

K -contractions

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Contractions

Let \mathcal{H} be a complex Hilbert space.

Lemma

Let $T \in B(\mathcal{H})$. The following assertions are equivalent:

- 1 T is a contraction (i.e., $\|T\| \leq 1$),
- 2 $1/K(T, T^*) \geq 0$, where

$$K: \mathbb{D} \times \mathbb{D} \rightarrow \mathbb{C}, (z, w) \mapsto \frac{1}{1 - z\bar{w}} = \frac{1}{1 - \langle z, w \rangle}$$

is the reproducing kernel of the Hardy space on the unit disc $H^2(\mathbb{D})$.

Example

- 1 The shift operator $M_z \in B(H^2(\mathbb{D}))$ satisfies

$$\frac{1}{K}(M_z, M_z^*) = P_{\mathbb{C}} \geq 0.$$

- 2 Every unitary $U \in B(\mathcal{H})$ fulfills

$$\frac{1}{K}(U, U^*) = 0.$$

Definition

We say that a contraction $T \in B(\mathcal{H})$ belongs to the class C_0 or is *pure* if

$$T_\infty = \tau_{\text{SOT}}\text{-}\lim_{N \rightarrow \infty} T^N T^{*N} = 0.$$

Example

The shift operator $M_z \in B(H^2(\mathbb{D}))$ belongs to C_0 .

Theorem

Let $T \in B(\mathcal{H})$ be a contraction. Then

$$\pi_T: \mathcal{H} \rightarrow H^2(\mathbb{D}, \mathcal{D}_T), \quad h \mapsto \sum_{n=0}^{\infty} (D_T T^{*n} h) z^n,$$

where $D_T = (1 - TT^*)^{1/2} = (1/K(T, T^*))^{1/2}$ and $\mathcal{D}_T = \overline{D_T \mathcal{H}}$, is a well-defined bounded linear operator. Furthermore, we have

$$\|\pi_T h\|^2 = \|h\|^2 - \langle T_{\infty} h, h \rangle$$

for all $h \in \mathcal{H}$, and

$$\pi_T T^* = (M_z^{\mathcal{D}_T})^* \pi_T.$$

Corollary

A contraction $T \in B(\mathcal{H})$ is in C_0 if and only if π_T is an isometry.

The \mathcal{C}_0 case

Corollary

Let $T \in B(\mathcal{H})$ be an operator. The following statements are equivalent:

- 1** *T is a contraction which belongs to \mathcal{C}_0 ,*
- 2** *there exist a Hilbert space \mathcal{D} , and an isometry $\pi: \mathcal{H} \rightarrow H^2(\mathbb{D}, \mathcal{D})$ such that*

$$\pi T^* = (M_z^{\mathcal{D}})^* \pi.$$

A Beurling type theorem

Remark

If $T \in B(\mathcal{H})$ is a C_0 -contraction and $\mathcal{S} \in \text{Lat}(T)$, then $T|_{\mathcal{S}}$ is also C_0 -contraction.

Theorem

Let \mathcal{E} be a Hilbert space. For $\mathcal{S} \subset H^2(\mathbb{D}, \mathcal{E})$, the following statements are equivalent:

- 1 $\mathcal{S} \in \text{Lat}(M_Z^{\mathcal{E}})$,
- 2 there exist a Hilbert space \mathcal{D} , and a bounded analytic function $\theta: \mathbb{D} \rightarrow B(\mathcal{D}, \mathcal{E})$ such that

$$M_{\theta}: H^2(\mathbb{D}, \mathcal{D}) \rightarrow H^2(\mathbb{D}, \mathcal{E}), \quad f \mapsto \theta f$$

is a partial isometry with $\text{Im}(M_{\theta}) = \mathcal{S}$.

The general case

Theorem

Let $T \in B(\mathcal{H})$ be an operator and write $H_K = H^2(\mathbb{D})$. The following statements are equivalent:

- 1 $1/K(T, T^*) \geq 0$,
- 2 there exist Hilbert spaces \mathcal{D} and \mathcal{K} , a unitary operator $U \in B(\mathcal{K})$, and an isometry $\Pi: \mathcal{H} \rightarrow H_K(\mathcal{D}) \oplus \mathcal{K}$ such that

$$\Pi T^* = (M_z^{\mathcal{D}} \oplus U)^* \Pi.$$

Question

For which reproducing kernels K does an analogue theorem hold?
What happens if we look at commuting tuples
 $T = (T_1, \dots, T_d) \in B(\mathcal{H})^d$?

