Introduction The spine and the amoebas equilibrium Boundaries for the inner complement component Lopsidedness and A-discriminants

## Amoebas of genus at most 1

### Timo de Wolff



December 18th 2009

The spine and the amoebas equilibrium Boundaries for the inner complement component Lopsidedness and A-discriminants

# Introduction

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# Notation

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• All polynomials are in  $\mathbb{C}[\mathbf{z}]$  with  $\mathbf{z} := z_1 \cdots z_n$ .

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$$c = |c| \cdot e^{i\pi \cdot \arg(c)}$$

with  $\arg(c) \in [0,2]$  and |c| denoting the modulus.

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• The NEWTON POLYTOPE of a polynomial *f* is the polytope obtained by taking the convex hull of all its exponent vectors interpreted as points in  $\mathbb{Z}^n$  and will be denoted as New(*f*).

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# Notation

### Example

Let  $f := z_1^2 z_2^3 + 2z_1^2 z_2^2 + 4z_1 z_2^2 + z_1 z_2 + 3z_2^2 + 1$ . Then we have New $(f) := \operatorname{conv} \{(2,3)^t, (2,2)^t, (1,2)^t, (1,1)^t, (0,2)^t, (0,0)^t\}$ 

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### What is an amoeba?

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## What is an amoeba?

### Definition (Gelfand, Kapranov, Zelevinsky)

### Let $f \in \mathbb{C}[\mathbf{z}]$ with variety $\mathcal{V}(f) \subset (\mathbb{C}^*)^n$ .

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Let  $f \in \mathbb{C}[\mathbf{z}]$  with variety  $\mathcal{V}(f) \subset (\mathbb{C}^*)^n$ . Define the Log-map as:

$$\begin{array}{ll} \mathsf{Log}: & (\mathbb{C}^*)^n \to \mathbb{R}^n, \\ & (|z_1| \cdot e^{i \cdot \phi_1}, \dots, |z_n| \cdot e^{i \cdot \phi_n}) \mapsto (\log |z_1|, \dots, \log |z_n|) \end{array}$$

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Then the AMOEBA  $\mathcal{A}(f)$  of f is the image of  $\mathcal{V}(f)$  under the Log-map.

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$$f := z_1 + z_2 + 1$$

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## Basic properties of amoebas

### Let $f \in \mathbb{C}[\mathbf{z}]$ with amoeba $\mathcal{A}(f)$ . Then:

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# Basic properties of amoebas

Let  $f \in \mathbb{C}[\mathbf{z}]$  with amoeba  $\mathcal{A}(f)$ . Then:

 A(f) is a closed set with non-empty complement (Gelfand, Kapranov, Zelevinksy).

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- Every configuration of existing and non-existing inner complement components is possible (Rullgård).

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### Two major problems on amoebas

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## Two major problems on amoebas

• For which choices of the coefficients of a polynomial do specific complement components exist?

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## Two major problems on amoebas

- For which choices of the coefficients of a polynomial do specific complement components exist?
- At which points of the amoeba do inner complement components appear?

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### MESSAGE OF THE TALK:

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## Two major problems on amoebas

- For which choices of the coefficients of a polynomial do specific complement components exist?
- At which points of the amoeba do inner complement components appear?

### MESSAGE OF THE TALK:

These two problems are hard in general but may be solved for a rich subclass of polynomials!

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# The spine and the amoebas equilibrium

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# Motivation

Observation (Forsberg)

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Introduction The spine and the amoebas equilibrium Boundaries for the inner complement component Lopsidedness and A–discriminants

# Motivation

### Observation (Forsberg)

An amoeba  $\mathcal{A}(f)$  in dimension 2 looks like a thickened graph being in some way dual to New(f).

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#### Observation (Forsberg)

An amoeba  $\mathcal{A}(f)$  in dimension 2 looks like a thickened graph being in some way dual to New(f).

#### Aim

Define a nice polyhedral complex structure on  $\mathcal{A}(f)$  preserving the homotopy of  $\mathcal{A}(f)$ .

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## The Ronkin function

Definition (Ronkin)

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Let  $f \in \mathbb{C}[\mathbf{z}]$ . Then the RONKIN FUNCTION is defined as

$$N_f: \qquad \log\left((\mathbb{C}^*)^n\right) \to \mathbb{R},$$
$$\mathbf{w} \mapsto \frac{1}{(2\pi i)^n} \int_{\log^{-1}(\mathbf{w})} \frac{\log|f(z_1,\ldots,z_n)|}{z_1\cdots z_n} \ dz_1\cdots dz_n.$$

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• The Ronkin function maps every point **w** to the average log-value of *f* on the fibre of **w** under the Log-map.

