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#### Abstract

We propose a model for the restoration of images consisting only of completely black or completely white regions with the use of Caccioppoli sets.

The purpose of this short note is to present a technique which might be useful for the restoration of images consisting only of purely black or purely white regions. To be precise, we consider a function  $u: \Omega \to \mathbb{R}$  defined on a bounded Lipschitz domain  $\Omega \subset \mathbb{R}^2$  taking just values in  $\{0, 1\}$ , which can be seen as a model for the kind of images we have in mind.

Assume that a certain part D of the image is damaged, which means that the observed image is represented through a  $\mathcal{L}^2$ -measurable function  $f: \Omega - D \to [0, 1]$ . Here  $\mathcal{L}^2$  stands for Lebesgue's measure in the plane, and D denotes a  $\mathcal{L}^2$ -measurable subset of  $\Omega$  with non-empty interior  $\operatorname{Int}(D)$  and the property

$$\mathcal{L}^2(D) < \mathcal{L}^2(\Omega) \,. \tag{1}$$

For points  $x \in \Omega - D$  the number f(x) is a measure for the intensity of the grey level in the observed image at the point x, and our goal is to restore the missing part  $D \to [0, 1]$ of the image from the observed intensity f, where the quality of data fitting is measured through the quantity  $\int_{\Omega - D} (u - f)^2 dx$ .

Of course our problem is located in the general framework of "image inpainting" discussed under various aspects for example in the papers [ACS], [BHS], [BCMS], [CKS], [CS], [PSS], [Sh] and in the references quoted therein, but one new feature of our analysis might be the requirement

$$u(x) \in \{0, 1\}$$
 a.e. on  $\Omega$ , (2)

which in contrast to our previous investigations (see [BF1], [BF2]) we now impose on the restored image  $u: \Omega \to \mathbb{R}$ .

As a suitable method to reconstruct the image we propose to study a TV-like regularization, i.e. we minimize the functional

$$J[u] := \int_{\Omega} |\nabla u| + \frac{\lambda}{2} \int_{\Omega - D} (u - f)^2 \,\mathrm{d}x \tag{3}$$

on a certain subclass of the space  $BV(\Omega)$  taking care of the constraint (2). In equation (3),  $\lambda > 0$  is a free parameter and  $\int_{\Omega} |\nabla u|$  is the total variation of the vector-valued Radon measure  $\nabla u$ . For a definition of the spave  $BV(\Omega)$  of functions having finite total variation we refer to [AFP] or [Gi].

Clearly our requirement (2) suggests to minimize J among characteristic functions, hence we replace J from (3) through the functional

$$\mathcal{F}[E] := \int_{\Omega} |\nabla \chi_E| + \frac{\lambda}{2} \int_{\Omega - D} (\chi_E - f)^2 \,\mathrm{d}x\,, \qquad (4)$$

*E* denoting a set of finite perimeter (= Caccioppoli set) in  $\Omega$  and  $\chi_E$  its characteristic function (see, e.g., [AFP] or [Gi]).

We recall (compare [Gi], Proposition 3.1) that for a Borel set E there exists a Borel set  $\tilde{E}$  equivalent to E, that is,  $\tilde{E}$  differs from E only by a set of  $\mathcal{L}^2$ -measure zero, moreover  $\tilde{E}$  has the property

$$0 < \mathcal{L}^2(\tilde{E} \cap B_r(x)) < \pi r^2 \tag{5}$$

for all  $x \in \partial \tilde{E}$  and all r > 0.

When considering BV-functions, one actually considers equivalence classes of functions being different only on a set of measure zero. In the same spirit, when discussing Caccioppoli sets E, the perimeter and other properties remain unchanged, if we modify Eon a set with  $\mathcal{L}^2$ -measure zero, which means that again we are concerned with equivalence classes and we may therefore assume that (5) holds for any set we consider.

We have the following result:

**Theorem 1.** Suppose that D satisfies (1) and consider a  $\mathcal{L}^2$ -measurable function  $f: \Omega - D \rightarrow [0, 1]$ .

i) Then there exists a set E of finite perimeter in  $\Omega$  such that

 $\mathcal{F}[E] \le \mathcal{F}[G]$ 

for any Caccioppoli set  $G \subset \Omega$ , where  $\mathcal{F}$  is defined in (4).