Unitarily invariant spaces on \mathbb{B}_d

Let $(a_n)_{n \in \mathbb{N}}$ be a sequence of positive numbers with $a_0 = 1$ and such that

$$k(z) = \sum_{n=0}^{\infty} a_n z^n \quad (z \in \mathbb{D})$$

defines a holomorphic function with radius of convergence at least 1 and no zeros in the unit disc \mathbb{D} . The map

$$K: \mathbb{B}_d \times \mathbb{B}_d \rightarrow \mathbb{C}, (z, w) \mapsto \sum_{n=0}^{\infty} a_n \langle z, w \rangle^n$$

defines a semianalytic positive definite function and hence, there exists a reproducing kernel Hilbert space $H_K \subset \mathcal{O}(\mathbb{B}_d)$ with kernel K . The space H_K is a so called *unitarily invariant space* on \mathbb{B}_d .

Furthermore, we assume $\sup_{n \in \mathbb{N}} a_n/a_{n+1} < \infty$ such that the *K*-shift $M_z = (M_{z_1}, \dots, M_{z_d}) \in B(H_K)^d$ is well-defined.

Since k has no zeros in \mathbb{D} , the function

$$\frac{1}{k}: \mathbb{D} \rightarrow \mathbb{C}, \quad z \mapsto \frac{1}{k(z)}$$

is again holomorphic and hence admits a Taylor expansion

$$\frac{1}{k}(z) = \sum_{n=0}^{\infty} c_n z^n \quad (z \in \mathbb{D})$$

with a suitable sequence $(c_n)_{n \in \mathbb{N}}$ in \mathbb{R} .

Example

- 1 If $a_n = 1$ for all $n \in \mathbb{N}$, then H_K is the Hardy space ($d = 1$) or the *Drury-Arveson space* ($d \geq 2$).
- 2 If $\nu > 0$ and $a_n = a_n^{(\nu)} = (-1)^n \binom{-\nu}{n}$ for all $n \in \mathbb{N}$, then

$$K(z, w) = K^{(\nu)}(z, w) = \frac{1}{(1 - \langle z, w \rangle)^\nu} \quad (z, w \in \mathbb{B}_d),$$

i.e., $H_{K^{(\nu)}}$ is a *generalized Bergman space*.

- 3 The space H_K is a *complete Nevanlinna-Pick space* if and only if

$$c_n \leq 0$$

for all $n \geq 1$.

Definition

Let $T = (T_1, \dots, T_d) \in B(\mathcal{H})^d$ be a commuting tuple. Define

$$\left(\frac{1}{K}\right)_N (T, T^*) = \sum_{n=0}^N c_n \sigma_T^n(1)$$

for all $N \in \mathbb{N}$, where

$$\sigma_T: B(\mathcal{H}) \rightarrow B(\mathcal{H}), \quad X \mapsto \sum_{i=1}^d T_i X T_i^*.$$

Furthermore, we write

$$\frac{1}{K}(T, T^*) = \tau_{\text{SOT}}\text{-}\lim_{N \rightarrow \infty} \left(\frac{1}{K}\right)_N (T, T^*)$$

if the latter exists.

Definition

Let $T \in B(\mathcal{H})^d$ be a commuting tuple.

- 1 We call T a K -contraction if $1/K(T, T^*) \geq 0$.
- 2 We call T a *spherical unitary* if T satisfies $\sigma_T(1) = 1$ and consists of normal operators.

Example

- 1 If $d = 1$, a $K^{(1)}$ -contraction is a contraction.
- 2 If $d \geq 2$, a $K^{(1)}$ -contraction is a row contraction.
- 3 Let $m \in \mathbb{N}^*$. We call a commuting tuple $T \in B(\mathcal{H})^d$ an m -hypercontraction if T is a $K^{(\ell)}$ -contraction for all $\ell = 1, \dots, m$.

