## The P Versus NP Problem

## Gorav Jindal, Anurag Pandey and Harry Zisopoulos

- Hilbert's Entscheidungsproblem asks for a purely mechanical procedure that can decide whether a given mathematical statement is valid or not.
  - What is a "purely mechanical procedure", also called an algorithm?
- Turing(1936) formalized the notion of an algorithm by defining the model of Turing Machines.

**Definition 1** (Language). A language L is just a subset of  $\{0,1\}^*$ , or equivalently a function  $L_f$ :  $\{0,1\}^* \to \{0,1\}$ . Here  $L_f(x) = 1$  if and only if  $x \in L$ .

• Every decidable (computable) language has an associated time complexity.

**Definition 2** (Time Complexity). A Turing machine M computes a function  $f : \{0, 1\}^* \to \{0, 1\}$  in time T(n) if  $\forall x \in \{0, 1\}^*$ , M on input x, halts after  $\leq T(|x|)$  steps and outputs f(x).

- Time complexity of a language L is the complexity of "fastest" Turing machine computing  $L_f$ .
- (Extended) Church Turing Hypothesis :- Turing machines can simulate any computation "efficiently".

**Definition 3** (Complexity class). A complexity class is just a set of languages.

• Complexity class P is the set of "easy" languages.

**Definition 4** (Complexity class P). A language L is P if time complexity T(n) of L is a polynomially bounded function of n.

- Examples of "easy" problems (languages), i.e, which are in P.
  - Matrix Multiplication, easy to see.
  - Primality testing, a very non-trivial algorithm, so called AKS(2002) algorithm.
  - Linear programming, by so called "ellipsoid method".
- NP is the set of languages whose solutions are "easy to verify".

**Definition 5** (Complexity class NP). A language L is NP if there exists a "polynomial time complexity" Turing machine M such that  $\forall x \in L, \exists w \in \{0,1\}^{|x|^c}$  with M(x,w) = 1, here c is some constant. This w is called a witness/certificate/proof for x, to the fact that  $x \in L$ . M is called a "verifier" for L. Thus NP is the set of languages which have "small" (polynomial size) witnesses/certificates/proofs and "efficient" (having polynomial time complexity) verifiers.

- See that  $P \subseteq NP$  because for every language L in P, we can use empty witness and machine for L as the verifier.
- Examples of problems (languages) which are "easy" to verify, i.e, which are in NP.
  - Subset Sum

Satisfiability

- Informally, a problem (language) L is NP-hard if a polynomial time algorithm for L implies a polynomial time algorithm for all problems in NP, i.e. L is NP-hard if the statement " $L \in P \Longrightarrow$ P = NP" is true.
- A problem L is NP-complete if L is NP-hard and  $L \in NP$ .
- Examples of NP-hard problems (languages).
  - Subset Sum, is NP-complete also.
  - Satisfiability, is NP-complete also.
  - Polynomial System Solving (Hilbert's Nullstellensatz), is NP-complete over finite fields but not known to be NP-complete over infinite fields.
  - Integer Linear Program (ILP), is NP-complete also.
  - Traveling Salesman Problem, is NP-complete also.
  - Tensor Rank, is not known to be NP-complete.

**Problem 6** (P vs NP Problem). Is P a strict subset of NP or is P = NP?

Note that if any NP-complete problem belongs to P, then all NP-complete problems belong to P. That would imply P = NP. So "P vs NP Problem" essentially asks whether any one of the NP-complete problems/languages is in P. We now know thousands of NP-complete problems. It is a common theme that every area of mathematics has corresponding computational problems which are NP-complete. Sometimes these problems are only known to be NP-hard and not NP-complete, as Polynomial System Solving. Gödel essentially observed in his letter to von Neumann that the following language  $L_{\rm math}$  is in NP. We use the notation  $1^n = \underbrace{111\dots1111}_{n \text{-times}}$ .

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 $L_{\text{math}} \stackrel{\text{def}}{=\!\!=\!\!=} \{(\phi, 1^n) \mid \phi \text{ is a valid mathematical statement having a proof of length at most } n\}.$ 

Note that proof of  $\phi$  of length at most n can serve as the witness/certificate for checking if  $(\phi, 1^n) \in$  $L_{\text{math}}$ , this checking can be done in polynomial time. Thus  $L_{\text{math}} \in \mathsf{NP}$ . Gödel also asks in that letter to von Neumann, whether or not  $L_{\text{math}} \in \mathsf{P}$ ?

 $\mathsf{P} = \mathsf{NP}$  would imply that  $L_{\text{math}} \in \mathsf{P}$ . This would imply that  $L_{\text{math}}$  can be solved in time  $O(n^c)$  for some  $c \ge 0$ . Assuming that this c is not too large, would imply the existence of a "practical" algorithm for  $L_{\rm math}$ . That would imply that there exists an efficient algorithm which can check whether there exists a "short" proof of a given mathematical statement, thus undermining the creative effort of a mathematician in finding "short" proofs of mathematical statements.

**Theorem 7** (Ladner's theorem). If  $\mathsf{P} \neq \mathsf{NP}$  then there exist languages  $L \in \mathsf{NP} \setminus \mathsf{P}$  which are not NP-complete.