0)} (1+|x|)^{2\alpha} V(\varepsilon(u)) |\nabla\varepsilon(u)| |\varepsilon(u)|^{1-\frac{p}{2}} dx \\
&\quad + cr^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{2\alpha} |\nabla u| dx + cr^{-1} |T| \\
&\leq \delta \int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla\varepsilon(u)|^2 dx \\
&\quad + c(\delta)r^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{4\alpha} |\varepsilon(u)|^{2-p} dx \\
&\quad + cr^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{2\alpha} |\nabla u| dx + cr^{-1} |T|. \tag{3.9}
\end{aligned}$$

Let us look at the quantity T : it holds

$$\begin{aligned}
T &= \int_{B_{2r}(x_0)} \partial_k h \frac{1}{2} \partial_k |u|^2 \eta^2 dx \\
&= - \int_{B_{2r}(x_0)} \partial_k \partial_k h \frac{1}{2} |u|^2 \eta^2 dx - \int_{B_{2r}(x_0)} \partial_k h \frac{1}{2} |u|^2 \partial_k \eta^2 dx,
\end{aligned}$$

hence (recalling the bound for $|u|$ and the definition of h)

$$|T| \leq c \left[\int_{B_{2r}(x_0)} (1+|x|)^{3\alpha-2} dx + r^{-1} \int_{B_{2r}(x_0)} (1+|x|)^{3\alpha-1} dx \right].$$

It is worth remarking that the quantity $\int_{B_{2r}(x_0)} h u^i \partial_k u^i \partial_k \eta^2 dx$ could have been estimated in a similar way. We insert (3.9) combined with the estimate for $|T|$ into the r.h.s. of (3.1) (in the version for the annulus $\Delta_r(x_0)$ in place of $T_r(x_0)$) with the result

$$\begin{aligned}
&\int_{B_r(x_0)} V(\varepsilon(u))^2 |\nabla\varepsilon(u)|^2 dx \\
&\leq \delta \int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla\varepsilon(u)|^2 dx + c(\delta) \left[r^{-2} \int_{B_{2r}(x_0)} |\nabla u|^p dx \right. \\
&\quad + r^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{4\alpha} |\nabla u|^{2-p} dx + r^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{2\alpha} |\nabla u| dx \\
&\quad \left. + r^{-1} \int_{B_{2r}(x_0)} (1+|x|)^{3\alpha-2} dx + r^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{3\alpha-1} dx \right]. \tag{3.10}
\end{aligned}$$

Note that (3.10) holds for all $\delta > 0$ and any disk $B_{2r}(x_0)$. Then Lemma A.4 applied to

(3.10) yields for all disks

$$\begin{aligned}
& \int_{B_r(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx \\
& \leq c \left[r^{-2} \int_{B_{2r}(x_0)} |\nabla u|^p dx \right. \\
& \quad + r^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{4\alpha} |\nabla u|^{2-p} dx + r^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{2\alpha} |\nabla u| dx \\
& \quad \left. + r^{-1} \int_{B_{2r}(x_0)} (1+|x|)^{3\alpha-2} dx + r^{-2} \int_{B_{2r}(x_0)} (1+|x|)^{3\alpha-1} dx \right]. \quad (3.11)
\end{aligned}$$

At this point we make the particular choice $x_0 = 0$. We obtain for $r = R$ sufficiently large

$$\begin{aligned}
& \int_{B_R(0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx \\
& \leq c \left[R^{-2} \int_{B_{2R}(0)} |\nabla u|^p dx \right. \\
& \quad + R^{-2+4\alpha} \int_{B_{2R}(0)} |\nabla u|^{2-p} dx + R^{-2+2\alpha} \int_{B_{2R}(0)} |\nabla u| dx \\
& \quad \left. + R^{-1} \int_{B_{2R}(0)} (1+|x|)^{3\alpha-2} dx + R^{-2} \int_{B_{2R}(0)} (1+|x|)^{3\alpha-1} dx \right]. \quad (3.12)
\end{aligned}$$

The first integral on the r.h.s. of (3.12) is already discussed in (3.7). For the second one we observe with the help of (2.5):

$$\begin{aligned}
R^{-2+4\alpha} \int_{B_{2R}(0)} |\nabla u|^{2-p} dx & \leq c R^{-2+4\alpha} \left[\int_{B_{2R}(0)} |\nabla u|^p dx \right]^{\frac{2-p}{p}} R^{2\frac{2p-2}{p}} \\
& = c R^{-2+4\alpha} R^{(1+3\alpha)\frac{2-p}{p}} R^{2\frac{2p-2}{p}} \\
& = c R^{\frac{p-2}{p}} R^{\alpha\frac{p+6}{p}} \rightarrow 0 \quad \text{as } R \rightarrow \infty,
\end{aligned}$$

where we used the fact that (1.9) is equivalent to

$$\frac{p-2}{p} + \alpha \frac{p+6}{p} < 0.$$

Next we note that (1.9) gives by elementary calculations

$$\alpha < \frac{1}{2p+3}, \quad (3.13)$$

which shows

$$\begin{aligned}
R^{-2+2\alpha} \int_{B_{2R}(0)} |\nabla u| \, dx &\leq cR^{-2+2\alpha} \left[\int_{B_{2R}(0)} |\nabla u|^p \, dx \right]^{\frac{1}{p}} R^{2(1-\frac{1}{p})} \\
&\leq cR^{-2+2\alpha+\frac{1+3\alpha}{p}+2-\frac{2}{p}} \\
&= cR^{-\frac{1}{p}+\alpha\frac{2p+3}{p}} \rightarrow 0 \quad \text{as } R \rightarrow \infty.
\end{aligned}$$

Finally we discuss the last two integrals on the r.h.s. of (3.12): we have

$$\begin{aligned}
R^{-1} \int_{B_{2R}(0)} (1+|x|)^{3\alpha-2} \, dx &= 2\pi R^{-1} \int_0^{2R} (1+t)^{3\alpha-2} t \, dt \\
&\leq 2\pi R^{-1} \int_0^{2R} (1+t)^{3\alpha-1} \, dt \\
&= \frac{2\pi}{3\alpha} R^{-1} [(1+2R)^{3\alpha} - 1] \rightarrow 0
\end{aligned}$$

as $R \rightarrow \infty$ on account of $\alpha < 1/3$. Moreover,

$$R^{-2} \int_{B_{2R}(0)} (1+|x|)^{3\alpha-1} \, dx \leq cR^{-2} R^{3\alpha-1} \rightarrow 0$$

as $R \rightarrow \infty$, and with (3.12) we have shown

$$\int_{\mathbb{R}^2} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 \, dx = 0,$$

which implies (3.8).

On the set $[\varepsilon(u) = 0]$ we once more observe $\nabla \varepsilon(u) = 0$, hence $\nabla^2 u = 0$ by recalling the inequality $|\nabla^2 u| \leq c|\nabla \varepsilon(u)|$ a.e. On the set $[\varepsilon(u) \neq 0]$ we deduce $\nabla \varepsilon(u) = 0$ from (3.8). Thus $\nabla^2 u = 0$ on \mathbb{R}^2 , which means that u is affine. However, since we assume the growth condition (1.10), the constancy of u is established, which completes the proof of Theorem 1.1. \square

The proof of Theorem 1.2 additionally needs the following auxiliary results:

Lemma 3.2 *If u is as in Lemma 3.1, then $v := |\varepsilon(u)|^{\frac{p}{2}}$ belongs to the space $W_{2,\text{loc}}^1(\mathbb{R}^2)$ and*

$$\int_{\Omega} |\nabla v|^2 \, dx \leq c \int_{\Omega} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 \, dx$$

for any domain $\Omega \Subset \mathbb{R}^2$.

Proof of Lemma 3.2. Let $v_\delta := (\delta + |\varepsilon(u)|)^{p/2}$, $\delta > 0$. From $u \in W_{p,\text{loc}}^2(\mathbb{R}^2, \mathbb{R}^2)$ it easily follows that $v_\delta \in W_{2,\text{loc}}^1(\mathbb{R}^2)$ together with

$$|\nabla v_\delta|^2 \begin{cases} \leq cV(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 & \text{on the set } [\varepsilon(u) \neq 0], \\ = 0 & \text{on the set } [\varepsilon(u) = 0], \end{cases} \quad (3.14)$$

so that the sequence $\{v_\delta\}$ is locally uniformly bounded in $W_{2,\text{loc}}^1(\mathbb{R}^2)$, thus

$$v_\delta \rightharpoonup \tilde{v} \quad \text{in } W_{2,\text{loc}}^1(\mathbb{R}^2).$$

Clearly $\tilde{v} = v$, and the desired estimate for $\int_\Omega |\nabla v|^2 dx$ follows from (3.14) and lower semicontinuity. \square

