

28 Using notation we write for two terms  
 $\lambda x^\alpha > \mu x^\beta$ ,  $\lambda, \mu \in K \setminus \{0\}$  if  $x^\alpha > x^\beta$ .

Proposition  $S, g \in K[x_1, \dots, x_n]$ , " $>$ " global monomial order  
 Then

- (1)  $\text{in}(S \cdot g) = \text{in}(S) \text{in}(g)$
- (2)  $\text{in}(S+g) \leq \max(\text{in}(S), \text{in}(g))$  and equality holds unless  $\text{in}(S) + \text{in}(g) = 0$

Proof (1)  $\text{in}(S \cdot g) = \text{in}(S) \text{in}(g)$  because every term  $m$  of  $S$  satisfies  $\text{in}(S) \geq m$

Proof of the division thm:

Let  $S_1, \dots, S_r \in K[x_1, \dots, x_n]$  and " $>$ " a global monomial order.

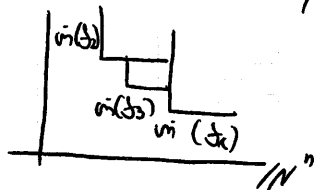
For every  $f \in K[x_1, \dots, x_n]$  there are unique  $g_1, \dots, g_r$  in  $K[x_1, \dots, x_n]$  and a remainder  $h \in K[x_1, \dots, x_n]$  such that

$$(1) f = g_1 S_1 + \dots + g_r S_r + h$$

(2) (a) No term of  $g_i \text{in}(S_i)$  is divisible by an  $\text{in}(S_j)$  for some  $j < i$

(b) No term of  $h$  is divisible by one of the  $\text{in}(S_i)$

Existence: Since (2a) and (b) induce a partition of the monomials or equivalently exponent vectors



We can write  $f = \sum_{i=1}^r \tilde{g}_i \text{in}(S_i) + \tilde{h}$  uniquely

Then look at  $S' = f - (\sum_{i=1}^r \tilde{g}_i S_i + \tilde{h})$

Since we have a partition the initial term of  $f$  and  $\text{in}(\sum_{i=1}^r \tilde{g}_i S_i + \tilde{h}) = \max\{\text{in}(\tilde{g}_i) \text{in}(S_i), \text{in}(\tilde{h})\}$  coincide.

Hence  $\text{in}(S') < \text{in}(f)$  and induction applies

$$S' = g'_1 S_1 + \dots + g'_r S_r + h'$$

and then  $S = \underbrace{(\tilde{g}_1 + g_1')}_{=g_1} S_1 + \dots + \underbrace{(\tilde{g}_r + g_r')}_{=g_r} S_r + \underbrace{(\tilde{h} + h')}_{=h}$

Remark: It would be good enough to write

$$w(S) = \begin{cases} m & w(S_i), \quad w(S) \in \Delta_i \\ m & , \quad w(S) \in \bar{\Delta} \end{cases}$$

for term  $m$ , where

$$\Delta_i = (\exp(S_i) + \mathbb{N}^n) - \bigcup_{j < i} \exp(S_j) + \mathbb{N}^n$$

$$\bar{\Delta} = \mathbb{N}^n - \bigcup \Delta_i \quad \text{and to subtract } w(S_i) \text{ resp. } m$$

More precisely for the induction

Consider  $\mathcal{F} = \{S \mid S \text{ has no presentation as in 2a) and b)}\}$  and look at

$$M = \{w(S) \mid S \in \mathcal{F}\}$$

We have to prove  $M = \emptyset$ . If not then by Dixon's Lemma this set has a minimal element.

Doing one division step arrives at a contradiction

Rmk: Notice that the algorithm as presented in the proof only depends on  $w(S_1), \dots, w(S_r)$  but not on the global monomial order.

The existence of global monomial order guarantees termination.

Example:  $S_1 = X^2Y - Y^3$ ,  $S_2 = X^3 \in K[X, Y]$ , with " $>_{lex}$ "  
Then  $w(S_1) = X^2Y$ , For  $S = X^3Y$  we get

$$S = X S_1 + 0 S_2 + X Y^3. \text{ So } h \text{ is the remainder because } X Y^3 \notin (X^2Y, X^3)$$

On the other hand, if we take  $S_1' = X^3$ ,  $S_2' = X^2Y - Y^3$

$X^3Y = Y S_1' + 0 S_2' + 0$ . So even the remainder  $h$  by division of  $S$  by  $S_1, S_r$  depends on the order of  $S_1, \dots, S_r$