Proposition (Chen)

If there exists a natural number $p \in \mathbb{N}$ such that

$$c_n \geq 0 \text{ for all } n \geq p \quad \text{or} \quad c_n \leq 0 \text{ for all } n \geq p$$

holds, then

$$\frac{1}{K}(M_z, M_z^*) = P_{\mathbb{C}} \quad \text{and} \quad \sum_{n=0}^{\infty} |c_n| < \infty.$$

Example

The condition above is satisfied in the case when H_K is a

- 1 generalized Bergman space,
- 2 complete Nevanlinna-Pick space.

For the rest of this section, we suppose that the condition in the last proposition holds.

Definition

Let $T \in B(\mathcal{H})^d$ be a K -contraction. We define

$$\Sigma_N(T) = 1 - \sum_{n=0}^N a_n \sigma_T^n \left(\frac{1}{K}(T, T^*) \right)$$

for $N \in \mathbb{N}$ and write

$$\Sigma(T) = \tau_{\text{SOT}}\text{-}\lim_{N \rightarrow \infty} \Sigma_N(T)$$

if the latter exists. If $\Sigma(T) = 0$, we call T K -pure.

Remark

If $K = K^{(1)}$ and $T \in B(\mathcal{H})^d$ is a $K^{(1)}$ -contraction, then

$$\Sigma_N(T) = \sigma_T^{N+1}(1)$$

for all $N \in \mathbb{N}$, and hence,

$$\Sigma(T) = T_\infty \geq 0.$$

Proposition

Let $T \in B(\mathcal{H})^d$ be a K -contraction such that $\Sigma(T)$ exists. The map

$$\pi_T: \mathcal{H} \rightarrow H_K(\mathcal{D}_T), \quad h \mapsto \sum_{\alpha \in \mathbb{N}^d} \left(a_{|\alpha|} \frac{|\alpha|!}{\alpha!} D_T T^{*\alpha} h \right) z^\alpha,$$

where $D_T = (1/K(T, T^*))^{\frac{1}{2}}$ and $\mathcal{D}_T = \overline{D_T \mathcal{H}}$, is a well-defined bounded linear operator. Furthermore, we have

$$\|\pi_T h\|^2 = \|h\|^2 - \langle \Sigma(T)h, h \rangle$$

for all $h \in \mathcal{H}$ and

$$\pi_T T_i^* = (M_{z_i}^{\mathcal{D}_T})^* \pi_T$$

for all $i = 1, \dots, d$.

The pure case

Theorem (Eschmeier, S.)

Let $T \in B(\mathcal{H})^d$ be a commuting tuple. The following statements are equivalent:

- 1 T is a *K*-contraction which is *K*-pure,
- 2 there exist a Hilbert space \mathcal{D} and an isometry $\Pi: \mathcal{H} \rightarrow H_K(\mathcal{D})$ such that

$$\Pi T_i^* = (M_{z_i}^{\mathcal{D}})^* \Pi$$

for all $i = 1, \dots, d$.

A Beurling type theorem

Theorem (Eschmeier, S.)

Let \mathcal{E} be a Hilbert space. For $\mathcal{S} \subset H_K(\mathcal{E})$, the following statements are equivalent:

- 1 $\mathcal{S} \in \text{Lat}(M_{\mathcal{Z}}^{\mathcal{E}})$ and $M_{\mathcal{Z}}^{\mathcal{E}}|_{\mathcal{S}}$ is *K*-pure,
- 2 there exist a Hilbert space \mathcal{D} and a bounded analytic function $\theta: \mathbb{B}_{\mathcal{D}} \rightarrow B(\mathcal{D}, \mathcal{E})$ such that

$$M_{\theta}: H_K(\mathcal{D}) \rightarrow H_K(\mathcal{E}), \quad f \mapsto \theta \cdot f$$

is a partial isometry with $\text{Im}(M_{\theta}) = \mathcal{S}$.

The general case

Definition

We call a *K*-contraction $T \in B(\mathcal{H})^d$ *strong* if $\Sigma(T) \geq 0$ and $\Sigma(T) = \sigma_T(\Sigma(T))$ holds.

Remark

- 1 If a *K*-contraction is *K*-pure, then it is also strong. Hence, the *K*-shift $M_z \in B(H_K)^d$ is a strong *K*-contraction.
- 2 Every spherical unitary is a strong *K*-contraction.