Lemma 3.3 *Suppose that $v \in C^1(\mathbb{R}^2)$ satisfies $\int_{\mathbb{R}^2} |\nabla v|^p dx < \infty$ for some $p \in (1, 2)$. Then it holds*

$$\limsup_{R \rightarrow \infty} R^{-2} \int_{B_R(0)} |v| dx < \infty,$$

in particular we deduce for any $\beta > 2$

$$\lim_{R \rightarrow \infty} R^{-\beta} \int_{B_R(0)} |v| dx = 0.$$

Proof of Lemma 3.3. W.l.o.g. let $x_0 = 0$ and fix some real number $\gamma > 0$. Introducing polar coordinates r, θ we define

$$f(r, \theta) = |v(r \cos(\theta), r \sin(\theta))| + \gamma.$$

The following calculations are essentially due to Gilbarg and Weinberger (see [GW], proof of Lemma 2.1). We have by Hölder's inequality

$$\begin{aligned} & \frac{d}{dr} \left[\int_0^{2\pi} f(r, \theta)^p d\theta \right]^{\frac{1}{p}} \\ & \leq \left[\int_0^{2\pi} f(r, \theta)^p d\theta \right]^{\frac{1}{p}-1} \int_0^{2\pi} f(r, \theta)^{p-1} |f_r(r, \theta)| d\theta \\ & \leq \left[\int_0^{2\pi} f(r, \theta)^p d\theta \right]^{\frac{1}{p}-1} \left[\int_0^{2\pi} f(r, \theta)^p d\theta \right]^{\frac{p-1}{p}} \left[\int_0^{2\pi} |f_r(r, \theta)|^p d\theta \right]^{\frac{1}{p}}, \end{aligned}$$

where we use the symbol f_r for the partial derivative of f with respect to the variable r . Thus, for any $\gamma > 0$ we have shown (recall that f is depending on the parameter γ)

$$\frac{d}{dr} \left[\int_0^{2\pi} f(r, \theta)^p d\theta \right]^{\frac{1}{p}} \leq \left[\int_0^{2\pi} |f_r(r, \theta)|^p d\theta \right]^{\frac{1}{p}}. \quad (3.15)$$

Now let

$$\varphi(t) := \left[\int_0^{2\pi} f(t, \theta)^p d\theta \right]^{\frac{1}{p}}.$$

From (3.15) we get for any $R > 1$:

$$\begin{aligned} \varphi(R) - \varphi(1) &\leq \int_1^R \left[\int_0^{2\pi} |f_r(r, \theta)|^p d\theta \right]^{\frac{1}{p}} dr \\ &= \int_1^R \left[\int_0^{2\pi} |f_r(r, \theta)|^p d\theta \right]^{\frac{1}{p}} r^{\frac{1}{p}} r^{-\frac{1}{p}} dr \\ &\leq \left[\int_1^R \left[\int_0^{2\pi} |f_r(r, \theta)|^p d\theta \right] r dr \right]^{\frac{1}{p}} \left[\int_1^R r^{-\frac{1}{p} \frac{p}{p-1}} dr \right]^{1-\frac{1}{p}}, \end{aligned}$$

where we have used Hölder's inequality once more. This shows (recall $p < 2$)

$$\varphi(R) \leq \varphi(1) + c(p) \left[\int_1^R \int_0^{2\pi} |f_r(r, \theta)|^p r d\theta dr \right]^{\frac{1}{p}}$$

and since

$$|f_r(r, \theta)| \leq |\nabla v|(re^{i\theta}),$$

we deduce

$$\varphi(R) \leq \varphi(1) + c(p) \left[\int_{B_R(0) - \overline{B_1(0)}} |\nabla v|^p dx \right]^{\frac{1}{p}}. \quad (3.16)$$

In (3.16) we pass to the limit $\gamma \rightarrow 0$ and the finiteness of the energy then yields the inequality

$$\sup_{R \geq 1} \int_0^{2\pi} |v(R \cos(\theta), R \sin(\theta))|^p d\theta < \infty. \quad (3.17)$$

Hence, for any $R > 1$ we obtain from (3.17)

$$\begin{aligned} \int_{B_R(0)} |v|^p dx &= \int_0^R \int_0^{2\pi} |v(r \cos(\theta), r \sin(\theta))|^p r d\theta dr \\ &\leq c + \int_1^R \int_0^{2\pi} |v(r \cos(\theta), r \sin(\theta))|^p r d\theta dr \\ &\leq c(1 + R^2), \end{aligned}$$

which proves Lemma 3.3. □

Proof of Theorem 1.2. Now our assumption on u is

$$\int_{\mathbb{R}^2} |\nabla u|^p dx < \infty, \quad (3.18)$$

and in view of this hypothesis and by quoting Lemma 3.1 we have to discuss the quantity

$$r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx$$

in order to verify (3.8) for the situation at hand. Let

$$A := \fint_{T_r(x_0)} u dx .$$

Clearly it holds

$$r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx \leq cr^{-1} \int_{T_r(x_0)} |u - A| |\nabla u|^2 dx + cr^{-1} |A| \int_{T_r(x_0)} |\nabla u|^2 dx . \quad (3.19)$$

In (3.19) we apply Hölder's and Young's inequality and get for any $\delta > 0$

$$\begin{aligned} r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx &\leq c \left[\int_{T_r(x_0)} \left[\frac{|u - A|}{r} \right]^{\frac{p}{p-1}} dx \right]^{\frac{p-1}{p}} \left[\int_{T_r(x_0)} |\nabla u|^{2p} dx \right]^{\frac{1}{p}} \\ &\quad + \delta \int_{T_r(x_0)} |\nabla u|^{2p} dx \\ &\quad + c(\delta) r^2 \left[r^{-3} \int_{T_r(x_0)} |u| dx \right]^{\frac{p}{p-1}} . \end{aligned} \quad (3.20)$$

To the first integral on the r.h.s. of (3.20) we apply the Sobolev-Poincaré inequality: let $p^* := 2p'/(2 + p')$, $p' := p/(p - 1)$, so that p^* is the Sobolev exponent of p^* .

Let us first consider the case $p \geq 4/3$ for which $p^* \leq p$. Then we have

$$\left[\int_{T_r(x_0)} |u - A|^{p'} dx \right]^{\frac{1}{p'}} \leq c \left[\int_{T_r(x_0)} |\nabla u|^{p^*} dx \right]^{\frac{1}{p^*}} ,$$

and by Hölder's inequality

$$\begin{aligned} \left[\int_{T_r(x_0)} |u - A|^{p'} dx \right]^{\frac{1}{p'}} &\leq c \left[\int_{T_r(x_0)} |\nabla u|^p dx \right]^{\frac{1}{p}} r^{2(1 - \frac{p^*}{p}) \frac{1}{p^*}} \\ &= cr^{3 - \frac{4}{p}} \left[\int_{T_r(x_0)} |\nabla u|^p dx \right]^{\frac{1}{p}} . \end{aligned}$$

We therefore obtain

$$\begin{aligned}
r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx &\leq cr^{2-\frac{4}{p}} \left[\int_{T_r(x_0)} |\nabla u|^p dx \right]^{\frac{1}{p}} \left[\int_{T_r(x_0)} |\nabla u|^{2p} dx \right]^{\frac{1}{p}} \\
&\quad + \delta \int_{T_r(x_0)} |\nabla u|^{2p} dx \\
&\quad + c(\delta)r^2 \left[r^{-3} \int_{T_r(x_0)} |u| dx \right]^{\frac{p}{p-1}}. \tag{3.21}
\end{aligned}$$

Let $\gamma := 2 - 4/p$ and assume w.l.o.g. that $p < 2$, hence $\gamma < 0$. Using our assumption (3.18) in (3.21), we find

$$\begin{aligned}
r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx &\leq \delta \int_{T_r(x_0)} |\nabla u|^{2p} dx + cr^\gamma \left[\int_{T_r(x_0)} |\nabla u|^{2p} dx \right]^{\frac{1}{p}} \\
&\quad + c(\delta)r^2 \left[r^{-3} \int_{T_r(x_0)} |u| dx \right]^{\frac{p}{p-1}},
\end{aligned}$$

and another application of Young's inequality shows

$$\begin{aligned}
r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx &\leq 2\delta \int_{T_r(x_0)} |\nabla u|^{2p} dx \\
&\quad + c(\delta) \left[r^{\gamma \frac{p}{p-1}} + r^2 \left[r^{-3} \int_{T_r(x_0)} |u| dx \right]^{\frac{p}{p-1}} \right]. \tag{3.22}
\end{aligned}$$

Next we discuss the quantity $\int_{B_{2r}(x_0)} |\nabla u|^{2p} dx$: by Korn's inequality Lemma A.2, *ii*), we have

$$\int_{B_{2r}(x_0)} |\nabla u|^{2p} dx \leq c \left[\int_{B_{2r}(x_0)} |\varepsilon(u)|^{2p} dx + r^{-2p} \int_{B_{2r}(x_0)} |u|^{2p} dx \right]. \tag{3.23}$$

Since u is a function of class $C^1(\mathbb{R}^2, \mathbb{R}^2)$ and thereby an element of the space $W_{2p, \text{loc}}^1(\mathbb{R}^2, \mathbb{R}^2)$ we can apply the L^{2p} -variant of Korn's inequality to get (3.23). Let $B := \int_{B_{2r}(x_0)} u dx$ and $q := 4p/(2 + 2p)$, i.e. $2p$ is the Sobolev exponent of q . We therefore get from the Sobolev-Poincaré inequality

$$\begin{aligned}
\|u\|_{L^{2p}(B_{2r}(x_0))} &\leq c \left[\|u - B\|_{L^{2p}(B_{2r}(x_0))} + |B| r^{\frac{1}{p}} \right] \\
&\leq c \left[\|\nabla u\|_{L^q(B_{2r}(x_0))} + |B| r^{\frac{1}{p}} \right] \\
&\leq c \left[\left[\int_{B_{2r}(x_0)} |\nabla u|^p dx \right]^{\frac{1}{p}} r^{2(\frac{1}{q} - \frac{1}{p})} + |B| r^{\frac{1}{p}} \right],
\end{aligned}$$

hence (quoting (3.18))

$$r^{-2p} \int_{B_{2r}(x_0)} |u|^{2p} dx \leq c[r^{-2} + |B|^{2p} r^{2-2p}]. \quad (3.24)$$

