

# **Global Gross-Prasad conjecture for $SO(5)$ and Yoshida's theta lifting**

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Gross-Prasad-conjecture: Local or global situation

$$SO(n) = G, \quad SO(n-1) = G' \subseteq G, \quad H = G' \triangleleft G' \times G$$

$\pi, \pi'$  irreducible representations of  $G, G'$  resp. (cuspidal automorphic in global case, admissible in local case).

**Local Problem:** When is  $\text{Hom}_H(\pi \otimes \pi', \mathbf{C}) \neq 0$ ?  
(Does there exist an  $H$ -invariant linear functional on  $\pi \times \pi'$ ?)  
equivalently: is  $\text{Hom}_H(\pi, \tilde{\pi}') \neq 0$ ?

If existent, the invariant linear functional is unique.

**Global problem:** Is the invariant functional

$$I_H(\varphi) = \int_{H(\mathbf{Q}) \backslash H(\mathbf{A})} \varphi(h) dh$$

nonzero?

In this talk: Global situation over  $\mathbf{Q}$ .

Example:  $G = SO(4)$  split:

$$G \cong \{(g_1, g_2) \in GL_2 \times GL_2 \mid \det(g_1) = \det(g_2)\} / Z(GL_2)_\Delta,$$

$(g_1, g_2)$  acting on  $(M(2 \times 2), \det)$  by  $A \mapsto g_1 A g_2^{-1}$ .

$G' \cong PGL_2 \xrightarrow{\Delta} G$  acting on trace 0 matrices

$\pi_1, \pi_2, \pi_3$  irreducible cuspidal automorphic representations of  $GL_2$ , central character of  $\pi_1 \otimes \pi_2$  and of  $\pi_3$  trivial,

$\pi := \pi_1 \otimes \pi_2$  (as rep. of  $G = SO(4)$ ),  $\pi' = \pi_3$  (as rep. of  $G' = PGL_2$ ).

Similarly for  $D$  quaternion algebra over  $\mathbb{Q}$  with reduced norm  $n$ .

$G^D = SO(D) \cong \{(g_1, g_2) \in D^\times \times D^\times \mid n(g_1) = n(g_2)\} / Z(D^\times)_\Delta,$   
acting on  $(D, n)$  as above,

$G'^D \cong PD^\times \xrightarrow{\Delta} G$  acting on trace 0 quaternions  $D^0$

$\pi_1, \pi_2, \pi_3$  irreducible cuspidal automorphic representations of  $GL_2(\mathbf{A})$ ,  
 central character of  $\pi_1 \otimes \pi_2$  and of  $\pi_3$  trivial,  
 $\pi := \pi_1 \otimes \pi_2$  (as rep. of  $G(\mathbf{A}) = SO(4, \mathbf{A})$ ),  $\pi' = \pi_3$  (as rep. of  $G'(\mathbf{A}) = PGL_2(\mathbf{A})$  ).

$S$  set of places, where all of  $\pi_1, \pi_2, \pi_3$  are discrete series,  
 $D$  a quaternion algebra over  $\mathbf{Q}$  unramified outside  $S$ ,  
 $\pi_i^D$  the Jacquet-Langlands lift of  $\pi_i$  to  $D^\times(\mathbf{A})$ .

**Jacquet conjecture:** (Harris/Kudla)

$$L(\pi_1 \otimes \pi_2 \otimes \pi_3, 1/2) \neq 0 \Leftrightarrow \int_{\mathbf{Q}_\mathbf{A}^\times Z(D_\mathbf{A}^\times) \backslash D_\mathbf{A}^\times} \varphi_1^D(x) \varphi_2^D(x) \varphi_3^D(x) dx \neq 0$$

for some quaternion algebra  $D$  as above and some  $\varphi_i^D \in \pi_i^D$ .  
 (Notice: Integral becomes a finite sum if  $D$  is ramified at  $\infty$ .)

R.H.S.:  $\Leftrightarrow$  Invariant functional

$I((\varphi_1^D \otimes \varphi_2^D) \otimes \varphi_3^D)$  on  $\underbrace{(\pi_1^D \otimes \pi_2^D)}_{\pi^D \text{ on } G^D = SO(D)} \otimes \underbrace{\pi_3^D}_{\pi'^D \text{ on } G'^D = SO(D^0)}$  is nonzero for some  $D$ .

