

§ 9: Connectedness

9.1 Definition:

Let (X, τ) be a top. space.

a) X is called connected if $\forall U, V \in \tau$ open, non-empty: $X = U \cup V \Rightarrow U \cap V \neq \emptyset$.

b) X is called path-connected if: $\forall x, y \in X: \exists \gamma: [0, 1] \rightarrow X$ continuous: $\gamma(0) = x, \gamma(1) = y$.

9.2 Lemma:

Let $(X, \tau), (Y, \tau)$ be topological spaces and $f: X \rightarrow Y$ continuous. Then, we have

a) If X is (path-)connected, so is $f(X)$.

b) If X is path-connected, X is connected.

Proof:

Exercise

9.3 Lemma:

Let (X, τ) be a topological space. Then, we have:

X is not connected $\Leftrightarrow \exists f: X \rightarrow \{0, 1\}$, discrete topology, surjective + continuous.

Proof:

" \Leftarrow " follows from $X = f^{-1}(\{0\}) \cup f^{-1}(\{1\})$.

" \Rightarrow ". Let $U, V \in \tau$ be open, disjoint with $X = U \cup V, U \neq \emptyset, V \neq \emptyset$.

$\Rightarrow f: X \rightarrow \{0, 1\}, x \mapsto \begin{cases} 0, & x \in U \\ 1, & x \in V \end{cases}$ is surjective and continuous since $f^{-1}(\{0\}) = U, f^{-1}(\{1\}) = V$. q.e.d.

9.4 Lemma:

Let (X, τ) be a top. space and $(X_i)_{i \in I}$ a family of subsets of X such that $X_i \cap X_j \neq \emptyset$ for all $i, j \in I$. If all $(X_i, \tau|_{X_i})$ are (path-)connected, so is $\bigcup_{i \in I} X_i$.

Proof:

First, let all X_i be path-connected, $x \in X_i, y \in X_j (i, j \in I)$ and $p \in X_i \cap X_j$.

$\Rightarrow \exists \gamma_1: [0, 1] \rightarrow X_i, \gamma_2: [1, 2] \rightarrow X_j$ continuous with $\gamma_1(0) = x, \gamma_1(1) = p = \gamma_2(1), \gamma_2(2) = y$.

Let $\gamma: [0, 2] \rightarrow \bigcup_{i \in I} X_i, \gamma(t) = \begin{cases} \gamma_1(t), & t \in [0, 1] \\ \gamma_2(t), & t \in [1, 2] \end{cases}$.

Then, γ is continuous (use similar result to Ex. 47) with $\gamma(0) = x, \gamma(2) = y$.

Now, let all X_i be connected and $f: \bigcup_{i \in I} X_i \rightarrow \{0, 1\}$ continuous.

Let $i_0 \in I$. Then, $f(X_{i_0}) \subseteq \{0, 1\}$ is connected, w.l.o.g. $f(X_{i_0}) = \{0\}$. If $j \in I$ is another index, we have $X_{i_0} \cap X_j \neq \emptyset$, thus $0 \in f(X_j)$.

$\Rightarrow f(X_j) = \{0\}$. Thus, f is constant. By 9.3, $\bigcup_{i \in I} X_i$ is connected. q.e.d.

9.5 Lemma:

Let (X, τ) be a top. space, $A \subseteq X$ connected, $B \subseteq X$ with $A \subseteq B \subseteq \bar{A}$.

Then, B is also connected. In particular, \bar{A} is connected.

Proof:

Let $f: B \rightarrow \{0, 1\}$ be continuous $\Rightarrow f|_A$ is constant

$\underset{A \subseteq B}{\text{A constant}} \Rightarrow B$ connected. q.e.d.

9.6 Lemma and Definition

Let (X, τ) be a top. space, $x, y \in X$. We write

$x \sim y : \Leftrightarrow \exists A \subseteq X$ connected: $x, y \in A$

and $x \not\sim y : \Leftrightarrow \exists A \subseteq X$ path-connected: $x, y \in A$.
This defines equivalence relations \sim and $\not\sim$ on X .

9.7 Definition

The equivalence classes w.r.t. \sim resp. $\not\sim$ are called connected components resp. path-connected components of X .

9.8 Lemma:

For a top. space (X, τ) , we have:

a) X is the disjoint union of its connected components.

b) Every connected subspace $H \subseteq X$ is included in a connected component of X .

c) The connected components of X are connected

d) The connected components of X are closed.

Apart from d), everything stays true for path-connectedness.

Proof:

a) is true for every equivalence relation.

b) Let $H \subseteq X$ be connected, $p \in H \underset{\forall x \in H}{\sim} H \subseteq \{p\}_{\sim}$.

c) Let $C \subseteq X$ be a connected component of X , $p \in C$.

$\Rightarrow C \underset{\text{connected}}{\sim} \bigcup_{M \subseteq X \text{ connected}} M$.

d) Let $C \subseteq X$ be a connected component of $X \Rightarrow \bar{C} \subseteq X$ connected $\stackrel{\text{c) 9.5}}{\Rightarrow} \bar{C} = C$. q.e.d.