Preliminary definition: " $>$ " a global monomial order

$S_1, \dots, S_r \in K[X_1, \dots, X_n]$  form a Gröbner basis (GB) (or Gordon basis) if the remainder  $h$  of any  $S \in K[X_1, \dots, X_n]$  divided by  $S_1, \dots, S_r$  does not depend on the ordering of  $S_1, \dots, S_r$

In practise we give the following definition

2.3 Def: Let  $I \subset K[X_1, \dots, X_n]$  be an ideal and " $>$ " a global monomial order.

The initial ideal of  $I$  is

$$in(I) = in_{>}(I) = (in(S) \mid S \in I).$$

$S_1, \dots, S_r \in I$  are a GB of  $I$  if

$$in(I) = (in(S_1), \dots, in(S_r))$$

Note that  $in(I)$  is a monomial ideal, i.e. an ideal generated by monomials

Gordon's proof of Hilbert's Basis Theorem

Let  $I \subset K[X_1, \dots, X_n]$ . By Dixon's Lemma  $in(I)$  is finitely generated. Let  $S_1, \dots, S_r \in I$  s.t.

$$in(I) = (in(S_1), \dots, in(S_r))$$

Let  $S \in I$  be arbitrary and  $S = g_1 S_1 + \dots + g_r S_r + h$  the expression from the division thm.

Then no term of  $h$  lies in  $in(in(S_1), \dots, in(S_r))$

On the other hand

$$h = S - \sum g_i S_i \in I.$$

So  $in(h) \in in(I)$ . Thus  $in(h) = 0$ , i.e.  $h = 0$  and  $S \in (S_1, \dots, S_r)$ , so  $I = (S_1, \dots, S_r)$

Remark: As any proof of Hilbert's basis thm

Dixon's proof has two ingredients

- (1) An induction on number of variables
- (2) A division with remainder

The proof above separates these two ingredients

2.11 Corollary (of Dixon's proof) (Thm of Macaulay)  
 The monomials  $m \in \text{in}(I)$  represent a  $K$ -vector space  
 basis of  $K[x_1, \dots, x_n]/I$ . In particular two elements  
 $s, s' \in K[x_1, \dots, x_n]$  are congruent mod  $I$  iff their remainders  
 $h, h'$  of division by  $\mathcal{G}_B$  are equal.

Uniqueness:

$$s = g_1 s_1 + \dots + g_r s_r + h,$$

then

$$\text{in}(s) = \max \{ \text{in}(g_1 s_1), \dots, \text{in}(g_r s_r), \text{in}(h) \}$$

Since they are pairwise distinct. So

$$s = 0 \text{ if and only if } g_1 = 0, \dots, g_r = 0, h = 0$$

as  $\text{in}(g_i s_i) = \text{in}(g_i) \text{in}(s_i)$

Proof of Macaulay's thm

$$s \equiv s' \pmod{I} \text{ iff } s - s' \in I \text{ eq to } h - h' = 0$$

By definition of  $\text{in}(I)$  a remainder  $h \in I$  iff  $h = 0$

This proves that  $m \in \text{in}(I)$  are  $K$ -linearly independent  
 and division with remainder proves that they span  
 $K[x_1, \dots, x_n]/I$  as a  $K$ -vector space

How to detect  $\mathcal{G}_B$ ?

Buchberger's criterion gives an answer and an algorithm  
 to compute a  $\mathcal{G}_B$  from a generating set of an ideal

Let  $s_1, \dots, s_r \in K[x_1, \dots, x_n]$  and  $I = (s_1, \dots, s_r)$

For  $1 \leq j < i \leq r$  consider the monomial

$$m = \text{lcm}(\text{in}(s_i), \text{in}(s_j))$$

$$\frac{m}{\text{in}(s_j)} s_i - \frac{m}{\text{in}(s_i)} s_j =: S(s_i, s_j)$$

In this expression the lead term cancels and  
 dividing  $s_1, \dots, s_r$  might lead to a new initial term  
 $\text{in} \quad \text{in}(I)$

One can do a little better in a way which points to  
 a proof of Buchberger's thm.