By Lemma 3.2 the function $v := |\varepsilon(u)|^{p/2}$ is in the local space $W_{2,\text{loc}}^1(\mathbb{R}^2)$, and from Lemma A.3 we obtain

$$\begin{aligned} \int_{B_{2r}(x_0)} |\varepsilon(u)|^{2p} dx &\leq c \left[\int_{B_{2r}(x_0)} |\varepsilon(u)|^p dx \int_{B_{2r}(x_0)} |\nabla v|^2 dx \right. \\ &\quad \left. + r^{-2} \left[\int_{B_{2r}(x_0)} |\varepsilon(u)|^p dx \right]^2 \right], \end{aligned}$$

thus by (3.18) and the estimate for $\int_{B_{2r}(x_0)} |\nabla v|^2 dx$ stated in Lemma 3.2 we find

$$\int_{B_{2r}(x_0)} |\varepsilon(u)|^{2p} dx \leq c \left[\int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx + r^{-2} \right]. \quad (3.25)$$

Inserting (3.23)-(3.25) into (3.22) we get

$$\begin{aligned} r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx &\leq 2\delta \int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx \\ &\quad + c(\delta) \left[r^{-2} + |B|^{2p} r^{2-2p} + r^{\gamma \frac{p}{p-1}} \right. \\ &\quad \left. + r^2 \left[r^{-3} \int_{T_r(x_0)} |u| dx \right]^{\frac{p}{p-1}} \right]. \end{aligned} \quad (3.26)$$

Next we return to (3.1) estimating the second term on the r.h.s. through (3.26) with the result (replacing δ by $\delta/2$)

$$\begin{aligned} \int_{B_r(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx &\leq \delta \int_{B_{2r}(x_0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx \\ &\quad + c(\delta) \left[r^{-2} + r^{\gamma \frac{p}{p-1}} + r^{2-2p} \left[\int_{B_{2r}(x_0)} |u| dx \right]^{2p} \right. \\ &\quad \left. + r^2 \left[R^{-3} \int_{B_{2r}(x_0)} |u| dx \right]^{\frac{p}{p-1}} \right]. \end{aligned}$$

Applying the δ -Lemma A.4 we arrive at (after choosing $r = R \geq 1$ and $x_0 = 0$)

$$\begin{aligned} \int_{B_R(0)} V(\varepsilon(u))^2 |\nabla \varepsilon(u)|^2 dx &\leq c \left[R^{-2} + R^{\gamma \frac{p}{p-1}} + \left[R^{\frac{1}{p}-3} \int_{B_{2R}(0)} |u| dx \right]^{2p} \right. \\ &\quad \left. + \left[R^{2\frac{p-1}{p}-3} \int_{B_{2R}(0)} |u| dx \right]^{\frac{p}{p-1}} \right]. \end{aligned} \quad (3.27)$$

By Lemma 3.3 it follows that the r.h.s. of (3.27) vanishes as $R \rightarrow \infty$, thus we obtain (3.8) and, as outlined at the end of the proof of Theorem 1.1, u has to be an affine function. But then (3.18) yields the constancy of u , which proves Theorem 1.2 in the case $p \geq 4/3$.

If $6/5 < p < 4/3$ we return to (3.21) and estimate the r.h.s. of the inequality stated in (3.20) in a different way: observing that by the choice of p

$$p < p^* = \frac{2p}{3p-2} < 2p,$$

we can apply the interpolation inequality

$$\|\nabla u\|_{p^*} \leq \|\nabla u\|_p^\alpha \|\nabla u\|_{2p}^{1-\alpha},$$

where all norms are calculated over $T_r(x_0)$ and where

$$\frac{1}{p^*} = \frac{\alpha}{p} + \frac{1-\alpha}{2p}, \quad \text{hence} \quad \alpha = \frac{2p}{p^*} - 1.$$

This gives using (3.18)

$$\begin{aligned} \left[\int_{T_r(x_0)} \left| \frac{u-A}{r} \right|^{\frac{p}{p-1}} dx \right]^{\frac{p-1}{p}} \left[\int_{T_r(x_0)} |\nabla u|^{2p} dx \right]^{\frac{1}{p}} &\leq cr^{-1} \|\nabla u\|_{p^*} \|\nabla u\|_{2p}^2 \\ &\leq cr^{-1} \|\nabla u\|_p^\alpha \|\nabla u\|_{2p}^{2+1-\alpha} \\ &\leq cr^{-1} \left[\int_{T_r(x_0)} |\nabla u|^{2p} dx \right]^{\frac{3-\alpha}{2p}}. \end{aligned}$$

With elementary calculations one obtains

$$\frac{3-\alpha}{2p} = \frac{6-3p}{2p}$$

and we find that

$$\frac{3-\alpha}{2p} < 1$$

is true under our hypothesis $p > 6/5$. This gives us the flexibility to apply Young's inequality with the result

$$r^{-1} \left[\int_{T_r(x_0)} |\nabla u|^{2p} dx \right]^{\frac{3-\alpha}{2p}} \leq c \left[r^{-\kappa} + \int_{T_r(x_0)} |\nabla u|^{2p} dx \right]$$

with a suitable positive exponent κ . Using this estimate in (3.20) the proof can be finished as before. \square

4 The case $p > 2$

We start with an appropriate variant of Lemma 3.1 which is more difficult to establish since now we can no longer benefit from the higher weak differentiability results of Naumann [Na] and Wolf [Wo].

Lemma 4.1 *Let $u \in C^1(\mathbb{R}^2, \mathbb{R}^2)$ denote a solenoidal field satisfying (1.7) with $H(\varepsilon) = |\varepsilon|^p$ for some exponent $p > 2$. Moreover, let*

$$W := W(\varepsilon(u)) := |\varepsilon(u)|^{\frac{p-2}{2}} \varepsilon(u) .$$

Then it holds:

i) W is in the space $W_{2,\text{loc}}^1(\mathbb{R}^2, \mathbb{R}^{2 \times 2})$.

ii) There exists a finite constant c independent of u such that for any $\delta > 0$ and for each $q > 2$

$$\begin{aligned} \int_{B_r(x_0)} |\nabla W|^2 dx &\leq \delta \int_{B_{2r}(x_0)} |\nabla W|^2 dx + c \left[\delta^{-1} r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx \right. \\ &\quad \left. + r^{-1} \left[\int_{T_r(x_0)} |u|^{\frac{q}{q-2}} dx \right]^{1-\frac{2}{q}} \left[\int_{T_r(x_0)} |\nabla u|^q dx \right]^{\frac{2}{q}} \right] \end{aligned} \quad (4.1)$$

for any disk $B_r(x_0)$.

Proof. We use the difference quotient technique and let

$$\Delta_h^\alpha v(x) := \frac{1}{h} (v(x + h e_\alpha) - v(x))$$

for functions v , parameters $h \neq 0$ and a coordinate direction e_α , $\alpha = 1, 2$. If $\varphi \in C_0^1(\mathbb{R}^2, \mathbb{R}^2)$ satisfies $\text{div } \varphi = 0$, then we have the equation(1.7) together with the identity

$$0 = \int_{\mathbb{R}^2} DH(\varepsilon(u))(x + h e_\alpha) : \varepsilon(\varphi)(x) dx + \int_{\mathbb{R}^2} (u^k \partial_k u^i)(x + h e_\alpha) \varphi^i(x) dx ,$$

hence after subtracting the equations and after dividing by h

$$\int_{\mathbb{R}^2} \Delta_h^\alpha (DH(\varepsilon(u))) : \varepsilon(\varphi) dx + \int_{\mathbb{R}^2} \Delta_h^\alpha (u^k \partial_k u) \cdot \varphi dx = 0 , \quad (4.2)$$

and (4.2) clearly extends to solenoidal fields from $W_{p,\text{loc}}^1(\mathbb{R}^2, \mathbb{R}^2)$ with compact support. Alternatively – taking into account the pressure function π in the weak form of (1.4) – we can replace (4.2) by

$$\begin{aligned} 0 &= \int_{\mathbb{R}^2} \Delta_h^\alpha (DH(\varepsilon(u))) : \varepsilon(\varphi) dx + \int_{\mathbb{R}^2} \Delta_h^\alpha (u^k \partial_k u) \cdot \varphi dx - \int_{\mathbb{R}^2} \Delta_h^\alpha \pi \text{div } \varphi dx \\ &=: T_1 + T_2 + T_3 \end{aligned} \quad (4.3)$$

valid for all $\varphi \in W_{p,\text{loc}}^1(\mathbb{R}^2, \mathbb{R}^2)$ with compact support. In (4.3) we choose $\varphi := \varphi_\alpha := \eta^2 \Delta_h^\alpha u$ with $\alpha = 1, 2$ being fixed (no summation convention w.r.t. α) and with $\eta \in C_0^2(B_{2r}(x_0))$, $0 \leq \eta \leq 1$, $\eta = 1$ on $B_r(x_0)$, $|\nabla \eta| \leq cr^{-1}$. We discuss the quantities T_i from (4.3) related to our choice of φ : it holds

$$\begin{aligned} T_1 &= \int_{B_{2r}(x_0)} \Delta_h^\alpha (DH(\varepsilon(u))) : \varepsilon(\Delta_h^\alpha u) \eta^2 \, dx \\ &\quad + \int_{B_{2r}(x_0)} \Delta_h^\alpha (DH(\varepsilon(u))) : (\nabla \eta^2 \otimes \Delta_h^\alpha u) \, dx \\ &=: U_1 + U_2, \end{aligned}$$

