

" $\Leftarrow$ ": Suppose now that

$$G_n \xrightarrow{n \rightarrow \infty} G = \ker(G_n)_{n=1}^{\infty}, \text{ with } G \subsetneq \mathbb{C}.$$

④ Claim:  $(f_n)_{n=1}^{\infty}$  is locally bounded (and hence, by Montel's theorem, a normal family).

Proof: Since  $\frac{1}{f'_n(0)} f_n \in \mathcal{S}$ , Theorem 2.8 says

$$D(0, \frac{1}{4} |f'_n(0)|) \subseteq G_n$$

Thus,

$$M = \sup_{n \in N} |f'_n(0)| < \infty,$$

since otherwise, we could find a subsequence  $(f_{n_k})_{k=1}^{\infty}$  with  $|f'_{n_k}(0)| \rightarrow \infty$  so that

$$\ker(G_{n_k})_{k=1}^{\infty} = \mathbb{C},$$

in contradiction to  $G_n \xrightarrow{n \rightarrow \infty} G \neq \mathbb{C}$ .

Now, Theorem 2.11 gives us

$$|f_n(z)| \leq |f'_n(0)| \frac{|z|}{(1-|z|)^2} \leq M \frac{|z|}{(1-|z|)^2}$$

for all  $n \in N$  and  $z \in D$ . Thus,  $(f_n)_{n=1}^{\infty}$  is locally bounded. □

⑤ Claim:  $(f_n)_{n=1}^{\infty}$  converges compactly.

Proof: In view of ④, it suffices to prove that

if  $(f_{n_1(k)})_{k=1}^{\infty}$  and  $(f_{n_2(k)})_{k=1}^{\infty}$  are convergent

subsequence of  $(f_n)_{n=1}^{\infty}$ , say

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$$f_{n_k(b)} \rightarrow h_1 \quad \text{and} \quad f_{n_k(b)} \rightarrow h_2,$$

then necessarily  $h_1 = h_2$ .

(Montel's convergence criterion)

In ②, we have seen that

$$h_1(D) = \ker(G_{n_k(b)})_{b=0}^{\infty},$$

$$h_2(D) = \ker(G_{n_k(b)})_{b=0}^{\infty},$$

so that  $G_n \rightarrow G$  enforces

$$h_1(D) = G = h_2(D).$$

Since furthermore

$$h_1(0) = 0 \quad \text{and} \quad h_1'(0) > 0,$$

$$h_2(0) = 0 \quad \text{and} \quad h_2'(0) > 0,$$

the uniqueness statement in the Riemann mapping theorem (Theorem 1.5) gives  $h_1 = h_2$ . □

This concludes the proof of Theorem 3.4. □

### 3.6. Remark:

A Jordan arc means an injective continuous map

$$\gamma: [0, T] \rightarrow \mathbb{C} \quad \text{with} \quad \lim_{t \rightarrow T} |\gamma(t)| = \infty$$