Lemma

Let $T \in B(\mathcal{H})^d$ be a strong *K*-contraction. Then there exist a Hilbert space \mathcal{L} with $\Sigma(T)^{1/2}\mathcal{H} \subset \mathcal{L}$, and a spherical unitary $W \in B(\mathcal{L})^d$ such that

$$\Sigma(T)^{1/2} T_i^* h = W_i^* \Sigma(T)^{1/2} h$$

for all $h \in \mathcal{H}$ and $i = 1, \dots, d$. Furthermore, \mathcal{L} and W can be chosen such that

$$\mathcal{L} = \bigvee \left\{ W^\alpha \Sigma(T)^{1/2} h ; \alpha \in \mathbb{N}^d \text{ and } h \in \mathcal{H} \right\}$$

holds.

Remark

Let $T \in B(\mathcal{H})^d$ be a strong *K*-contraction. Using the notations from the last lemma, we define

$$\Pi_T: \mathcal{H} \rightarrow H_K(\mathcal{D}_T) \oplus \mathcal{L}, \quad h \mapsto \pi_T h \oplus \Sigma(T)^{1/2} h.$$

Then Π_T is an isometry with

$$\Pi_T T_i^* = (M_{z_i}^{\mathcal{D}_T} \oplus W_i)^* \Pi_T$$

for $i = 1, \dots, d$. Furthermore, one can achieve that

$$\mathcal{L} = \bigvee \left\{ W^\alpha \Sigma(T)^{1/2} h ; \alpha \in \mathbb{N}^d \text{ and } h \in \mathcal{H} \right\},$$

which will be assumed further on.

Theorem (Eschmeier, S.)

Let $T \in B(\mathcal{H})^d$ be a commuting tuple. The following statements are equivalent:

- T is a strong K -contraction,
- there exist Hilbert spaces \mathcal{D}, \mathcal{K} , a spherical unitary $U \in B(\mathcal{K})^d$, and an isometry $\Pi: \mathcal{H} \rightarrow H_K(\mathcal{D}) \oplus \mathcal{K}$ such that

$$\Pi T_i^* = (M_{z_i}^{\mathcal{D}} \oplus U_i)^* \Pi \quad (i = 1, \dots, d).$$

If we assume that H_K is regular, i.e., $\lim_{n \rightarrow \infty} a_n/a_{n+1} = 1$, then the above are also equivalent to

- there is a unital completely contractive linear map $\rho: \text{span} \{1, M_{z_i}, M_{z_i} M_{z_i}^* ; i = 1, \dots, d\} \rightarrow B(\mathcal{H})$ with
- $$\rho(M_{z_i}) = T_i, \quad \rho(M_{z_i} M_{z_i}^*) = T_i T_i^* \quad (i = 1, \dots, d).$$

The Toeplitz algebra

Suppose that H_K is regular and that

$$\frac{1}{K}(M_z, M_z^*) = P_{\mathbb{C}} \in \bigvee \left\{ M_z^\alpha M_z^{*\beta} ; \alpha, \beta \in \mathbb{N}^d \right\}.$$

Example

If $K = K^{(\nu)}$ for $\nu \geq 1$, then the above conditions are satisfied.

Theorem

The Toeplitz algebra $C^(M_z)$ coincides with the closed linear span of $\{ M_z^\alpha M_z^{*\beta} ; \alpha, \beta \in \mathbb{N}^d \}$, i.e.,*

$$C^*(M_z) = \bigvee \left\{ M_z^\alpha M_z^{*\beta} ; \alpha, \beta \in \mathbb{N}^d \right\}.$$

Factorizations of coextensions

Theorem (Eschmeier, S.)

Let $T \in B(\mathcal{H})^d$ be a strong *K*-contraction. Furthermore, let \mathcal{D}, \mathcal{K} be Hilbert spaces, $U \in B(\mathcal{K})^d$ a spherical unitary, and let $\Pi: \mathcal{H} \rightarrow H_K(\mathcal{D}) \oplus \mathcal{K}$ be an isometry such that

$$\Pi T_i^* = (M_{z_i}^{\mathcal{D}} \oplus U_i)^* \Pi \quad (i = 1, \dots, d).$$

Then there exist isometries $V_s \in B(\mathcal{D}_T, \mathcal{D})$ and $V_u \in B(\mathcal{L}, \mathcal{K})$ such that the diagram

$$\begin{array}{ccc} \mathcal{H} & \xrightarrow{\Pi} & H_K(\mathcal{D}) \oplus \mathcal{K} \\ \Pi_T \downarrow & \nearrow (1_{H_K} \otimes V_s) \oplus V_u & \\ H_K(\mathcal{D}_T) \oplus \mathcal{L} & & \end{array}$$

commutes.