and for U_1 we observe

$$\begin{aligned} &\Delta_h^\alpha (|\varepsilon(u)|^{p-2} \varepsilon(u))(x) : \varepsilon(\Delta_h^\alpha u)(x) \\ &= \frac{1}{h} \left[|\varepsilon(u)|^{p-2}(x + he_\alpha) \varepsilon(u)(x + he_\alpha) - |\varepsilon(u)|^{p-2}(x) \varepsilon(u)(x) \right] : \\ &\quad \frac{1}{h} \left[\varepsilon(u)(x + he_\alpha) - \varepsilon(u)(x) \right] \\ &\geq c \left[|\varepsilon(u)|^{p-2}(x + he_\alpha) + |\varepsilon(u)|^{p-2}(x) \right] \Delta_h^\alpha \varepsilon(u)(x) : \Delta_h^\alpha \varepsilon(u)(x), \end{aligned}$$

where the last inequality can be easily deduced from Lemma A.5, *ii*). At the same time, Lemma A.5, *i*), implies

$$\begin{aligned} &\frac{1}{|h|} \left| |\varepsilon(u)|^{p-2}(x + he_\alpha) \varepsilon(u)(x + he_\alpha) - |\varepsilon(u)|^{p-2}(x) \varepsilon(u)(x) \right| \\ &\leq c \left[|\varepsilon(u)|^2(x + he_\alpha) + |\varepsilon(u)|^2(x) \right]^{\frac{p-2}{2}} \frac{1}{|h|} |\varepsilon(u)(x + he_\alpha) - \varepsilon(u)(x)|, \end{aligned}$$

thus using Young's inequality

$$\begin{aligned} |U_2| &\leq c \int_{B_{2r}(x_0)} \left[|\varepsilon(u)|(x + he_\alpha) + |\varepsilon(u)|(x) \right]^{p-2} |\Delta_h^\alpha \varepsilon(u)| |\Delta_h^\alpha u| |\nabla \eta|^2 \, dx \\ &\leq \delta \int_{B_{2r}(x_0)} \left[|\varepsilon(u)|(x + he_\alpha) + |\varepsilon(u)|(x) \right]^{p-2} \Delta_h^\alpha \varepsilon(u) : \Delta_h^\alpha \varepsilon(u) \eta^2 \, dx \\ &\quad + c\delta^{-1} \int_{B_{2r}(x_0)} \left[|\varepsilon(u)|(x + he_\alpha) + |\varepsilon(u)|(x) \right]^{p-2} |\nabla \eta|^2 \Delta_h^\alpha u \cdot \Delta_h^\alpha u \, dx \end{aligned}$$

for any $\delta > 0$. Combining these estimates, returning to (4.3) and choosing δ small enough we find

$$\begin{aligned} &\int_{B_{2r}(x_0)} \left[|\varepsilon(u)|^{p-2}(x + he_\alpha) + |\varepsilon(u)|^{p-2}(x) \right] \eta^2 \Delta_h^\alpha \varepsilon(u) : \Delta_h^\alpha \varepsilon(u) \, dx \\ &\leq c \left[\int_{T_r(x_0)} \left[|\varepsilon(u)|^{p-2}(x + he_\alpha) + |\varepsilon(u)|^{p-2}(x) \right] |\nabla \eta|^2 \Delta_h^\alpha u \cdot \Delta_h^\alpha u \, dx \right. \\ &\quad \left. + |T_2| + |T_3| \right]. \end{aligned} \tag{4.4}$$

Next we look at the pressure term T_3 : we have

$$\operatorname{div}(\eta^2 \Delta_h^\alpha u) = \nabla \eta^2 \cdot \Delta_h^\alpha u =: f_h^\alpha$$

where the function f_h^α is compactly supported in $T_r(x_0)$. Moreover, we have by the definition of f_h^α and the properties of η

$$\begin{aligned} \int_{T_r(x_0)} f_h^\alpha \, dx &= \int_{T_r(x_0)} \operatorname{div}(\eta^2 \cdot \Delta_h^\alpha u) \, dx \\ &= - \int_{\partial B_r(x_0)} \Delta_h^\alpha u(x) \cdot \frac{x - x_0}{r} \, d\mathcal{H}^1(x) \\ &= - \int_{B_r(x_0)} \operatorname{div}(\Delta_h^\alpha u) \, dx = 0, \end{aligned}$$

where \mathcal{H}^1 denotes the one-dimensional Hausdorff-measure. According to Lemma A.1 we find $\psi_h^\alpha \in \mathring{W}_p^1(T_r(x_0), \mathbb{R}^2)$ satisfying $\operatorname{div} \psi_h^\alpha = f_h^\alpha$ on $T_r(x_0)$ and sharing the usual estimates on the annulus $T_r(x_0)$. We get

$$\begin{aligned} |T_3| &= \left| \int_{T_r(x_0)} \Delta_h^\alpha \pi \operatorname{div}(\eta^2 \Delta_h^\alpha u) \, dx \right| = \left| \int_{T_r(x_0)} \Delta_h^\alpha \pi f_h^\alpha \, dx \right| \\ &= \left| \int_{T_r(x_0)} \Delta_h^\alpha \pi \operatorname{div} \psi_h^\alpha \, dx \right| \end{aligned}$$

and if we use (4.3) with ψ_h^α as test function it follows

$$\begin{aligned} |T_3| &= \left| \int_{T_r(x_0)} \Delta_h^\alpha (DH(\varepsilon(u))) : \varepsilon(\psi_h^\alpha) \, dx + \int_{T_r(x_0)} \Delta_h^\alpha (u^k \partial_k u) \cdot \psi_h^\alpha \, dx \right| \\ &=: |S_1 + S_2|. \end{aligned} \tag{4.5}$$

For S_1 we first observe (compare the discussion of U_2)

$$\begin{aligned} |S_1| &\leq c \int_{T_r(x_0)} |\Delta_h^\alpha (|\varepsilon(u)|^{p-2} \varepsilon(u))| |\varepsilon(\psi_h^\alpha)| \, dx \\ &\leq c \int_{T_r(x_0)} (|\varepsilon(u)|(x + h e_\alpha) + |\varepsilon(u)|(x))^{p-2} |\Delta_h^\alpha \varepsilon(u)| |\varepsilon(\psi_h^\alpha)| \, dx \end{aligned}$$

and then use Young's inequality to get for any $\delta > 0$

$$\begin{aligned} |S_1| &\leq \delta \int_{T_r(x_0)} (|\varepsilon(u)|(x + h e_\alpha) + |\varepsilon(u)|(x))^{p-2} |\Delta_h^\alpha \varepsilon(u)|^2 \, dx \\ &\quad + c\delta^{-1} \int_{T_r(x_0)} (|\varepsilon(u)|(x + h e_\alpha) + |\varepsilon(u)|(x))^{p-2} |\varepsilon(\psi_h^\alpha)|^2 \, dx. \end{aligned} \tag{4.6}$$

According to [Ga1], Theorem 3.2, p. 130, the support of ψ_h^α is compact in $T_r(x_0)$ and by quoting Lemma 7.23 of [GT] we can estimate using Hölder's inequality

$$\begin{aligned}
& c\delta^{-1} \int_{T_r(x_0)} (|\varepsilon(u)|(x + he_\alpha) + |\varepsilon(u)|(x))^{p-2} |\varepsilon(\psi_h^\alpha)|^2 dx \\
& \leq c\delta^{-1} \left[\int_{T_r(x_0)} |\varepsilon(\psi_h^\alpha)|^p dx \right]^{\frac{2}{p}} \left[\int_{T_r(x_0)} |\nabla u|^p dx \right]^{1-\frac{2}{p}} \\
& \leq c\delta^{-1} r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx .
\end{aligned} \tag{4.7}$$

We apply a similar reasoning to the first term on the r.h.s. of (4.4) and get from (4.4)–(4.7)

$$\begin{aligned}
& \int_{B_r(x_0)} \eta^2 (|\varepsilon(u)|^{p-2}(x + he_\alpha) + |\varepsilon(u)|^{p-2}(x)) |\Delta_h^\alpha \varepsilon(u)|^2 dx \\
& \leq \delta \int_{T_r(x_0)} (|\varepsilon(u)|^{p-2}(x + he_\alpha) + |\varepsilon(u)|^{p-2}(x)) |\Delta_h^\alpha \varepsilon(u)|^2 dx \\
& \quad + c\delta^{-1} r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx + c[|T_2| + |S_2|]
\end{aligned} \tag{4.8}$$

with T_2 defined in (4.3) for the choice $\varphi = \eta^2 \Delta_h^\alpha u$ and S_2 from (4.5). Let us look at T_2 : we have

$$\begin{aligned}
T_2 &= \int_{B_{2r}(x_0)} \Delta_h^\alpha (u^k \partial_k u^i) \eta^2 \Delta_h^\alpha u^i dx \\
&= \int_{B_{2r}(x_0)} \Delta_h^\alpha u^k \partial_k u^i \Delta_h^\alpha u^i \eta^2 dx + \int_{B_{2r}(x_0)} u^k \partial_k (\Delta_h^\alpha u^i) \Delta_h^\alpha u^i \eta^2 dx \\
&= \int_{B_{2r}(x_0)} \Delta_h^\alpha u^k \partial_k u^i \Delta_h^\alpha u^i \eta^2 dx - \frac{1}{2} \int_{B_{2r}(x_0)} u^k (\Delta_h^\alpha u \cdot \Delta_h^\alpha u) \partial_k \eta^2 dx ,
\end{aligned}$$

hence

$$|T_2| \leq c \left[\int_{B_{2r}(x_0)} (\Delta_h^\alpha u \cdot \Delta_h^\alpha u) |\nabla u| dx + \frac{1}{r} \int_{T_r(x_0)} (\Delta_h^\alpha u \cdot \Delta_h^\alpha u) |u| dx \right]. \tag{4.9}$$