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- The Ronkin function maps every point **w** to the average log-value of *f* on the fibre of **w** under the Log-map.
- The Ronkin function is convex and piecewise linear on each complement component of  $\mathcal{A}(f)$ .

### The spine of an amoeba

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### The spine of an amoeba

#### Define

$$f := \sum_{i=1}^{m} b_i \cdot \mathbf{z}^{\mathbf{x}^{(i)}} \in \mathbb{C}[\mathbf{z}]$$

with  $x^{(i)} \in \mathbb{Z}^n$ .

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with  $x^{(i)} \in \mathbb{Z}^n$ . On each complement component of  $\mathcal{A}(f)$  we have

$$N_f(\mathbf{w}) = \beta_{x^{(i)}} + \left\langle \mathbf{w}, x^{(i)} \right\rangle.$$

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Idea:

**Q** Extend each of these hyperplanes on complete  $\mathbb{R}^n$ ,

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Idea:

- **(**) Extend each of these hyperplanes on complete  $\mathbb{R}^n$ ,
- 2 take the maximum at each point of  $\mathbb{R}^n$ ,
- So take the subset of ℝ<sup>n</sup> where the maximum is attained at least twice.

### The spine of an amoeba

### Definition (Passare, Rullgård)

#### Define

$$A := \left\{ x^{(i)} \in \mathbb{Z}^n \ \Big| \ \mathcal{A}(f) \text{ has compl. comp. of order } x^{(i)} 
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Then the SPINE S(f) of f is defined as the set of points where

$$S(\mathbf{w}) := \max_{x^{(i)} \in \mathcal{A}} \left( eta_{x^{(i)}} + \left\langle x^{(i)}, \mathbf{w} \right\rangle 
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is not smooth.

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### Properties of the spine

### Advantages:

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### Properties of the spine

#### Advantages:

• The spine S(f) is a polyhedral complex.

# Properties of the spine

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- The spine S(f) is a polyhedral complex.
- S(f) is a deformation retraction of the amoeba A(f) (Passare, Rullgård).

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# Properties of the spine

### Advantages:

- The spine S(f) is a polyhedral complex.
- S(f) is a deformation retraction of the amoeba A(f) (Passare, Rullgård).
- S(f) is dual to some regular, integral subdivision of New(f).

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# Properties of the spine

#### Advantages:

- The spine S(f) is a polyhedral complex.
- S(f) is a deformation retraction of the amoeba A(f) (Passare, Rullgård).
- S(f) is dual to some regular, integral subdivision of New(f).
- The spine is the zero locus of the tropical polynomial

$$\bigoplus_{\mathsf{x}^{(i)}\in\mathcal{A}}\log|\beta_{\mathsf{x}^{(i)}}|\odot\left\langle \mathsf{w}, \mathsf{x}^{(i)}\right\rangle$$

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## Properties of the spine

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• The spine is defined over the existing complement components of  $\mathcal{A}(f)$ . Therefore one has to know the homotopy of  $\mathcal{A}(f)$  to compute the spine.

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## Properties of the spine

#### Disadvantages:

- The spine is defined over the existing complement components of  $\mathcal{A}(f)$ . Therefore one has to know the homotopy of  $\mathcal{A}(f)$  to compute the spine.
- The Ronkin coefficients  $\beta_{x^{(i)}}$  may not be described in a combinatorial way out of the original polynomial f since they are given by

$$\beta_{x^{(i)}} = \underbrace{\log |b_i|}_{\text{coefficient of } z^{x^{(i)}}} + \text{convergent laurent series}$$

### The amoebas equilibrium

#### Definition

Let  $f := \sum_{i=1}^{m} b_i \cdot \mathbf{z}^{x^{(i)}} \in \mathbb{C}[\mathbf{z}]$  and A denote the lattice points whose corresponding compl. comp. in  $\mathcal{A}(f)$  exists. Then we define:

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(b) The AMOEBAS EQUILIBRIUM  $\mathcal{V}(\operatorname{Trop}(f_{|A}))$  as the zero locus of  $\operatorname{Trop}(f_{|A}) := \bigoplus_{i \in \{j \mid x^{(i)} \in A\}}^{n} \log |b_i| \odot \langle \mathbf{w}, x^{(i)} \rangle.$ 