Prasad: Such a functional exists locally at  $v$  for precisely one  $D_v$ .

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Gross/Kudla: Compute  $L(\pi_1 \otimes \pi_2 \otimes \pi_3, 1/2)$  explicitly as multiple of  $(I(\varphi_1^D \otimes \varphi_2^D \otimes \varphi_3^D))^2$  for hol. newforms of weight 2.

Böcherer/SP: same for triple of weights “balanced” (weights are sides of a triangle, quaternion algebra is ramified at  $\infty$ ), Watson: Sum of weights 0.

**Gross-Prasad conjecture:**

$SO(n) = G, \quad SO(n-1) = G' \subseteq G, \quad H = G' \xrightarrow{\Delta} G' \times G,$

$\pi, \pi'$  irred. rep. of  $G, G'$  resp. (cuspidal automorphic resp. admissible), central character of  $\pi \times \pi'$  trivial.

Locally  $H$ -invariant functional exists for precisely one inner form  $\tilde{G}, \tilde{G}'$  and  $\tilde{\pi}, \tilde{\pi}'$  of  $\tilde{G}, \tilde{G}'$  corresponding to  $\pi, \pi'$  (assume  $\pi, \pi'$  to have generic parameters), nonzero on spherical vector at places where  $\pi, \pi'$  are unramified.

Global nonvanishing of  $I_{\tilde{H}}$  (on some inner form) depends on central value of  $L$ -function  $L(\pi \otimes \pi', s)$ .

Here:  $G' = SO(4) \subseteq G = SO(5) \cong PGSp(2 \cdot 2)$ ,

$$\text{in } G = PGSp(2 \cdot 2) \text{ we have } G' = \left\{ \begin{pmatrix} a_1 & 0 & b_1 & 0 \\ 0 & a_2 & 0 & b_2 \\ c_1 & 0 & d_1 & 0 \\ 0 & c_2 & 0 & d_2 \end{pmatrix} \right\}.$$

Goal: Compute  $I(\varphi)$  explicitly for  $\varphi$  corresponding to holomorphic modular forms!

$F$  holomorphic Siegel modular form on the Siegel upper half plane

$$\mathbf{H}_2 = \{Z = X + iY \in M_2^{\text{sym}}(\mathbf{C}) \mid Y > 0\}$$

(possibly vector valued),  $f_1, f_2$  elliptic modular forms for  $\Gamma$ , compute

$$\int_{(\Gamma \backslash \mathbf{H}) \times (\Gamma \backslash \mathbf{H})} F \left( \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix} \right) \overline{f_1(z_1) f_2(z_2)} d^* z_1 d^* z_2.$$

Should get connection to  $L(\text{Spin}(F), f_1, f_2, s)$ .

Watson's talk: Jacquet conjecture and explicit formulae "explained" by see-saw identity:

$$E(Z, s) \text{ at } s = 0 \quad (\pi_1^D \otimes \pi_1^D) \otimes (\pi_2^D \otimes \pi_2^D) \otimes (\pi_3^D \otimes \pi_3^D).$$

$$\begin{array}{ccc}
 Sp_6 & & SO(D) \times SO(D) \times SO(D) \\
 | & \searrow & | \\
 SL_2 \times SL_2 \times SL_2 & & SO(D) \\
 \pi_1 \otimes \pi_2 \otimes \pi_3 & & \mathbf{1}
 \end{array}$$