For estimating S_2 we again use the properties of ψ_h^α as already done after (4.6):

$$\begin{aligned}
S_2 &= - \int_{T_r(x_0)} u^k \partial_k u \cdot \Delta_h^\alpha \psi_h^\alpha dx \\
&\leq \left[\int_{T_r(x_0)} |\nabla \psi_h^\alpha|^2 dx \right]^{\frac{1}{2}} \left[\int_{T_r(x_0)} |u|^2 |\nabla u|^2 dx \right]^{\frac{1}{2}} \\
&\leq cr^{-1} \left[\int_{T_r(x_0)} |\nabla u|^2 dx \right]^{\frac{1}{2}} \left[\int_{T_r(x_0)} |u|^2 |\nabla u|^2 dx \right]^{\frac{1}{2}},
\end{aligned}$$

thus

$$|S_2| \leq c \left[r^{-1} \int_{T_r(x_0)} |\nabla u|^2 dx + r^{-1} \int_{T_r(x_0)} |u|^2 |\nabla u|^2 dx \right]. \quad (4.10)$$

Inserting (4.9) and (4.10) into (4.8) and using the δ -Lemma A.4 with suitable functions f , f_j and g (replacing the domain of integration $T_r(x_0)$ through $B_{2r}(x_0)$ on the r.h.s. of the inequalities under consideration), we deduce

$$\int_{B_r(x_0)} (|\varepsilon(u)|^{p-2}(x + he_\alpha) + |\varepsilon(u)|^{p-2}(x)) |\Delta_h^\alpha \varepsilon(u)|^2 dx \leq c(r, u) < \infty \quad (4.11)$$

for a constant $c(r, u)$ being independent of h . Now it is easy to see (cf. Lemma A.5, *i*) that

$$\Delta_h^\alpha W(\varepsilon(u)) : \Delta_h^\alpha W(\varepsilon(u))$$

can be bounded from above by the quantity

$$(|\varepsilon(u)|^{p-2}(x + he_\alpha) + |\varepsilon(u)|^{p-2}(x)) |\Delta_h^\alpha \varepsilon(u)|^2,$$

so that (4.11) implies

$$W(\varepsilon(u)) \in W_{2,\text{loc}}^1(\mathbb{R}^2, \mathbb{R}^{2 \times 2}). \quad (4.12)$$

At the same time we can deduce from (4.8) and the subsequent estimates by taking from now on the sum w.r.t. α (letting $W = W(\varepsilon(u))$) and using the formulas for T_2 , S_2)

$$\begin{aligned} & \int_{B_r(x_0)} \Delta_h^\alpha W : \Delta_h^\alpha W dx \\ & \leq \delta \int_{B_{2r}(x_0)} \Delta_h^\alpha W : \Delta_h^\alpha W dx + c \left[\delta^{-1} r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx \right. \\ & \quad + \int_{B_{2r}(x_0)} |\Delta_h^\alpha u^k \partial_k u^i \Delta_h^\alpha u^i| dx + r^{-1} \int_{T_r(x_0)} |u| (\Delta_h^\alpha u \cdot \Delta_h^\alpha u) dx \\ & \quad \left. + r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx + r \int_{T_r(x_0)} |u| |\Delta_{-h}^\alpha \psi_h^\alpha|^2 dx \right]. \end{aligned} \quad (4.13)$$

Here the third and the fourth integral on the r.h.s. correspond to T_2 , whereas the last two ones are produced by breaking up S_2 with the help of Young's inequality. Using the properties of ψ_h^α we can estimate the last integral on the r.h.s. of (4.13) by Hölder's inequality in order to get for any $q > 2$

$$\begin{aligned} \int_{T_r(x_0)} |u| |\Delta_{-h}^\alpha \psi_h^\alpha|^2 dx & \leq \left[\int_{T_r(x_0)} |u|^{\frac{q}{q-2}} \right]^{1-\frac{2}{q}} \left[\int_{T_r(x_0)} |\Delta_{-h}^\alpha \psi_h^\alpha|^q dx \right]^{\frac{2}{q}} \\ & \leq cr^{-2} \left[\int_{T_r(x_0)} |u|^{\frac{q}{q-2}} \right]^{1-\frac{2}{q}} \left[\int_{T_r(x_0)} |\nabla u|^q dx \right]^{\frac{2}{q}}, \end{aligned}$$

If we insert this estimate into (4.13), we obtain after passing to the limit $h \rightarrow 0$ (using $\partial_\alpha u^k \partial_k u^i \partial_\alpha u^i \equiv 0$)

$$\begin{aligned} \int_{B_r(x_0)} |\nabla W(\varepsilon(u))|^2 dx &\leq \delta \int_{B_{2r}(x_0)} |\nabla W(\varepsilon(u))|^2 dx \\ &+ c \left[\delta^{-1} r^{-2} \int_{T_r(x_0)} |\nabla u|^p dx + r^{-1} \int_{T_r(x_0)} |u| |\nabla u|^2 dx \right. \\ &\left. + r^{-1} \left[\int_{T_r(x_0)} |u|^{\frac{q}{q-2}} dx \right]^{1-\frac{2}{q}} \left[\int_{T_r(x_0)} |\nabla u|^q dx \right]^{\frac{2}{q}} \right], \end{aligned} \quad (4.14)$$

and (4.14) holds for all $\delta > 0$, all disks $B_r(x_0)$ and for any $q > 2$. Hence, with (4.14) our claim (4.1) is established. \square

We also need a substitute for Lemma 3.3.

Lemma 4.2 *Suppose that $v \in C^1(\mathbb{R}^2)$ satisfies $\int_{\mathbb{R}^2} |\nabla v|^p dx < \infty$ for some $p \in (2, \infty)$. Then we have*

$$\limsup_{R \rightarrow \infty} \frac{1}{R^{3-\frac{2}{p}}} \int_{B_R(0)} |v| dx < \infty.$$

Proof of Lemma 4.2. From the proof of Lemma 3.3 we recall the inequality

$$\varphi(R) - \varphi(1) \leq \left[\int_1^R \int_0^{2\pi} |f_r(r, \theta)|^p d\theta dr \right]^{\frac{1}{p}} \left[\int_1^R r^{-\frac{1}{p} \frac{p}{p-1}} dr \right]^{1-\frac{1}{p}}$$

being valid also for $p \geq 2$. In place of (3.16) we obtain (recalling $|f_r(r, \theta)| \leq |\nabla v(re^{i\theta})|$)

$$\varphi(R) \leq \varphi(1) + c(p) R^{\frac{p-2}{p}} \left[\int_{B_R(0)-B_1(0)} |\nabla u|^p dx \right]^{\frac{1}{p}},$$

provided we choose $R \geq 1$. Using the finiteness of the energy we get after passing to the limit $\gamma \rightarrow 0$

$$\sup_{R \geq 1} R^{2-p} \int_0^{2\pi} |v(R \cos(\theta), R \sin(\theta))|^p d\theta < \infty.$$

This estimate implies for $R \geq 1$

$$\begin{aligned} \int_{B_R(0)} |v|^p dx &= \int_0^R \int_0^{2\pi} |v(r \cos(\theta), r \sin(\theta))|^p r d\theta dr \\ &\leq c + \int_1^R \int_0^{2\pi} |v(r \cos(\theta), r \sin(\theta))|^p r d\theta dr \\ &\leq c(1 + R^p) \leq cR^p. \end{aligned}$$

Finally we make use of Hölder's inequality

$$\int_{B_R(0)} |v| \, dx \leq c \left[\int_{B_R(0)} |v|^p \, dx \right]^{\frac{1}{p}} R^{2(1-\frac{1}{p})},$$

hence our claim follows by inserting the previous estimate. \square

Next we give the

Proof of Theorem 1.4. W.l.o.g. let $u_\infty = 0$. Let us further assume that

$$\sup_{|x| \geq R} |u(x)| |x|^{-\gamma} \rightarrow 0 \quad \text{as } R \rightarrow \infty \quad (4.15)$$

for some $\gamma \in [-1/3, 0)$, hence we have for all $R \geq 1$:

$$|u(x)| \leq \Theta(R) R^\gamma \quad \text{for all } R \leq |x| \leq 2R \quad (4.16)$$

with some function Θ such that $\Theta(R) \rightarrow 0$ as $R \rightarrow \infty$. From (4.1) we deduce choosing $q = p$ and applying Young's inequality ($W := W(\varepsilon(u))$)

$$\begin{aligned} \int_{B_r(x_0)} |\nabla W|^2 \, dx &\leq \delta \int_{B_{2r}(x_0)} |\nabla W|^2 \, dx + c \left[\delta^{-1} r^{-2} \int_{B_{2r}(x_0)} |\nabla u|^p \, dx \right. \\ &\quad \left. + r^{-1} \left[\int_{B_{2r}(x_0)} |u|^{\frac{p}{p-2}} \, dx \right]^{1-\frac{2}{p}} \left[\int_{B_{2r}(x_0)} |\nabla u|^p \, dx \right]^{\frac{2}{p}} \right] \\ &\leq \delta \int_{B_{2r}(x_0)} |\nabla W|^2 \, dx + c \left[\delta^{-1} r^{-2} \int_{B_{2r}(x_0)} |\nabla u|^p \, dx \right. \\ &\quad \left. + r^{-1} \left[\tau \int_{B_{2r}(x_0)} |\nabla u|^p \, dx + \tau^{-\frac{2}{p-2}} \int_{B_{2r}(x_0)} |u|^{\frac{p}{p-2}} \, dx \right] \right] \end{aligned}$$

for any disk $B_r(x_0)$. Let $\tau := r^\kappa$ for some $\kappa \in (0, 1)$. The δ -Lemma A.4 yields for any disk $B_r(x_0)$

$$\begin{aligned} \int_{B_r(x_0)} |\nabla W|^2 \, dx &\leq c \left[r^{-2} \int_{B_{2r}(x_0)} |\nabla u|^p \, dx + r^{-1+\kappa} \int_{B_{2r}(x_0)} |\nabla u|^p \, dx \right. \\ &\quad \left. + r^{-\frac{2\kappa}{p-2}-1} \int_{B_{2r}(x_0)} |u|^{\frac{p}{p-2}} \, dx \right]. \quad (4.17) \end{aligned}$$