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### The amoebas equilibrium

### Remark

• We have

 $\mathcal{V}(\operatorname{Trop}(f_{|A})) \subseteq \mathcal{E}(f).$ 

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### The amoebas equilibrium

#### Remark

• We have

$$\mathcal{V}(\operatorname{Trop}(f_{|A})) \subseteq \mathcal{E}(f).$$

- Both structures are polyhedral complexes.
- \$\mathcal{V}(Trop(f\_{|A}))\$ is dual to a regular integral subdivision of New(f).
- We call the vertices of  $\mathcal{E}(f)$  where n + 1 monomials have the same weight the EQUILIBRIUM POINTS of f.

# The amoebas equilibrium

Let 
$$f := x^2y + xy^2 - 2xy + 1$$
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### Example

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W.l.o.g. we define  $b_0 := 1, x^{(0)} := (0, \dots, 0)^T$  and say f is  $(\Delta, \cdot)$ .

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## Restriction to genus at most 1

#### Advantages

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# Boundaries for the inner complement component

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## Rough boundary theorem

#### Aim

T. de Wolff Amoebas of genus at most 1

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## Rough boundary theorem

#### Aim

Let  $b_1, \ldots, b_n$  be arbitrary. Find general boundaries for |c| depending on  $b_1, \ldots, b_n$  and  $\arg(c)$  s.t.  $\mathcal{A}(f)$  has genus 0 resp. genus 1.

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## Rough boundary theorem

#### Theorem (Theobald, dW.)

Let 
$$f = \sum_{i=0}^{n} b_i \cdot \mathbf{z}^{x^{(i)}} + c \cdot \mathbf{z}^{y}$$

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$$\Theta := \left( \prod_{i=1}^{n} |b_i|^{\det\left(M_{\left(x^{(i)}:=y\right)}\right)} \right)^{1/\det(M)}$$

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Then we have:

(a) For all  $\arg(c) \mathcal{A}(f)$  has genus 1 if  $|c| > (n+1) \cdot \Theta.$ 

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(b) For all  $\arg(c) \mathcal{A}(f)$  has genus 0 if

$$|c| \leq \Theta.$$

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## Sketch of proof

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## Sketch of proof



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# Sketch of proof



#### Lemma (Theobald, dW.)

All the equilibrium points  $\delta$ ,  $\rho^{(0)}, \ldots, \rho^{(n)}$  may be calculated in terms of  $b_0, \ldots, b_n, c$  and the exponents of f.
# Sketch of proof



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#### Observe:

There is a choice for |c| s.t.  $\delta = \rho^{(0)} = \cdots = \rho^{(n)}$ .

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#### Observe:

If  $\mathcal{V}(\operatorname{Trop}(f_{|A}))$  has genus 1, then |c| has to be larger than this choice and  $\delta$  will lie inside the simplex spaned up by the  $\rho^{(i)}$ .

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#### Observe:

- There is a choice for |c| s.t.  $\delta = \rho^{(0)} = \cdots = \rho^{(n)}$ .
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- If V(Trop(f<sub>|A</sub>)) has genus 1, then |c| has to be larger than this choice and δ will lie inside the simplex spaned up by the ρ<sup>(i)</sup>.

#### Idea:

Investigate the fibre  $Log^{-1}(\delta)$  of  $\delta$  under the Log-map.

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# Sketch of proof

The fibre under the Log-map is an *n*-Torus



## Sketch of proof

$$f\left(\mathsf{Log}^{-1}(\delta)\right) = \frac{|c|}{\Theta} \cdot e^{i \cdot \pi \cdot (\arg(c) + \langle \phi, y \rangle)} + \sum_{j=0}^{n} e^{i \cdot \pi \cdot \left(\arg(b_j) + \langle \phi, x^{(j)} \rangle\right)}$$

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$$\Rightarrow |c| > \Theta.$$

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 $\Rightarrow$  genus of  $\mathcal{A}(f)$  is 1.

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### Questions on the rough boundary theorem

Questions:

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# Questions on the rough boundary theorem

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- Is the upper bound sharp for some particular arg(c)?

Answer:

Only if

$$y = \frac{1}{n+1} \cdot \sum_{i=0}^{n} x^{(i)}$$

i.e. only if y is the barycenter of the simplex New(f).