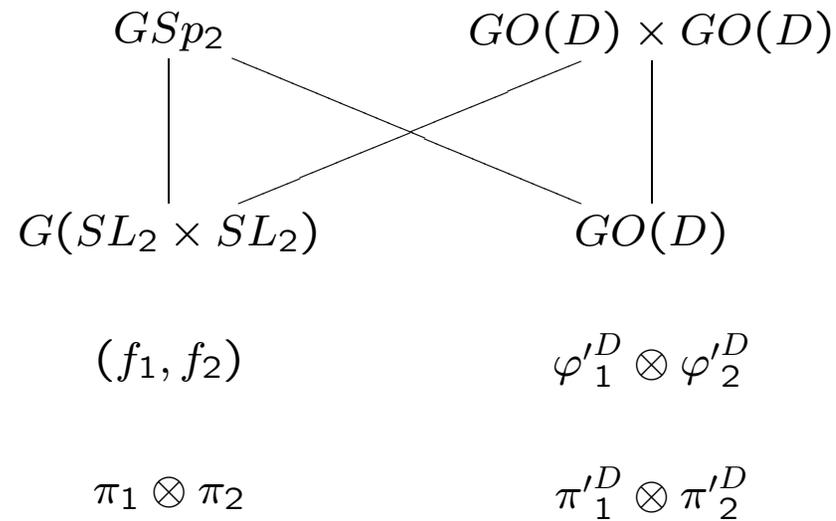
The slanted lines denote theta liftings, the vertical lines denote inclusions.

The line between  $Sp_6$  and  $SO(D)$  represents the Siegel-Weil formula.

We use a seesaw here too:

$$\Pi \quad (\pi_1^D \otimes \pi_1^D) \otimes (\pi_2^D \otimes \pi_2^D) .$$

$$F \quad (\psi_1^D \otimes \psi_1^D) \otimes (\psi_2^D \otimes \psi_2^D)$$



Use  $D$  ramified at  $\infty$  (so with positive definite norm form).

### Theta liftings:

$V$  over  $\mathbf{Q}$  even dimensional quadratic space with form  $Q(x) = \frac{1}{2}B(x, x)$ .

The oscillator representation  $\omega$  of  $O(V, \mathbf{A}) \times Sp(2n, \mathbf{A})$  acts on  $S(V(\mathbf{A})^n)$ , gives rise to the theta kernel

$$\theta^{(V,n)}(g, h; f) = \theta^n(g, h; f) := \sum_{\mathbf{x} \in V(\mathbf{Q})^n} \omega(g) f(h^{-1}\mathbf{x}).$$

For a cusp form  $\varphi$  on  $O(V, \mathbf{A})$  and a test function  $f \in S(V(\mathbf{A})^n)$  write

$$\Theta_f^{(n)}(\varphi)(g) := \int_{O(V, \mathbf{Q}) \backslash O(V, \mathbf{A})} \varphi(h) \theta^n(g, h; f) dh,$$

this is an automorphic form on  $Sp(2n, \mathbf{A})$ .

For  $Q$  positive definite one has  $O(V, \mathbf{A}) = \cup_{j=1}^t O(V, \mathbf{Q}) h_j O(L, \mathbf{A})$  for a lattice  $L \subseteq V$ ,  $\Theta_f^{(n)}(\varphi)(g)$  is then a finite linear combination of classical theta series with spherical harmonic polynomials associated to a lattice  $L \subseteq V$ :

$$\vartheta_{L, \mathbf{y}}^{(n)}(P, z) = \sum_{\mathbf{x} \in \mathbf{y} + L^n} P(\mathbf{x}) \exp(2\pi i \operatorname{tr}(Q(\mathbf{x})Z))$$

where  $\mathbf{y} \in V(\mathbf{Q})^n$ ,  $Q(\mathbf{x}) = (\frac{1}{2}B(x_k, x_l)) \in M_n^{\operatorname{sym}}(\mathbf{Q})$  for  $\mathbf{x} = (x_1, \dots, x_n) \in V(\mathbf{Q})^n$ .

## Yoshida's lifting:

$h_1, h_2$  cuspidal newforms of weight 2, trivial character for  $\Gamma_0(N)$  ( $N$  square-free) (for simplicity), having the same Atkin-Lehner eigenvalues for  $p \mid N$ , associated representations  $\pi'_1, \pi'_2$ .

$D$  quaternion algebra  $/\mathbb{Q}$ , ramified at  $\infty$  and some places dividing  $N$ ,  $R$  an order of level  $N$  in  $D$   
(maximal at the ramified places, intersection of two maximal orders with index  $p$  in both of them at unramified primes  $p \mid N$ ).