We choose $x_0 = 0$, $r = R > 1$ and insert (2.5) in (4.17), where the last integral on the r.h.s. of (4.17) is handled with the condition $|u| \leq c$. We arrive at

$$\begin{aligned} \int_{B_R(0)} |\nabla W|^2 \, dx &\leq c [R^{-2+1+3\gamma} + R^{-1+\kappa+1+3\gamma} + R^{-\frac{2\kappa}{p-2}-1} R^2] \\ &\leq c [R^{\kappa+3\gamma} + R^{1-\frac{2\kappa}{p-2}}], \end{aligned}$$

i.e. we have with some $\nu < 1$ (w.l.o.g. $\nu > 0$)

$$\int_{B_R(0)} |\nabla W|^2 dx \leq cR^\nu \quad \text{for all } R \geq 1. \quad (4.18)$$

Next we choose $\mu \in (\nu, 1)$ and apply (4.1) with $q = p$ and $\delta = R^{-\mu}$ to obtain

$$\begin{aligned} \int_{B_R(0)} |\nabla W|^2 dx \leq & c \left[R^{-\mu+\nu} + R^{\mu-2+1+3\gamma} \right. \\ & \left. + R^{-1} R^{2-\frac{4}{p}} \sup_{R \leq |x| \leq 2R} |u| R^{(1+3\gamma)\frac{2}{p}} \right]. \end{aligned} \quad (4.19)$$

By the choice of the above parameters, the first two terms on the r.h.s. of (4.19) converge to zero as $R \rightarrow \infty$ and it remains to discuss the quantity (recall (4.16))

$$\zeta_R := R^{1-\frac{4}{p}} \Theta(R) R^\gamma R^{(1+3\gamma)\frac{2}{p}} = \Theta(R) R^{1-\frac{2}{p}+\gamma(1+\frac{6}{p})},$$

where we have to distinguish the three different cases of Theorem 1.4.

Case 1. For $2 < p < 6$ we may choose $\gamma = (2-p)/(p+6)$ in (4.15), where we note that

$$\gamma > -\frac{1}{3} \quad \Leftrightarrow \quad p < 6.$$

This particular choice of γ gives

$$1 - \frac{2}{p} + \gamma \left(1 + \frac{6}{p}\right) = 0$$

which implies $\zeta_R \rightarrow 0$ as $R \rightarrow \infty$, hence the first part of the theorem is established.

Case 2. For $p = 6$ we have by assumption

$$|u(x)| \leq cR^{-\frac{1}{3}} \quad \text{for all } |x| \geq R$$

and for all $R \geq 1$. Since the condition $\Theta(R) \rightarrow 0$ as $R \rightarrow \infty$ is not needed for deriving (4.18), we obtain (4.18) as before. Moreover, (2.5) gives

$$\int_{\mathbb{R}^2} |\nabla u|^p dx < \infty. \quad (4.20)$$

As above we let $q = p$ and $\delta = R^{-\mu}$ in (4.1) to obtain (recall (4.18))

$$\int_{B_R(0)} |\nabla W|^2 dx \leq c \left[R^{\nu-\mu} + R^{\mu-2} + R^{-1} \left[\int_{T_R(0)} |u|^{\frac{3}{2}} dx \right]^{\frac{2}{3}} \left[\int_{T_R(0)} |\nabla u|^6 dx \right]^{\frac{1}{3}} \right]. \quad (4.21)$$

Here we observe

$$R^{-1} \left[\int_{T_R(0)} |u|^{\frac{3}{2}} dx \right]^{\frac{2}{3}} \leq c R^{-1} R^{-\frac{1}{3}} R^{2\frac{2}{3}} \leq c$$

and by (4.20) the last integral of (4.21) converges to 0 as $R \rightarrow \infty$ which completes the proof in the second case of Theorem 1.4.

Case 3. In the case $p > 6$ we again have by assumption the global energy estimate (4.20). We recall (2.15) of Section 2, choose $\delta = 1/2$ in this inequality and observe that by the boundedness of u

$$R^{-p} \int_{T_R(0)} |u|^p dx \rightarrow 0 \quad \text{as } R \rightarrow \infty .$$

Moreover we have

$$|T_3| + |T_4| \leq cR \left[\sup_{R \leq |x| \leq 2R} |u| \right]^3 \rightarrow 0 \quad \text{as } R \rightarrow \infty .$$

As a consequence we see

$$\int_{\mathbb{R}^2} |\varepsilon(u)|^p dx \leq \frac{1}{2} \int_{\mathbb{R}^2} |\varepsilon(u)|^p dx$$

which means $\varepsilon(u) \equiv 0$, hence u is a rigid motion and $u = \text{const}$ by the decay assumption. This completes the proof of Theorem 1.4. \square

We finish this section with the

Proof of Theorem 1.3. Let $2 < p \leq 3$. As above we have (4.17), where we know in the situation at hand that

$$\int_{\mathbb{R}^2} |\nabla u|^p dx < \infty ,$$

hence for any $R \geq 1$ ($W := W(\varepsilon(u))$)

$$\int_{B_R(0)} |\nabla W|^2 dx \leq c \left[R^{-1+\kappa} + R^{-\frac{2\kappa}{p-2}-1} \int_{B_{2R}(0)} |u|^{\frac{p}{p-2}} dx \right]. \quad (4.22)$$

We insert (4.22) in the r.h.s. of (4.1) choosing $q = p$ there and get for any $\delta > 0$

$$\begin{aligned} \int_{B_R(0)} |\nabla W|^2 dx &\leq \delta \left[R^{-1+\kappa} + R^{-\frac{2\kappa}{p-2}-1} \int_{B_{2R}(0)} |u|^{\frac{p}{p-2}} dx \right] \\ &\quad + c \left[\delta^{-1} R^{-2} \int_{T_R(0)} |\nabla u|^p dx \right. \\ &\quad \left. + R^{-1} \left[\int_{T_R(0)} |u|^{\frac{p}{p-2}} dx \right]^{\frac{p-2}{p}} \left[\int_{T_R(0)} |\nabla u|^p dx \right]^{\frac{2}{p}} \right]. \quad (4.23) \end{aligned}$$

Let

$$A := \fint_{B_{2R}(0)} u \, dx$$

and observe

$$\begin{aligned} \int_{B_{2R}(0)} |u|^{\frac{p}{p-2}} \, dx &\leq c \left[\int_{B_{2R}(0)} |u - A|^{\frac{p}{p-2}} \, dx + R^2 |A|^{\frac{p}{p-2}} \right] \\ &\leq c \left[\int_{B_{2R}(0)} |u - A|^{\frac{p}{p-2}} \, dx + \left| R^{-2+2\frac{p-2}{p}} \int_{B_{2R}(0)} u \, dx \right|^{\frac{p}{p-2}} \right]. \end{aligned} \quad (4.24)$$

To the first integral on the r.h.s. of (4.24) we apply the Sobolev-Poincaré inequality, which is possible on account of $p/(p-2) > 2$: letting

$$1 < q := \frac{2p}{3p-4}$$

and observing $q < p$ on account of $p > 2$, we find

$$\begin{aligned} \left[\int_{B_{2R}(0)} |u - A|^{\frac{p}{p-2}} \, dx \right]^{\frac{p-2}{p}} &\leq c \left[\int_{B_{2R}(0)} |\nabla u|^q \, dx \right]^{\frac{1}{q}} \\ &\leq c \left[\left[\int_{B_{2R}(0)} |\nabla u|^p \, dx \right]^{\frac{q}{p}} R^{2(1-\frac{q}{p})} \right]^{\frac{1}{q}} \\ &= c R^{\frac{2}{q} - \frac{2}{p}} \left[\int_{B_{2R}(0)} |\nabla u|^p \, dx \right]^{\frac{1}{p}}, \end{aligned} \quad (4.25)$$