9.9 Example:

Let $K = \{(n, n^2) \mid n \in \mathbb{Z}\}$, $p = (0, 0) \in \mathbb{R}^2$ and

$$X = ([0, 1] \times \{0\}) \cup (K \times [0, 1]) \cup \{p\}$$

be equipped with the relative topology of \mathbb{R}^2 .

Since

$$A = ([0, 1] \times \{0\}) \cup (K \times [0, 1]) \subseteq \mathbb{R}^2$$

is path-connected, it is also connected by 9.2b).

$\Rightarrow X$ connected. We will show that X is not path-connected.



Let $\gamma: [a, b] \rightarrow X$ be continuous with $\gamma(a) = p$.

$\Rightarrow \gamma^{-1}(\{p\}) \subseteq [a, b]$ is closed.

Let $x_0 \in \gamma^{-1}(\{p\}) \Rightarrow \exists \varepsilon > 0: U = [a, b] \cap]x_0 - \varepsilon, x_0 + \varepsilon[\subseteq \gamma^{-1}(B_\delta(p))$

IA: $\exists q \in \gamma(U) \setminus \{p\} \Rightarrow \exists n \geq 1, t \in [0, 1]: q = (1/n, t)$. Let $r \in]\frac{1}{n+1}, \frac{1}{n}[$

$\Rightarrow \gamma(U) \subseteq (-\infty, r] \times R \cup]r, \infty] \times R)$ with $q \in]r, \infty] \times R \cap \gamma(U), p \in (-\infty, r] \times R \cap \gamma(U) \subseteq$

$\Rightarrow \gamma(U) \subseteq (-\infty, r] \times R \cup]r, \infty] \times R)$ with $q \in]r, \infty] \times R \cap \gamma(U), p \in (-\infty, r] \times R \cap \gamma(U) \subseteq$

Since $\gamma(U)$ is connected by 9.2 $\Rightarrow \gamma(U) = \{p\}$

$\Rightarrow \gamma^{-1}(\{p\}) = U \subseteq [a, b]$ closed & open

$[a, b] \setminus \gamma^{-1}(\{p\}) = \emptyset \Rightarrow \gamma = p$. Thus, X is not path-connected.

9.10 Definition:

Let (X, τ) be a top. space. Then, X is called locally (path-)connected if:

$\forall x \in X: \forall U \in \mathcal{U}(x): \exists C \in \mathcal{U}(x)$ (path-)connected: $C \subseteq U$.

"loc. (path-)connected" \Rightarrow (path-)connected: $\mathbb{R} \setminus \{0\}$
"path-connected" \Rightarrow locally (path-)connected: cf. Ex. 9.9

9.11 Remark:

Since balls w.r.t. norms are convex and thus path-connected, normed spaces are locally path-connected and thus locally connected. In fact, even top. vector spaces are even path-connected.

9.12 Theorem:

A top. space (X, τ) is locally (path-)connected if and only if

$\forall U \in X$ open: \forall connected components C of $U: C \subseteq X$ open.

Proof: \Leftarrow : Let $U \subseteq X$ be open, $C \subseteq U$ a (path-)connected component, $x \in C$.

$\Rightarrow \exists V \in \mathcal{U}(x)$ (path-)connected: $V \subseteq U \Rightarrow V \subseteq C$. Thus, $C \subseteq X$ is open.

\Leftarrow : Let $x \in X, U \in \mathcal{U}(x) \Rightarrow \exists V \in \mathcal{U}(x), V \subseteq X$ open: $V \subseteq U$.

Let C_V be the (path-)connected component of x in V .
Assumption: $C_V \subseteq X$ open, thus $C_V \in \mathcal{U}(x)$. By 9.8 c), C_V is connected. q.e.d.

9.13 Theorem:

Let (X, τ) be locally path-connected, $U \subseteq X$ open.

a) U is connected if and only if U is path-connected.

b) The connected components of U are the path-connected components of U .

Proof:

a) " \Leftarrow ": 9.2

" \Rightarrow ": Let U be connected, $p \in U, C \subseteq U$ the path-connected component of p in U .

9.23 $C \subseteq X$ open.

A: $\exists a \in U \cap C \Rightarrow \exists W \in \mathcal{U}(a)$ path-connected: $W \subseteq U$.

If $W \cap C \neq \emptyset$, $W \cap C$ were path-connected by 9.4, 9.8c) with $W \cap C \not\subseteq C \subseteq$

Thus, $W \subseteq U \cap C$.

$\Rightarrow C, U \cap C \subseteq X$ open $\Rightarrow C, U \cap C \subseteq U$ open, $p \in C, a \in U \cap C \not\subseteq U$ connected)

Thus, $U = C$ is path-connected by 9.8c).

b) Let $C \subseteq U$ be a connected component, $p \in U$ with $C = [p]_U$. By 9.12, $C \subseteq X$ is open,

thus by 9.8c) & 9.13 a), it is path-connected $\Rightarrow C \subseteq [p]_U$

The same argument shows $[p]_U \subseteq [p]_U$. q.e.d.