$h_1, h_2$  have Jacquet-Langlands lift  $\varphi_1^D, \varphi_2^D$  to functions  $D_{\mathbf{A}}^{\times} \rightarrow \mathbb{C}$  (new vectors in the representations  $\pi_1'^D, \pi_2'^D$ ).

With  $R_{\mathbf{A}}^{\times} = D_{\infty}^{\times} \times \prod_p R_p^{\times}$  we have the double coset decomposition

$$D_{\mathbf{A}}^{\times} = \cup_{i=1}^r D^{\times} y_i R_{\mathbf{A}}^{\times}$$

( $r$  the number of classes of left ideals of the order  $R$ ).  
 $e_i = | (y_i R y_i^{-1})^{\times} |$  is the number of units of the order  $R_i = y_i R y_i^{-1}$  of  $D$ .

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The Yoshida lifting of degree 2 of  $\varphi_1^D, \varphi_2^D$  is:

$$Y^{(2)}(\varphi_1^D, \varphi_2^D)(Z) = \sum_{i,j=1}^r \frac{1}{e_i e_j} \varphi_1^D(y_i) \varphi_2^D(y_j) \vartheta^2(y_i R y_j^{-1}, Z)$$

where  $\vartheta^2(y_i R y_j^{-1}, Z) = \sum_{(x_1, x_2) \in (y_i R y_j^{-1})^2} \exp(2\pi i \operatorname{tr} \left( \begin{pmatrix} n(x_1) & \operatorname{tr}(\overline{x_1} x_2) \\ \operatorname{tr}(\overline{x_1} x_2) & n(x_2) \end{pmatrix} Z \right) )$ .

It is nonzero (Bö-SP), cuspidal if  $h_1 \neq h_2$ .

It is the theta lifting of  $\varphi_1^D \otimes \varphi_2^D$  from  $SO(D)$  to  $Sp(2 \cdot 2)$ .

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If  $h_1, h_2$  have even weights  $2 + 2\nu_1, 2 + 2\nu_2$  get similar construction,  $\varphi_i^D$  take values in harmonic polynomials of degree  $\nu_i$  on  $D^0(\mathbf{R})$ .

$Y^{(2)}(\varphi_1^D, \varphi_2^D)(Z)$  is then a vector valued modular form of type  $(\nu_1 + \nu_2, \nu_1 - \nu_2)$ , a linear combination of theta series with harmonic polynomials on  $D(\mathbf{R})$ .

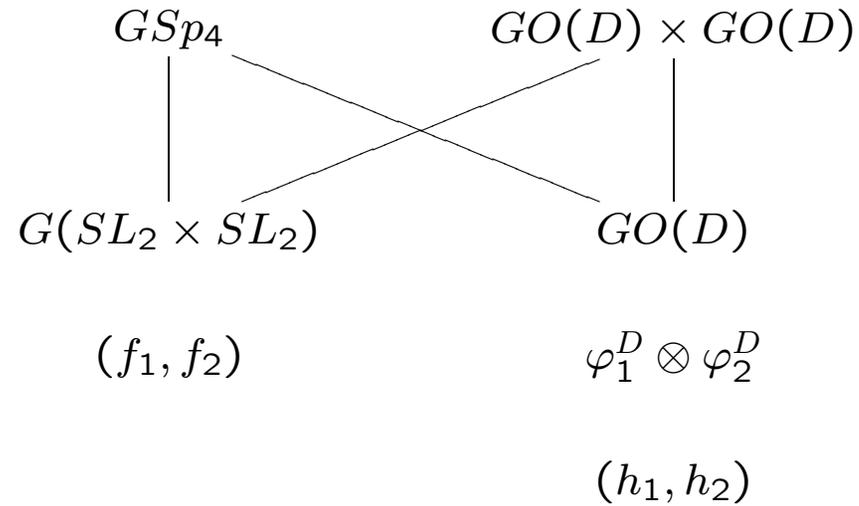
The same construction with

$$\vartheta^1(y_i R y_j^{-1}, z) = \sum_{x \in (y_i R y_j^{-1})} \exp(2\pi i n(x) z)$$

gives zero for  $h_1 \neq h_2$ , the Jacquet-Langlands lift as constructed by Eichler if  $h_1 = h_2$ .