Radial K -hypercontractions

Lemma

Let $m \in \mathbb{N}^$ and let $T \in B(\mathcal{H})^d$ be a $K^{(m)}$ -contraction. Then, for $0 < r < 1$, the tuple $rT \in B(\mathcal{H})^d$ is a $K^{(m)}$ -pure $K^{(m)}$ -contraction.*

Definition

We call a commuting tuple $T \in B(\mathcal{H})^d$ with $\sigma(T) \subset \overline{\mathbb{B}}_d$ a *radial K -hypercontraction* if, for all $0 < r < 1$, $rT \in B(\mathcal{H})^d$ is a K -contraction.

Example

Spherical unitaries are radial K -hypercontractions.

Remark

We define

$$k_r: \mathbb{D} \rightarrow \mathbb{C}, \quad z \mapsto k(rz) \quad (r \in [0, 1]).$$

For $r, s \in [0, 1]$, the function k_s/k_r has a Taylor expansion on \mathbb{D}

$$\sum_{n=0}^{\infty} a_n(s, r) z^n.$$

Note that

$$a_n(1, 0) = a_n \quad \text{and} \quad a_n(0, 1) = c_n \quad (n \in \mathbb{N}).$$

Remark (Guo, Hu, Xu)

If H_K is regular, then $\sigma(M_z) \subset \overline{\mathbb{B}}_d$.

From now on, we suppose that H_K is regular.

Proposition (Olofsson)

The K -shift $M_z \in B(H_K)^d$ is a radial K -hypercontraction if and only if

$$a_n(1, r) \geq 0$$

for all $n \in \mathbb{N}$ and $0 < r < 1$.

Remark (Olofsson)

If H_K is a complete Nevanlinna-Pick space or a generalized Bergman space, then M_z is a radial K -hypercontraction.

Proposition (Olofsson)

Suppose that M_z is a radial K -hypercontraction. Let $T \in B(\mathcal{H})^d$ be a radial K -hypercontraction. Then

$$\frac{1}{K_{\text{rad}}}(T, T^*) = \tau_{\text{SOT}}\text{-}\lim_{r \rightarrow 1} \frac{1}{K}(rT, rT^*)$$

exists and defines a positive operator.

Corollary

If M_z is a radial K -hypercontraction, then

$$\frac{1}{K_{\text{rad}}}(M_z, M_z^*) = P_{\mathbb{C}}.$$

Theorem (Eschmeier, S.)

Suppose that $M_z \in B(H_K)^d$ is a radial K -hypercontraction. Let $T \in B(\mathcal{H})^d$ be a commuting tuple. The following assertions are equivalent:

- 1 T is a radial K -hypercontraction,
- 2 there exist Hilbert spaces \mathcal{D}, \mathcal{K} , a spherical unitary $U \in B(\mathcal{K})^d$, and an isometry $\Pi: \mathcal{H} \rightarrow H_K(\mathcal{D}) \oplus \mathcal{K}$ such that

$$\Pi T_i^* = (M_{z_i}^{\mathcal{D}} \oplus U_i)^* \Pi \quad (i = 1, \dots, d),$$

- 3 there is a unital completely contractive linear map $\rho: S \rightarrow B(\mathcal{H})$ on the operator space

$$S = \text{span} \{1, M_{z_i}, M_{z_i} M_{z_i}^* ; i = 1, \dots, d\} \text{ with}$$

$$\rho(M_{z_i}) = T_i, \quad \rho(M_{z_i} M_{z_i}^*) = T_i T_i^* \quad (i = 1, \dots, d).$$

Theorem (Eschmeier, S.)