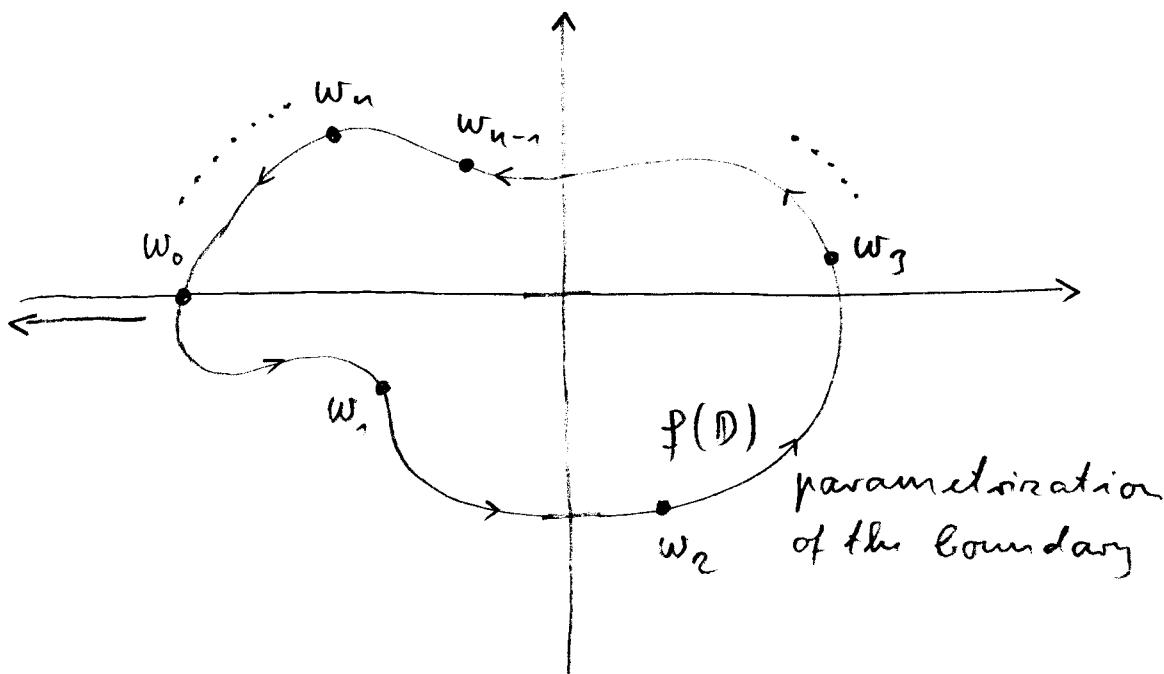
for some  $0 < T \leq \infty$ ; in such cases,  $G = \mathbb{C} \setminus \gamma([0, T])$

is called slit domain. Accordingly,  $f \in \mathcal{G}$  is called slit mapping, if  $G = f(\mathbb{D})$  is a slit domain.

With the help of Theorem 3.4., one can show that for each  $f \in \mathcal{G}$ , there exists a sequence  $(f_n)_{n=1}^{\infty}$  of slit mappings in  $\mathcal{G}$  that converges compactly to  $f$ .

Slit mappings are thus "generic" functions in  $\mathcal{G}$ .

For  $f \in \mathcal{G}$  with  $f(\mathbb{D})$  being a Jordan domain with smooth boundary, we may construct slit domains  $G_n$  as follows:



$\gamma_n$ : from  $w_n$  along the boundary back to  $w_0$ ;  
then from  $w_0$  to  $-\infty$  along the real axis.

$$G_n := \mathbb{C} \setminus \gamma_n([0, \infty)) \Rightarrow \ker(G_n)_{n=1}^{\infty} = f(\mathbb{D}).$$

#### 4. Mapping-out functions and half-plane capacity

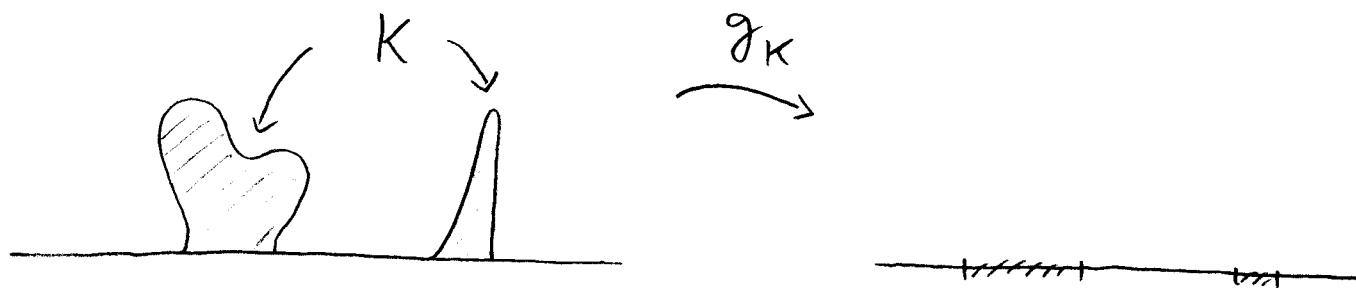
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Let  $\mathbb{H}$  denote the complex upper half-plane, i.e.