Back to our seesaw ( $D$  ramified at  $\infty$  as above)

$$F = Y^2(\varphi_1^D, \varphi_2^D) \quad (\psi_1^D \otimes \psi_1^D) \otimes (\psi_2^D \otimes \psi_2^D).$$



**Sketch of computation** (Weights 2, put  $e_i = |(y_i R y_i^{-1})^\times| = 1$ ). Compute the period integral

$$\begin{aligned} & \int Y^{(2)}(\varphi_1^D, \varphi_2^D)\left(\begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix}\right) f(z_1) f(z_2) dz_1 dz_2 \\ &= \int \sum_{i,j=1}^r \varphi_1^D(y_i) \varphi_2^D(y_j) \vartheta^2(y_i R y_j^{-1}, \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix}) f(z_1) f(z_2) dz_1 dz_2 \end{aligned}$$

We have:

$$\begin{aligned} & \int \vartheta^2(y_i R y_j^{-1}, \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix}) f_1(z_1) f_2(z_2) dz_1 dz_2 = \\ &= \left( \int \vartheta^1(y_i R y_j^{-1}, z_1) f_1(z_1) dz_1 \right) \left( \int \vartheta^1(y_i R y_j^{-1}, z_2) f_2(z_2) dz_2 \right) \\ &= c \langle f_1, f_1 \rangle \langle f_2, f_2 \rangle \psi_1^D(y_i) \psi_1^D(y_j) \psi_2^D(y_i) \psi_2^D(y_j) \quad c \neq 0 \text{ explicit, hence} \end{aligned}$$

$$\begin{aligned} & \int Y^{(2)}(\varphi_1^D, \varphi_2^D)\left(\begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix}\right) f(z_1) f(z_2) dz_1 dz_2 \\ &= c \langle f_1, f_1 \rangle \langle f_2, f_2 \rangle \sum_{i,j} \varphi_1^D(y_i) \varphi_2^D(y_j) \psi_1^D(y_i) \psi_1^D(y_j) \psi_2^D(y_i) \psi_2^D(y_j) \end{aligned}$$

which gives

$$\begin{aligned}
& \int Y^{(2)}(\varphi_1^D, \varphi_2^D) \left( \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix} \right) f_1(z_1) f_2(z_2) dz_1 dz_2 \\
&= c \langle f_1, f_1 \rangle \langle f_2, f_2 \rangle \sum_{i,j} \varphi_1^D(y_i) \varphi_2^D(y_j) \psi_1^D(y_i) \psi_1^D(y_j) \psi_2^D(y_i) \psi_2^D(y_j) \\
&= c \langle f_1, f_1 \rangle \langle f_2, f_2 \rangle \left( \sum_i \varphi_1^D(y_i) \psi_1^D(y_i) \psi_2^D(y_i) \right) \left( \sum_j \varphi_2^D(y_j) \psi_1^D(y_j) \psi_2^D(y_j) \right)
\end{aligned}$$

The square of  $(\sum_i \varphi_1^D(y_i) \psi_1^D(y_i) \psi_2^D(y_i))$  is (Bö-SP) (up to an explicit constant) equal to

$$\frac{L(h_1, f_1, f_2, 1/2)}{\langle h_1, h_1 \rangle \langle f_1, f_1 \rangle \langle f_2, f_2 \rangle}$$

(Aside: The version of this formula for harmonic polynomials leads (in a similar way as in Watson's talk to a result about equidistribution of spherical functions on the 2-sphere that are Hecke eigenforms, Böcherer/Sarnak/SP).

**Theorem:** Let newforms  $f_1, f_2$  of even weights  $k_1, k_2$  and  $h_1, h_2$  of weights  $k'_1 = 2 + 2\nu_1, k'_2 = 2 + 2\nu_2$ , all of squarefree level  $N$  and trivial character, be given,  $h_1, h_2$  with the same Atkin-Lehner eigenvalues  $\epsilon'_p$ ,  $f_i$  with Atkin-Lehner eigenvalues  $\epsilon_{i,p}$ , assume that  $\prod_{p|N} \epsilon'_p \epsilon_{1,p} \epsilon_{2,p} = -1$  and that the triples  $(k_1, k_2, k_1), (k_1, k_2, k'_2)$  are balanced.