where we also made use of Hölder's inequality. With (4.24) and (4.25) we find

$$\begin{aligned}
\xi_1 &:= R^{-1} \left[\int_{T_R(0)} |u|^{\frac{p}{p-2}} dx \right]^{\frac{p-2}{p}} \left[\int_{T_R(0)} |\nabla u|^p dx \right]^{\frac{2}{p}} \\
&\leq R^{-1} \left[\int_{B_{2R}(0)} |u|^{\frac{p}{p-2}} dx \right]^{\frac{p-2}{p}} \left[\int_{T_R(0)} |\nabla u|^p dx \right]^{\frac{2}{p}} \\
&\leq c \left[R^{-1} R^{\frac{2}{q} - \frac{2}{p}} \left[\int_{T_R(0)} |\nabla u|^p dx \right]^{\frac{2}{p}} \left[\int_{B_{2R}(0)} |\nabla u|^p dx \right]^{\frac{1}{p}} \right. \\
&\quad \left. + R^{-1} R^{-2+2\frac{p-2}{p}} \left| \int_{B_{2R}(0)} u dx \right| \left[\int_{T_R(0)} |\nabla u|^p dx \right]^{\frac{2}{p}} \right] \\
&= c \left[R^{2-\frac{6}{p}} \left[\int_{T_R(0)} |\nabla u|^p dx \right]^{\frac{2}{p}} \left[\int_{B_{2R}(0)} |\nabla u|^p dx \right]^{\frac{1}{p}} \right. \\
&\quad \left. + \left| R^{-1-\frac{4}{p}} \int_{B_{2R}(0)} u dx \right| \left[\int_{T_R(0)} |\nabla u|^p dx \right]^{\frac{2}{p}} \right], \tag{4.26}
\end{aligned}$$

and since

$$\lim_{R \rightarrow \infty} \int_{T_R(0)} |\nabla u|^p dx = 0$$

it follows

$$\lim_{R \rightarrow \infty} \xi_1 = 0 \tag{4.27}$$

on account of $p \leq 3$ and by quoting Lemma 4.2. Using (4.24) and (4.25) one more time we obtain

$$\begin{aligned}
\xi_2 &:= \delta R^{-\frac{2\kappa}{p-2}-1} \int_{B_{2R}(0)} |u|^{\frac{p}{p-2}} dx \\
&\leq c \delta R^{-\frac{2\kappa}{p-2}-1} \left[R^{(\frac{2}{q} - \frac{2}{p})\frac{p}{p-2}} \left[\int_{B_{2R}(0)} |\nabla u|^p dx \right]^{\frac{1}{p-2}} + \left| R^{-2+2\frac{p-2}{p}} \int_{B_{2R}(0)} u dx \right|^{\frac{p}{p-2}} \right] \\
&= c \delta R^{-\frac{2\kappa}{p-2}-1} \left[R^3 \left[\int_{B_{2R}(0)} |\nabla u|^p dx \right]^{\frac{1}{p-2}} + \left| R^{-\frac{4}{p}} \int_{B_{2R}(0)} u dx \right|^{\frac{p}{p-2}} \right]. \tag{4.28}
\end{aligned}$$

Since $p \leq 3$, it holds

$$-\frac{2\kappa}{p-2} - 1 + 3 = 2 - \frac{2\kappa}{p-2} \leq 2 - 2\kappa.$$

Recalling that $\kappa \in (0, 1)$ is arbitrary, we may fix, e.g., $\kappa = 3/4$, hence $2 - 2\kappa = 1/2$. Finally we choose $\delta = 1/R$ in (4.23). This implies

$$\delta R^{-\frac{2\kappa}{p-2}-1} R^3 \left[\int_{B_{2R}(0)} |\nabla u|^p dx \right]^{\frac{1}{p-2}} \rightarrow 0$$

as $R \rightarrow \infty$ and at the same time by Lemma 4.2

$$\delta R^{-\frac{2\kappa}{p-2}-1} \left| R^{-\frac{4}{p}} \int_{B_{2R}(0)} u dx \right|^{\frac{p}{p-2}} = \left| R^{-2-\frac{2\kappa}{p}} \int_{B_{2R}(0)} u dx \right|^{\frac{p}{p-2}} \rightarrow 0$$

as $R \rightarrow \infty$, hence

$$\lim_{R \rightarrow \infty} \xi_2 = 0. \quad (4.29)$$

Inserting (4.26)–(4.29) into (4.23) and passing to the limit $R \rightarrow \infty$, we have shown that $\nabla W = 0$ on \mathbb{R}^2 , hence u is affine and the finiteness of the p -energy implies the constancy of u . \square

5 Proof of Theorem 1.5

Let u denote an entire solution of (1.1) satisfying (1.14). Introducing the vorticity

$$\omega := \partial_2 u^1 - \partial_1 u^2$$

we have for $q, l \in \mathbb{N}$ sufficiently large with $\eta \in C_0^\infty(\mathbb{R}^2)$

$$\begin{aligned} \int_{\mathbb{R}^2} \omega^{2q} \eta^{2l} dx &= \int_{\mathbb{R}^2} (\partial_2 u^1 - \partial_1 u^2) \omega^{2q-1} \eta^{2l} dx \\ &= \int_{\mathbb{R}^2} \operatorname{div}(-u^2, u^1) \omega^{2q-1} \eta^{2l} dx \\ &= - \int_{\mathbb{R}^2} (-u^2, u^1) \cdot \nabla [\omega^{2q-1} \eta^{2l}] dx \\ &= (2q-1) \int_{\mathbb{R}^2} \nabla \omega \cdot (u^2, -u^1) \omega^{2q-2} \eta^{2l} dx \\ &\quad + 2l \int_{\mathbb{R}^2} (u^2, -u^1) \cdot \nabla \eta \omega^{2q-1} \eta^{2l-1} dx, \end{aligned} \quad (5.1)$$

and from $\operatorname{div} u = 0$ we infer

$$\begin{aligned} \int_{\mathbb{R}^2} u \cdot \nabla \omega \omega^{2q-3} \eta^{2l} dx &= \frac{1}{2q-2} \int_{\mathbb{R}^2} u \cdot \nabla \omega^{2q-2} \eta^{2l} dx \\ &= -\frac{1}{2q-2} \int_{\mathbb{R}^2} u \cdot \nabla \eta^{2l} \omega^{2q-2} dx. \end{aligned} \quad (5.2)$$

Recall that

$$\Delta\omega - u \cdot \nabla\omega = 0 \quad \text{on } \mathbb{R}^2,$$

hence

$$\int_{\mathbb{R}^2} \nabla\omega \cdot \nabla\varphi \, dx + \int_{\mathbb{R}^2} u \cdot \nabla\omega\varphi \, dx = 0$$

for $\varphi \in C_0^1(\mathbb{R}^2)$. We specify $\varphi = \eta^{2l}\omega^{2q-3}$ and get

$$\begin{aligned} & \int_{\mathbb{R}^2} \eta^{2l}(2q-3)|\nabla\omega|^2\omega^{2q-4} \, dx \\ &= - \int_{\mathbb{R}^2} \nabla\omega \cdot \nabla\eta^{2l}\omega^{2q-3} \, dx - \int_{\mathbb{R}^2} u \cdot \nabla\omega\omega^{2q-3}\eta^{2l} \, dx. \end{aligned} \quad (5.3)$$

By Young's inequality, the first term on the r.h.s. of (5.3) is estimated through

$$\delta \int_{\mathbb{R}^2} |\nabla\omega|^2\omega^{2q-4}\eta^{2l} \, dx + c(\delta, l) \int_{\mathbb{R}^2} |\nabla\eta|^2\eta^{2l-2}\omega^{2q-2} \, dx,$$

to the second term on the r.h.s. of (5.3) we apply (5.2). This yields after appropriate choice of δ

$$\begin{aligned} & \int_{\mathbb{R}^2} |\nabla\omega|^2\omega^{2q-4}\eta^{2l} \, dx \\ & \leq c(l, q) \left[\int_{\mathbb{R}^2} \omega^{2q-2}\eta^{2l-2}|\nabla\eta|^2 \, dx + \int_{\mathbb{R}^2} |u||\nabla\eta^{2l}|\omega^{2q-2} \, dx \right]. \end{aligned} \quad (5.4)$$

Now we return to (5.1) and estimate

$$\begin{aligned} \int_{\mathbb{R}^2} \omega^{2q}\eta^{2l} \, dx & \leq (2q-1) \int_{\mathbb{R}^2} |\nabla\omega||u|\omega^{2q-2}\eta^{2l} \, dx + 2l \int_{\mathbb{R}^2} |u||\nabla\eta|\omega^{2q-1}\eta^{2l-1} \, dx \\ & \leq \delta \int_{\mathbb{R}^2} \omega^{2q}\eta^{2l} \, dx + c(\delta, q) \int_{\mathbb{R}^2} |\nabla\omega|^2|u|^2\omega^{2q-4}\eta^{2l} \, dx \\ & \quad + 2l \int_{\mathbb{R}^2} |u||\nabla\eta|\omega^{2q-1}\eta^{2l-1} \, dx, \end{aligned}$$

hence for δ sufficiently small

$$\begin{aligned} & \int_{\mathbb{R}^2} \eta^{2l}\omega^{2q} \, dx \\ & \leq c(l, q) \left[\int_{\mathbb{R}^2} |\nabla\omega|^2|u|^2\omega^{2q-4}\eta^{2l} \, dx + \int_{\mathbb{R}^2} |u||\nabla\eta|\omega^{2q-1}\eta^{2l-1} \, dx \right]. \end{aligned} \quad (5.5)$$