- ii) The boundary part  $\partial F \cap \Omega$  of any  $\mathcal{F}$ -minimizer F is a  $C^1$ -curve.
- iii) If E is a  $\mathcal{F}$ -minimizing set and if  $x \in \partial E$  belongs to the interior of D, then for a suitable disk  $B_r(x) \subset \operatorname{Int}(D)$  the intersection  $\partial E \cap B_r(x)$  is contained in a straight line.

**Remark 1.** From the analytical point of view the smoothness of  $\partial E \cap \Omega$  seems to be a nice result but it might be unnatural or too restrictive in the framework of image analysis. We also do not know if Theorem 1 iii) contains a realistic statement.

Proof of Theorem 1. Let  $(E_n)$  denote a  $\mathcal{F}$ -minimizing sequence of Caccioppoli sets. Then we have  $(u_n := \chi_{E_n})$ 

$$\sup_{n} \left[ \int_{\Omega} |\nabla u_n| + \int_{\Omega} |u_n| \, \mathrm{d}x \right] < \infty \,, \tag{6}$$

and by BV-compactness ([Gi], Theorem 1.19) inequality (6) implies the existence of  $u \in L^1(\Omega)$  such that

$$\widetilde{u}_n \to u \quad \text{in } L^1(\Omega) \text{ and a.e. on } \Omega$$
(7)

for a subsequence  $(\tilde{u}_n)$  of  $(u_n)$ . From (7) we infer (compare [Gi], Theorem 1.9)

$$\int_{\Omega} |\nabla u| \le \liminf_{n \to \infty} \int_{\Omega} |\nabla \tilde{u}_n|, \qquad (8)$$

thus  $u \in BV(\Omega)$ , and from  $\tilde{u}_n \to u$  a.e. it follows  $u(x) \in \{0, 1\}$  as well as

$$\int_{\Omega-D} (\tilde{u}_n - f)^2 \,\mathrm{d}x \to \int_{\Omega-D} (u - f)^2 \,\mathrm{d}x \,. \tag{9}$$

If we let

$$E := \{ x \in \Omega : u(x) = 1 \},\$$

then  $u = \chi_E$  and (8), (9) imply the  $\mathcal{F}$ -minimality of the set E. This proves part i) of Theorem 1.

In order to verify ii) we show that any  $\mathcal{F}$ -minimizer F is almost minimal in the sense of [Ta]: consider a Caccioppoli set  $\tilde{F}$  such that

$$F\Delta \tilde{F} := (F - \tilde{F}) \cup (\tilde{F} - F) \Subset B_r(x)$$

for a disk  $B_r(x) \in \Omega$ . The  $\mathcal{F}$ -minimality of F then yields (recall  $0 \leq f \leq 1$  a.e. on  $\Omega$ )

$$\begin{split} \int_{B_r(x)} |\nabla \chi_F| &\leq \int_{B_r(x)} |\nabla \chi_{\tilde{F}}| + \frac{\lambda}{2} \int_{B_r(x) \cap (\Omega - D)} \left[ (\chi_{\tilde{F}} - f)^2 - (\chi_F - f)^2 \right] \mathrm{d}y \\ &\leq \int_{B_r(x)} |\nabla \chi_{\tilde{F}}| + \frac{\lambda}{2} \mathcal{L}^2(B_r(x)) \\ &= \int_{B_r(x)} |\nabla \chi_F| + \frac{\lambda}{2} \pi r^2 \,, \end{split}$$

and we can quote [Ta], Section 1.9, to see that  $\partial F \cap \Omega$  is a  $C^1$ -curve.

Due to the smoothness of  $\partial E \cap \Omega$  for  $\mathcal{F}$ -minimizing sets E we have  $(\mathcal{H}^s \text{ denoting the Hausdorff measure of dimension } s)$ 

$$\int_U |\nabla \chi_E| = \mathcal{H}^1(\partial E \cap U)$$

for any open set  $U \subseteq \Omega$ , and if we choose  $U \subseteq \text{Int}(D)$ , we see that  $\partial E$  is a local minimizer of the curve length within the set U, which implies *iii*) of Theorem 1.