Then there is a unique quaternion algebra  $D$  ramified at  $\infty$ , unramified outside  $N$  (depending on the Atkin-Lehner eigenvalues) such that the period integral

$$I(h_1, h_2, f_1, f_2, D) = \int Y^{(2)}(\varphi_1^D, \varphi_2^D) \left( \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix} \right) f_1(z_1) f_2(z_2) dz_1 dz_2$$

is not trivially zero.

For this choice of  $D$  one has with an explicit constant  $c$ :

$$I(h_1, h_2, f_1, f_2, D)^2 = \frac{c}{\langle h_1, h_1 \rangle \langle h_2, h_2 \rangle} L(h_1, f_1, f_2; \frac{1}{2}) L(h_2, f_1, f_2; \frac{1}{2}).$$

In particular the period integral is nonzero if and only if (with  $F = Y^{(2)}(\varphi_1^D, \varphi_2^D)$ ) the central critical value of  $L(\text{Spin}(F), f_1, f_2, s) = L(h_1, f_1, f_2; s) L(h_2, f_1, f_2; s)$  is nonzero.

**Remarks:** a) If the triples of weights are balanced as stated but have  $k_1 + k_2 > 2\min(k'_1, k'_2)$  one has to modify the Yoshida-lifting by applying a differential operator that raises its weight (which amounts to changing the form in its representation space) in order to obtain the formula given in the Theorem.

b) The variation in  $D$  mimicks the variation of the inner form in the Gross-Prasad conjecture.

Recall: **Gross-Prasad conjecture:**

$SO(n) = G, \quad SO(n-1) = G' \subseteq G, \quad H = G' \xrightarrow{\Delta} G' \times G,$   
 $\pi, \pi'$  irred. rep. of  $G, G'$  resp. (cuspidal automorphic resp. admissible), central character of  $\pi \times \pi'$  trivial.

Locally  $H$ -invariant functional exists for precisely one inner form  $\tilde{G}, \tilde{G}'$  and  $\tilde{\pi}, \tilde{\pi}'$  of  $\tilde{G}, \tilde{G}'$  corresponding to  $\pi, \pi'$  (assume  $\pi, \pi'$  to have generic parameters), nonzero on spherical vector at places where  $\pi, \pi'$  are unramified.

Global nonvanishing of  $I_{\tilde{H}}$  (on some inner form) depends on central value of  $L$ -function  $L(\pi \otimes \pi', s)$ .

**Remarks:** c) Regrouping of terms changes, if  $h_1 \neq h_2$ , (again with  $F = Y^{(2)}(\varphi_1^D, \varphi_2^D)$ ) the original expression

$$I(h_1, h_2, f_1, f_2, D)^2 = \frac{c}{\langle h_1, h_1 \rangle \langle h_2, h_2 \rangle} L(h_1, f_1, f_2; \frac{1}{2}) L(h_2, f_1, f_2; \frac{1}{2})$$

to

$$I(h_1, h_2, f_1, f_2, D)^2 = \frac{c \langle F, F \rangle}{L^{(N)}(F, \text{Sym}^2, 1)} L(\text{Spin}(F), f_1, f_2; \frac{1}{2}).$$

This formulation could be true for an arbitrary Siegel modular cusp form  $F$ .

d) There are versions for the degenerate cases that one of  $h_1, h_2$  is the Eisenstein series of weight 2 (which gives Saito-Kurokawa cusp forms). It is not clear how to prove the result in the case of a Saito-Kurokawa cusp form of level 1.

The case of a group  $G' = SO(4)$  which is split at infinity but not globally split leads to the integration of the restriction of  $F$  against a Hilbert modular cusp form  $f$  over an embedded Hilbert modular surface.

The result is similar, with  $L(\text{Spin}(F), f_1, f_2)$  replaced by  $L(\text{Spin}(F), \text{Asai}(f))$ .