Let $\nu > 0$ and let $T \in B(\mathcal{H})^d$ be a commuting tuple. The following assertions are equivalent:

- 1 T is a radial $K^{(\nu)}$ -hypercontraction,
- 2 T is a strong $K^{(\nu)}$ -contraction,
- 3 there exist Hilbert spaces \mathcal{D}, \mathcal{K} , a spherical unitary $U \in B(\mathcal{K})^d$, and an isometry $\Pi: \mathcal{H} \rightarrow H_{K^{(\nu)}}(\mathcal{D}) \oplus \mathcal{K}$ such that

$$\Pi T_i^* = (M_{z_i}^{\mathcal{D}} \oplus U_i)^* \Pi \quad (i = 1, \dots, d),$$

- 4 there is a unital completely contractive linear map $\rho: S \rightarrow B(\mathcal{H})$ on the operator space $S = \text{span} \{1, M_{z_i}, M_{z_i}^* M_{z_i}^* ; i = 1, \dots, d\}$ with

$$\rho(M_{z_i}) = T_i, \quad \rho(M_{z_i}^* M_{z_i}^*) = T_i T_i^* \quad (i = 1, \dots, d).$$

ν -hypercontractions

Definition

Let $\nu \geq 1$ be a real number. We call a commuting tuple $T \in B(\mathcal{H})^d$ an ν -hypercontraction if

$$\frac{1}{K^{(\mu)}}(T, T^*) = \tau_{\|\cdot\|} - \sum_{n=0}^{\infty} c_n^{(\mu)} \sigma_T^n(1) \geq 0$$

for all $1 \leq \mu \leq \nu$.

Remark (Agler; Müller, Vasilescu)

Let $m \in \mathbb{N}^*$. A commuting tuple $T \in B(\mathcal{H})^d$ is a m -hypercontraction if and only if T is a row contraction and a $K^{(m)}$ -contraction.

Theorem (Olofsson; Eschmeier, S.)

Let $\nu \geq 1$ and let $T \in B(\mathcal{H})^d$ be a commuting tuple. The following assertions are equivalent:

- 1 T is a row contraction and a radial $K^{(\nu)}$ -hypercontraction,
- 2 T is an ν -hypercontraction,
- 3 T is row contraction and a $K^{(\nu)}$ -contraction,
- 4 T is a strong $K^{(\nu)}$ -contraction.

In this case, we have $\Sigma(T) = T_\infty$.

A Beurling type theorem for ν -hypercontractions

Theorem (Eschmeier, Klauk, S.)

Let \mathcal{E} be a Hilbert space and $\mathcal{S} \subset H_{K(\nu)}(\mathcal{E})$ be a subspace. For $M_z^\mathcal{E} \in B(H_{K(\nu)}(\mathcal{E}))^d$ and $1 \leq \mu \leq \nu$, the following statements are equivalent:

- 1 $\mathcal{S} \in \text{Lat}(M_z^\mathcal{E})$ and $M_z^\mathcal{E}|_{\mathcal{S}}$ is a $K^{(\mu)}$ -contraction,
- 2 $\mathcal{S} \in \text{Lat}(M_z^\mathcal{E})$ and $M_z^\mathcal{E}|_{\mathcal{S}}$ is a μ -hypercontraction,
- 3 there exist a Hilbert space \mathcal{D} and a bounded analytic function $\theta: \mathbb{B}_d \rightarrow B(\mathcal{D}, \mathcal{E})$ such that

$$M_\theta: H_{K(\mu)}(\mathcal{D}) \rightarrow H_{K(\nu)}(\mathcal{E}), f \mapsto \theta \cdot f$$

is a partial isometry with $\text{Im}(M_\theta) = \mathcal{S}$.

Theorem (Sarkar)

Let \mathcal{E} be a Hilbert space and let $H(\mathcal{E}) \subset \mathcal{O}(\mathbb{B}_n, \mathcal{E})$ be a reproducing kernel Hilbert space of analytic functions such that $M_z^\mathcal{E} \in B(H(\mathcal{E}))^n$ is a row contraction as well as $\mathcal{S} \subset H(\mathcal{E})$. Then the following statements are equivalent:

- 1 $\mathcal{S} \in \text{Lat}(M_z^\mathcal{E})$,
- 2 there exist a Hilbert space \mathcal{D} and an analytic function $\theta: \mathbb{B}_n \rightarrow B(\mathcal{D}, \mathcal{E})$ such that

$$M_\theta: H_{K(1)}(\mathcal{D}) \rightarrow H(\mathcal{E}), \quad f \mapsto \theta \cdot f$$

is a partial isometry with $\text{Im}(M_\theta) = \mathcal{S}$.