Next we specify η : let $R \geq 1$ and choose $\eta = 1$ on $B_R(0)$, $0 \leq \eta \leq 1$, $\text{spt } \eta \subset B_{2R}(0)$, $|\nabla\eta| \leq c/R$. From (1.14) we get (w.l.o.g. we assume $\alpha > 0$)

$$|u(x)| \leq cR^\alpha \quad \text{for all } x \in B_R(0). \quad (5.6)$$

We use (5.6) on the r.h.s. of (5.5) and get

$$\begin{aligned} & \int_{B_{2R}(0)} \eta^{2l} \omega^{2q} \, dx \\ & \leq c(l, q) \left[R^{2\alpha} \int_{B_{2R}(0)} |\nabla \omega|^2 \omega^{2q-4} \eta^{2l} \, dx + R^\alpha \int_{B_{2R}(0)} |\nabla \eta| \omega^{2q-1} \eta^{2l-1} \, dx \right], \end{aligned}$$

and if we apply (5.4) on the r.h.s. quoting (5.6) one more time it follows

$$\begin{aligned} & \int_{B_{2R}(0)} \eta^{2l} \omega^{2q} \, dx \\ & \leq c(l, q) \left[R^{2\alpha} \int_{B_{2R}(0)} \omega^{2q-2} \eta^{2l-2} |\nabla \eta|^2 \, dx + R^{3\alpha} \int_{B_{2R}(0)} |\nabla \eta| \omega^{2q-2} \, dx \right. \\ & \quad \left. + R^\alpha \int_{B_{2R}(0)} \omega^{2q-1} |\nabla \eta| \eta^{2l-1} \, dx \right] \\ & =: c(l, q) [T_1 + T_2 + T_3]. \end{aligned} \tag{5.7}$$

Young's inequality yields

$$\begin{aligned} T_1 & \leq \int_{B_{2R}(0)} \omega^{2q-2} \eta^{2l-2} R^{2\alpha-2} \, dx \\ & \leq \delta \int_{B_{2R}(0)} \omega^{2q} \eta^{(2l-2)2q/(2q-2)} \, dx + c(\delta) R^{2+q(2\alpha-2)} \end{aligned}$$

and

$$\begin{aligned} T_2 & \leq \int_{B_{2R}(0)} \omega^{2q-2} \eta^{2l-1} R^{3\alpha-1} \, dx \\ & \leq \delta \int_{B_{2R}(0)} \omega^{2q} \eta^{(2l-1)2q/(2q-2)} \, dx + c(\delta) R^{2+q(3\alpha-1)} \end{aligned}$$

as well as

$$\begin{aligned} T_3 & \leq c \int_{B_{2R}(0)} \omega^{2q-1} \eta^{2l-1} R^{\alpha-1} \, dx \\ & \leq \delta \int_{B_{2R}(0)} \omega^{2q} \eta^{(2l-1)2q/(2q-1)} \, dx + c(\delta) R^{2+2q(\alpha-1)}. \end{aligned}$$

Moreover, for $l \gg 1$ we have

$$2l \leq \frac{(2l-2)2q}{2q-2} \quad \text{and} \quad 2l \leq \frac{(2l-1)2q}{2q-1},$$

hence, for δ small enough, we obtain from (5.7)

$$\int_{B_{2R}(0)} \eta^{2l} \omega^{2q} dx \leq c(l, q) \left[R^{2+q(2\alpha-2)} + R^{2+q(3\alpha-1)} + R^{2+2q(\alpha-1)} \right]. \quad (5.8)$$

Recall that $\alpha < 1/3$. Therefore we can fix a sufficiently large exponent q with the property that

$$2 + q(3\alpha - 1) < 0,$$

and (5.8) shows

$$\int_{B_R(0)} \omega^{2q} dx \leq c(l, q) R^{2+q(3\alpha-1)} \rightarrow 0 \quad \text{as } R \rightarrow 0,$$

hence $\omega = 0$ on \mathbb{R}^2 . This together with $\operatorname{div} u = 0$ shows that u is harmonic and the constancy of u then follows from (1.14) and results concerning entire harmonic functions. \square

Appendix. Helpful tools

The following lemma is a well known result. A proof together with further comments can be found in [Ga1], Chapter III, Section 3. Our formulation is taken from [AM], Lemma 2.5.

Lemma A.1 *Suppose that we are given numbers $1 < p_1 \leq p \leq p_2 < \infty$.*

Then there exists a constant $c = c(p_1, p_2)$ as follows: if $f \in L^p(B_r(x_0))$ satisfies $\int_{B_r(x_0)} f dx = 0$, then there exists a field v in the space $\mathring{W}_p^1(B_r(x_0), \mathbb{R}^2)$ satisfying $\operatorname{div} v = f$ on the disk $B_r(x_0)$ together with the estimate

$$\int_{B_r(x_0)} |\nabla v|^s dx \leq c \int_{B_r(x_0)} |f|^s dx$$

for any exponent $s \in [p_1, p]$. The same is true if the disk is replaced by the annulus $T_r(x_0) = B_{2r}(x_0) - \overline{B_r(x_0)}$.

Our next tool is a collection of Korn-type inequalities. We refer the reader to Lemma 3.0.1 in [FS], where a list of references is given. We note that the last statement follows from the first one by applying *i*) to ηv , where η is a suitable cut-off function.

Lemma A.2 *Let $1 < p < \infty$. Then there exists a constant $c(p)$ such that the following inequalities hold.*

i) For all $v \in \mathring{W}_p^1(B_r(x_0), \mathbb{R}^2)$ we have

$$\|\nabla v\|_{L^p(B_r(x_0))} \leq c(p) \|\varepsilon(v)\|_{L^p(B_r(x_0))}.$$

ii) For all $v \in W_p^1(B_r(x_0), \mathbb{R}^2)$ we have

$$\|\nabla v\|_{L^p(B_r(x_0))} \leq c(p) \left[\|\varepsilon(v)\|_{L^p(B_r(x_0))} + r^{-1} \|v\|_{L^p(B_r(x_0))} \right].$$

iii) For all $v \in W_p^1(B_{2r}(x_0), \mathbb{R}^2)$ we have letting $T_r(x_0) = B_{2r}(x_0) - \overline{B_r(x_0)}$

$$\|\nabla v\|_{L^p(B_r(x_0))} \leq c(p) \left[\|\varepsilon(v)\|_{L^p(B_{2r}(x_0))} + r^{-1} \|v\|_{L^p(T_r(x_0))} \right].$$

The following lemma originates from the work of Ladyzhenskaya (see [La], Lemma 1, p. 8). Actually it is a local variant of Ladyzhenskaya's lemma established as Lemma 2.6 in part B of [Zh].

Lemma A.3 Suppose that $u \in W_2^1(B_r(x_0))$, $B_r(x_0) \subset \mathbb{R}^2$. Then there is a constant c independent of u , x_0 and r such that

$$\int_{B_r(x_0)} |u|^4 dx \leq c \left[\int_{B_r(x_0)} |u|^2 dx \int_{B_r(x_0)} |\nabla u|^2 dx + r^{-2} \left[\int_{B_r(x_0)} |u|^2 dx \right]^2 \right].$$

The next lemma goes back to Giaquinta and Modica (see [GM1], Lemma 0.5). We state a small extension presented in [FZha] as Lemma 3.1.

Lemma A.4 Let f, f_1, \dots, f_l denote non-negative functions from the space $L_{\text{loc}}^1(\mathbb{R}^2)$. Suppose further that we are given exponents $\alpha_1, \dots, \alpha_l > 0$.

Then we can find a number $\delta_0 > 0$ (depending on $\alpha_1, \dots, \alpha_l$) as follows: if for $\delta \in (0, \delta_0)$ it is possible to calculate a constant $c(\delta) > 0$ such that the inequality

$$\int_{B_r(x_0)} f dx \leq \delta \int_{B_{2r}(x_0)} f dx + c(\delta) \sum_{j=1}^l r^{-\alpha_j} \int_{B_{2r}(x_0)} f_j dx \quad (\text{A.1})$$

holds for any choice of $B_r(x_0) \subset \mathbb{R}^2$, then there is a constant c with the property

$$\int_{B_r(x_0)} f dx \leq c \sum_{j=1}^l r^{-\alpha_j} \int_{B_{2r}(x_0)} f_j dx \quad (\text{A.2})$$

for all disks $B_r(x_0) \subset \mathbb{R}^2$.

Finally we recall some well known inequalities.

Lemma A.5 Let $p > 2$.

i) With suitable positive constants $c_1 < c_2$ it holds

$$c_1 \left[|\xi|^{p-2} + |\eta|^{p-2} \right] |\xi - \eta|^2 \leq \left| |\xi|^{\frac{p-2}{2}} \xi - |\eta|^{\frac{p-2}{2}} \eta \right|^2 \leq c_2 \left[|\xi|^{p-2} + |\eta|^{p-2} \right] |\xi - \eta|^2$$

for any $\xi, \eta \in \mathbb{R}^M$, $M \geq 1$.

ii) There exists a constant $c > 0$ such that

$$(|\xi|^{p-2}\xi - |\eta|^{p-2}\eta) : (\xi - \eta) \geq c[|\xi|^{p-2} + |\eta|^{p-2}]|\xi - \eta|^2$$

for all $\xi, \eta \in \mathbb{R}^M$, $M \geq 1$.

Proof. *i)* follows from inequality (2.4) in [GM2] by letting $\mu = 0$, $\delta = p - 2$ in this reference.

For proving *ii)* we let $F(\xi) = |\xi|^{p-2}\xi$ and observe that

$$\begin{aligned} (F(\xi) - F(\eta)) : (\xi - \eta) &= \int_0^1 \frac{d}{dt} F(\eta + t(\xi - \eta)) dt : (\xi - \eta) \\ &=: \int_0^1 |\eta + t(\xi - \eta)|^{p-2} dt |\xi - \eta|^2 + A, \end{aligned}$$

where A is easily seen to be non-negative. From Lemma 2.2 in [FH] we therefore deduce

$$(F(\xi) - F(\eta)) : (\xi - \eta) \geq c|\xi - \eta|^2[|\xi - \eta|^{p-2} + |\eta|^p],$$

and our claim immediately follows from this estimate by considering the cases $|\xi| \geq 2|\eta|$ and $|\xi| < 2|\eta|$, respectively. \square

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