## Extension 1.

We briefly mention another approach towards the restoration of images consisting only

of black and white zones: let  $\Phi: \overline{\Omega} \times \mathbb{R}^2 \to [0, \infty)$  denote a parametric integrand, i.e. a continuous function satisfying the homogeneity condition

$$\Phi(x,tp) = t\Phi(x,p), \quad x \in \overline{\Omega}, \ p \in \mathbb{R}^2, \ t \ge 0,$$
(10)

and being convex in p for each fixed  $x \in \overline{\Omega}$ . Moreover, we assume the coercivity of  $\Phi$  in the sense that

$$\Phi(x,p) \ge \nu_1 |p| \tag{11}$$

holds for all  $x \in \overline{\Omega}$  and  $p \in \mathbb{R}^2$  with a suitable constant  $\nu_1 > 0$ .

An important example (considered in Theorem 1) is  $\Phi(p) := |p|$ , alternatively we may look at

$$\Phi(x,p) = \left[\sum_{\alpha,\beta=1}^{2} a_{\alpha\beta}(x) p_{\alpha} p_{\beta}\right]^{\frac{1}{2}}$$

with continuous coefficients  $a_{\alpha\beta}$  such that

$$\nu_2 |p|^2 \le \sum_{\alpha,\beta=1}^2 a_{\alpha\beta}(x) p_\alpha p_\beta \le \nu_3 |p|^2, \quad x \in \overline{\Omega}, \ p \in \mathbb{R}^2,$$

where  $\nu_2, \nu_3 > 0$ .

If  $\Phi$  satisfies (10) and (11), we then replace  $\mathcal{F}$  from (4) by

$$\mathcal{G}[E] := \int_{\Omega} \Phi(x, \nabla \chi_E) + \frac{\lambda}{2} \int_{\Omega - D} (\chi_E - f)^2 \,\mathrm{d}x \tag{12}$$

for Caccioppoli sets  $E \subset \Omega$ . In (12) we use the following notation (compare [GMS1], [GMS2]): if  $\mu$  denotes a  $\mathbb{R}^2$ -valued Radon-measure, we let

$$\int_{\Omega} \Phi(x,\mu) := \int_{\Omega} \Phi\left(x, \frac{\mathrm{d}\mu}{\mathrm{d}|\mu|}\right) \mathrm{d}|\mu|,$$

where  $d\mu/d|\mu|$  is the Radon-Nikodym derivative of the measure  $\mu$  w.r.t. the measure  $|\mu|$ . Note that  $d\mu/d|\mu|$  is a unit vector  $|\mu|$ -a.e.

Then we have:

there exists a set of finite perimeter  $E \subset \Omega$  such that

$$\mathcal{G}[E] \le \mathcal{G}[F]$$

for any other set F of finite perimeter.

The proof can be carried out as done in Theorem 1 for the particular case  $\Phi(p) = |p|$ .

#### Extension 2.

Suppose that we want to restore an image using a finite number of distinct grey levels, which means that now we consider BV-functions  $u \in BV(\Omega, A)$  taking their values in the set

$$A := \{a_1, \ldots, a_n\}, \quad 0 \le a_1 < a_2 < \cdots < a_n \le 1,$$

with given numbers  $a_i$ . We then replace  $\mathcal{G}$  from (12) through

$$\overline{\mathcal{G}}[u] := \int_{\Omega} \Phi(x, \nabla u) + \frac{\lambda}{2} \int_{\Omega - D} (u - f)^2 \, \mathrm{d}x$$

and get:

the problem  $\overline{\mathcal{G}} \to \min$  in  $BV(\Omega, A)$  admits at least one solution.

## Extension 3.

It might be of interest to include a "volume constraint" which means to consider the problem  $\mathcal{F}[E] \to \min$  among all Caccioppoli sets E in  $\Omega$  satisfying

$$\mathcal{L}^2(E) = m \,. \tag{13}$$

Here  $\mathcal{F}$  is defined according to (4) and m denotes some fixed number in the interval  $(0, \mathcal{L}^2(\Omega))$ . The requirement (13) can be understood in the sense that we have to restore the image using a given amount of black color. The existence of a solution is easily established along the lines of the proof of Theorem 1. For a discussion of the analytical and topological properties of minimizing sets subject to the constraint (13) we refer the reader to [Qi]. In particular it follows from Theorem 4.5 of this reference that the boundary of a minimizing set is a smooth curve.

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