The position of y in New(f)

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### The position of y in New(f)

#### Obervation:

The inner complement component appears at the point  $\delta + \nu$  of  $\mathcal{A}(f)$  were — roughly spoken — the "inner monomial" has maximum weight with respect to the sum of all others.

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Compute this extremal point, investigate its fibre and compute a sharp boundary in  $b_1, \ldots, b_n$  for |c| to switch from genus 0 to genus 1.

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- In general nasty because  $\delta + \nu$  and the boundary depends on the choice of  $\arg(c)$ .
- BUT: Everything becomes nice if we are allowed to choose arg(c) s.t. |c| has to be maximal with respect to arg(c) until A(f) has genus 1.

### Main Theorem

### Theorem (Theobald, dW.)

Let 
$$f = \sum_{i=0}^{n} b_i \cdot \mathbf{z}^{\mathbf{x}^{(i)}} + c \cdot \mathbf{z}^{\mathbf{y}}$$
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- (b) For at last one  $\arg(c)$  this boundary is sharp. For this  $\arg(c)$  the hole will appear at the point  $\nu + \delta \in \mathcal{A}(f)$  which can be computed explicitly.
- (c) For all arg(c) the exact boundary can be rewritten as the solution of some particular optimization problem.

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# Lopsidedness and A-discriminants

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### Lopsidedness

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Let 
$$g \in \mathbb{C}\left[\mathbf{z}^{\pm 1}\right]$$
 s.t.

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For  $\mathbf{w} \in Log((\mathbb{C}^*)^n)$  define

$$g\{\mathbf{w}\} := \left(\left|m_1\left(\mathsf{Log}^{-1}(\mathbf{w})\right)\right|, \ldots, \left|m_d\left(\mathsf{Log}^{-1}(\mathbf{w})\right)\right|\right).$$

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We call such a list LOPSIDED if one of the numbers is greater than the sum of all the others.

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$$\mathcal{LA}(g) \hspace{2mm} := \hspace{2mm} \{ {f w} \in {
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It is easy to see that  $\mathcal{A}(g) \subseteq \mathcal{L}\mathcal{A}(g)$ .

## Lopsidedness

#### Define

$$\widetilde{g}_{r}(\mathbf{z}) := \prod_{k_{1}=0}^{r-1} \cdots \prod_{k_{d}=0}^{r-1} g\left(e^{2\pi i k_{1}/r} z_{1}, \dots, e^{2\pi i k_{d}/r} z_{n}\right)$$

#### Then the following theorem holds:

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#### Theorem (Purbhoo 08)

For  $r \to \infty$  the family  $\mathcal{LA}(\tilde{g}_r)$  converges uniformly to  $\mathcal{A}(g)$ .  $\mathcal{A}(g)$  can be approximated by  $\mathcal{LA}(\tilde{g}_r)$  explicitly up to an  $\varepsilon > 0$  if r is greater than some  $N_{(\varepsilon,g)} \in \mathbb{N}$ .

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#### Corollary (Theobald, dW.)

Let f be  $(\Delta, \cdot)$ . Then  $\mathcal{A}(f)$  has genus 1 for all  $\arg(c)$  if and only if  $f\{\nu + \delta\}$  is lopsided with  $|m_y(\operatorname{Log}^{-1}(\nu + \delta))|$  as the maximal term.

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# The A-discriminant

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#### Proposition and definition

We define the *A*-DISCRIMINANT  $\Delta_A(g)$  as an irreducible, integral polynomial in the configuration space  $\mathbb{C}[\mathbf{b}]$  (with  $\mathbf{b} := \prod_{X^{(i)} \in A} b_{X^{(i)}}$ ) vanishing exactly on  $\nabla_A$ .

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Let f be  $(\Delta, \cdot)$  with A := New(f). Then

(a) the configuration space  $\{(b_1, \ldots, b_n, c) \mid b_1, \ldots, b_n, c \in \mathbb{C}^*\}$ of  $f \in \mathbb{C}^A$  is decomposed in 2 fulldimensional sets representing the amoebas of genus 0 resp. 1,

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- (c)  $\Delta_A(f)$  is given explicitly in  $b_0, \ldots, b_n, c$  and the elements of A.

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# The A-discriminant

### Example

Let 
$$f := 1 + b_1 x^2 y + b_2 x y^2 + c x y$$
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 $\Delta_A(f) = 27 b_1 b_2 - c^3.$ 

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### Thank you for your attention!

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