1.12 Def  $I, J \subseteq R$  ideals in a ring.  
The quotient ideal (or colon ideal)

$$I : J = \{ r \in R \mid rJ \subseteq I \}$$

If  $J = (S)$  is a principal ideal we write

$$I : J = I : (S)$$

Notation Let  $S_1, \dots, S_r \in K[x_1, \dots, x_n]$ , " $>$ " global m. order

Then for  $i = 2, \dots, r$  consider

$$M_i = (m(S_1), \dots, m(S_{i-1})) : m(S_i)$$

The minimal monomial generator correspond to the minimal ways to have

$$\Delta_i = \exp(S_i) + \mathbb{N}^n \setminus (\exp(S_j) + \mathbb{N}^n)$$

Thm (Buchberger)

Let  $S_1, \dots, S_r \in K[x_1, \dots, x_n]$  and " $>$ " global monomial order  $S_1, \dots, S_r$  form a GB for  $I = (S_1, \dots, S_r)$  if and only if for each monomial generator  $m \in M_i$  the remainder of  $m \cdot S_i$  divided by  $S_1, \dots, S_r$  (in this order) is zero

Remark: In the first step of the division algorithm we look at an  $m(S_j)$  with  $j < i$  such that  $m \cdot m(S_i)$  is a multiple of  $m(S_j)$  in other words we look at

$$m \cdot m(S_i) - \lambda m \cdot m(S_j), \lambda \in K$$

which up to scalar is the S polynomial  $S(S_i, S_j)$   
Buchberger formulated his criterion with S-polynomials  
Since there are usually more S-pairs  $\binom{r}{2}$  than altogether minimal generator of the  $M_i$ 's  
Our formulation is a bit simpler

Example Consider the ideal of the  $2 \times 2$  minors of

$$\begin{pmatrix} x_1 & x_2 & x_3 & x_4 \\ I_1 & I_2 & I_3 & I_4 \end{pmatrix} \text{ and } >_{lex} \text{ and } x_1 > x_2 > \dots > x_4 > I_1 > I_2 > \dots > I_4$$

There are  $r = 6 = \binom{4}{2}$  minors with critical term

- $x_1 \ I_2 \quad M_1 = 0$
- $x_1 \ I_3 \quad M_2 = (I_2)$
- $x_1 \ I_4 \quad M_3 = (I_2, I_3)$
- $x_2 \ I_3 \quad M_4 = (x_1)$
- $x_2 \ I_4 \quad M_5 = (x_1, I_3)$
- $x_3 \ I_4 \quad M_6 = (x_1, x_2)$

There are 8 Buchberger tests to do which is less than 15, the number of S-poly

Beweis: Buchbergers Kriterium:

Eine Richtung ist einfach:

Ist  $S_1, \dots, S_r$  eine GB für  $I = (S_1, \dots, S_r)$  und  $S \in I$  beliebiges Element, dann ist der Rest  $h = 0$ , da mit  $S$  und  $S_1, \dots, S_r \in I$  auch  $h \in I$   
 $\text{in}(h) \notin (\text{in}(S_1), \dots, \text{in}(S_r))$  nach Bed (2)(b)

Für die andere Richtung gibt uns das Kriterium für jedes und jeden minimalen Erzeuger  $m$  von  $M_i$  einen Ausdruck

$$m S_i = \sum_{j=1}^r g_j^{(m,i)} S_j$$

Der Vektor  $G^{(i,m)} := (-g_1^{(m,i)}, \dots, m-g_i^{(m,i)}, \dots, -g_r^{(m,i)})$  liegt im Kern der Abb.

$$P^r \rightarrow P, (a_1, \dots, a_r) \mapsto \sum a_i S_i$$

wobei  $P = K[x_1, \dots, x_n]$

Def: Sei  $R$  ein Ring und  $S_1, \dots, S_r \in R^s$  Elemente in einem freien  $R$ -Modul von Rang  $s$ .

Ein Element  $(g_1, \dots, g_r) \in \text{Ker}(R^r \xrightarrow{(S_1, \dots, S_r)} R^s)$  nennt man eine Syzzygie zwischen  $S_1, \dots, S_r$

$$\text{Ker}(R^r \rightarrow R^s)$$

heißt Syzygiem-Modul

Beispiel:  $(\frac{\text{in } S_1}{m}, \dots, \frac{\text{in } S_i}{m}, \dots, \frac{\text{in } S_j}{m}, \dots, \frac{\text{in } S_r}{m}) \in \text{Ker}(P^r \xrightarrow{(\text{in } S_1, \dots, \text{in } S_r)} P)$

und  $m = \text{lcm}(\text{in } S_1, \dots, \text{in } S_j)$  ist eine Syzygie zwischen  $\text{in } S_i$  und  $\text{in } S_j$  und dieser erzeugt den Syzygiem-Modul