$$\mathbb{H} := \{z \in \mathbb{C} \mid \operatorname{Im}(z) > 0\}.$$

##### 4.1. Definition:

A subset  $K \subset \mathbb{H}$  is called compact  $\mathbb{H}$ -null, if  $K$  is bounded and  $\mathbb{H} \setminus K$  is a simply connected domain.



##### 4.2. Theorem:

For each compact  $\mathbb{H}$ -null  $K$ , there is a unique biholomorphic mapping

$$g_K : \mathbb{H} \setminus K \rightarrow \mathbb{H}$$

that satisfies the hydrodynamic normalization

$$\lim_{|z| \rightarrow \infty} (g_K(z) - z) = 0.$$

We call  $g_K$  the mapping-out function of  $K$ .

Moreover, the limit

$$\text{hcap}(K) := \lim_{|z| \rightarrow \infty} z(g_K(z) - z)$$

exists and is a real number. We call  $\text{hcap}(K)$  the half-plane capacity of  $K$ .

The proof requires some preparations.

#### 4.3. Notation:

If  $G \subsetneq \mathbb{H}$  is a simply connected domain, then

we put  $\tilde{G} := G \cup G^\circ \cup G^*$ , where

$$G^* := \{\bar{z} \mid z \in G\} \quad \text{and}$$

$$G^\circ := \{x \in \mathbb{R} \mid \exists \varepsilon > 0 : D(x, \varepsilon) \cap \mathbb{H} \subset G\}.$$

If  $I \subseteq G^\circ$  is an open interval, we put

$$\tilde{G}_I := G \cup I \cup G^*.$$

#### 4.4. Proposition:

Let  $G \subseteq \mathbb{H}$  be a simply connected domain and

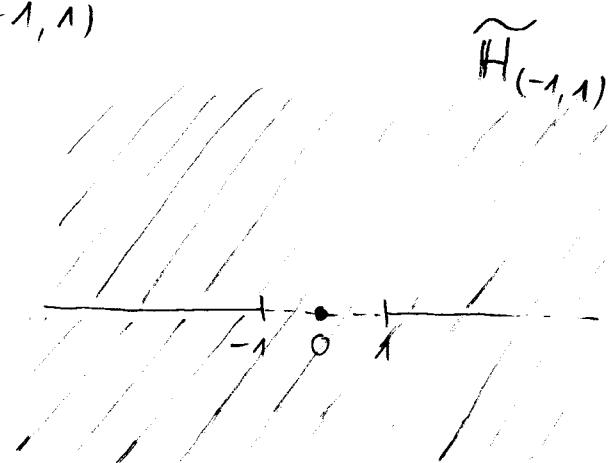
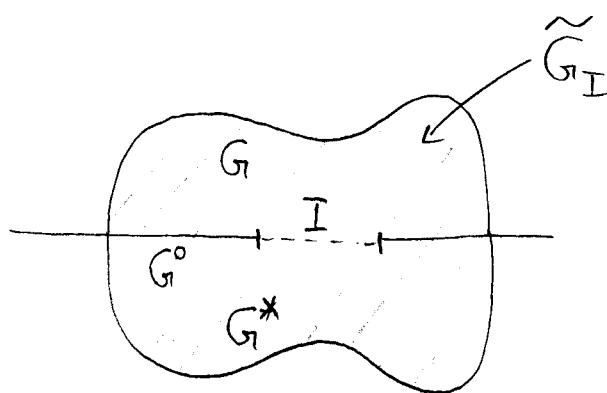
let  $I \subseteq \mathbb{R}$  be an open interval with  $I \subseteq G^\circ$ .

Then, for any  $x \in I$ , there exists a unique biholomorphic mapping  $\phi: G \rightarrow \mathbb{H}$  that extends to a homeomorphism  $G \cup I \rightarrow \mathbb{H} \cup (-1, 1)$  taking  $x$  to 0. Moreover,  $\phi$  extends by reflection

to a biholomorphic mapping

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$$\tilde{\phi} : \tilde{G}_I \rightarrow \tilde{\mathbb{H}}_{(-1,1)}$$



Proof: Exercise.

Proof of Theorem 4.2:

Consider the simply connected domain

$$G := \left\{ -\frac{1}{z} \mid z \in \mathbb{H} \setminus K \right\}$$

Since  $0 \in G^o$ , we may choose an open interval  $I$  with the property that  $0 \in I \subseteq G^o$ . Let

$$\phi : G \rightarrow \mathbb{H}$$

be the biholomorphic mapping from Proposition 4.4 and let

$$\tilde{\phi} : \tilde{G}_I \rightarrow \tilde{\mathbb{H}}_{(-1,1)}, \quad \tilde{\phi}(0) = 0$$

be its extension obtained by reflection. On any  $D(0, \varepsilon) \subset \tilde{G}_I$ ,

$$\tilde{\phi}(z) = \beta_1 z + \beta_2 z^2 + \beta_3 z^3 + O(|z|^4)$$

where  $\beta_n \in \mathbb{R}$  for all  $n \in N$ , as  $\tilde{\phi}$  is reflection symmetric, i.e.

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$$\overline{\tilde{\phi}(z)} = \tilde{\phi}(\bar{z}) \quad \text{for all } z \in \tilde{G}_I.$$

Now, consider  $\tilde{\psi} \in O(D(0, \varepsilon))$  given by

$$\tilde{\psi}(z) = \frac{\tilde{\phi}(z)}{z} = \beta_1 + \beta_2 z + \beta_3 z^2 + O(|z|^3)$$

Then (note that  $\beta_1 = \tilde{\phi}'(0) \neq 0$ )

$$\frac{z}{\tilde{\phi}(z)} = \frac{1}{\tilde{\psi}(z)} = \frac{1}{\beta_1} - \frac{\beta_2}{\beta_1^2} z + \left( \frac{\beta_3}{\beta_1^2} - \frac{\beta_2^2}{\beta_1^3} \right) z^2 + O(|z|^3),$$

so that

$$\frac{\beta_1}{\tilde{\phi}(z)} = \frac{1}{z} - \frac{\beta_2}{\beta_1} + \left( \frac{\beta_3}{\beta_1} - \left( \frac{\beta_2}{\beta_1} \right)^2 \right) z + O(|z|^2)$$

Thus, for  $|z| > \frac{1}{\varepsilon}$ , we get

$$-\frac{\beta_1}{\tilde{\phi}(-\frac{1}{z})} = z + \frac{\beta_2}{\beta_1} - \left( \frac{\beta_3}{\beta_1} - \left( \frac{\beta_2}{\beta_1} \right)^2 \right) \frac{1}{z} + O(|z|^{-2}).$$

Hence,

$$g_K: \mathbb{H} \setminus K \rightarrow \mathbb{H}, z \mapsto -\frac{\beta_1}{\tilde{\phi}(-\frac{1}{z})} - \frac{\beta_2}{\beta_1}$$

is a well-defined biholomorphic mapping and satisfies

$$g_K(z) - z \rightarrow 0 \quad \text{as } |z| \rightarrow \infty$$

and

$$z(g_K(z) - z) \rightarrow \left(\frac{C_2}{C_1}\right)^2 - \frac{C_3}{C_1} \in \mathbb{R} \quad \text{as } |z| \rightarrow \infty.$$

Uniqueness is left as an exercise. □