

Sharp Bounds for Sums Associated to Graphs of Matrices

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joint work with James Mingo

Question

What is the asymptotic behaviour in N of

$$\sum_{\substack{j_1, \dots, j_{2m} \\ \text{some constraints on} \\ \text{equality of indices}}}^N t_{j_1 j_2}^{(1)} t_{j_3 j_4}^{(2)} \cdots t_{j_{2m-1} j_{2m}}^{(m)}$$

with given matrices

$$T_k = (t_{ij}^{(k)})_{i,j=1}^N$$

Examples

$$\sum_{i,j=1}^N t_{ij}$$

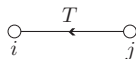
$$\sum_{i=1}^N t_{ii}$$

$$\sum_{i,j,k=1}^N t_{ij}^{(1)} t_{jk}^{(2)} t_{ki}^{(3)}$$

$$\sum_{i,j,k,l=1}^N t_{ij}^{(1)} t_{jk}^{(2)} t_{jl}^{(3)}$$

Examples and encoding of problem into graph

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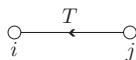
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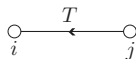


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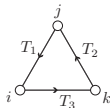
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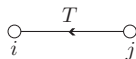
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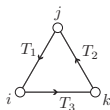
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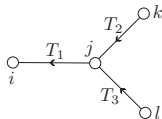
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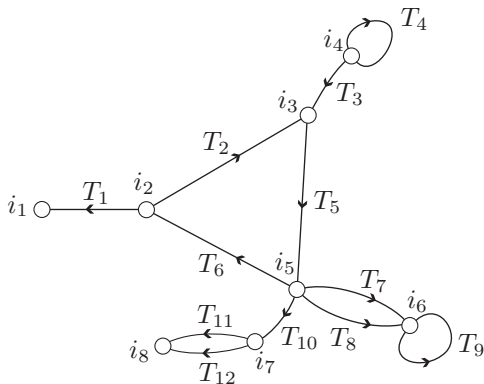


That's easy? Okay, so look on this:

$$\sum_{i_1, \dots, i_8=1}^N t_{i_1 i_2}^{(1)} t_{i_3 i_2}^{(2)} t_{i_3 i_4}^{(3)} t_{i_4 i_4}^{(4)} t_{i_5 i_3}^{(5)} t_{i_2 i_5}^{(6)} t_{i_6 i_5}^{(7)} t_{i_6 i_5}^{(8)} t_{i_6 i_6}^{(9)} t_{i_7 i_5}^{(10)} t_{i_8 i_7}^{(11)} t_{i_8 i_7}^{(12)}$$

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Problem

Graph sum of matrices

For a given directed graph G (multiple edges and loops allowed), with matrices attached to the edges, denote the corresponding sum by $S_G(N)$

$$S_G(N) := \sum_{i:V \rightarrow [N]} \prod_{e \in E} t_{i_{t(e)}, i_{s(e)}}^{(e)}$$

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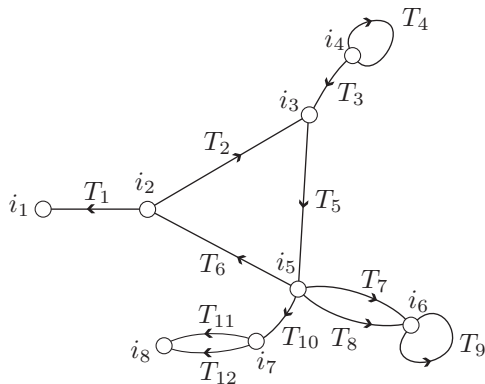
$$S_G(N) := \sum_{i:V \rightarrow [N]} \prod_{e \in E} t_{i_{t(e)}, i_{s(e)}}^{(e)}$$

Question: asymptotics in N

Question: What is the optimal bound $r(G)$ in

$$|S_G(N)| \leq N^{r(G)} \prod_{k=1}^m \|T_k\|$$

What is optimal asymptotics in N ?



$$\left| \sum_1^N t_{i_1 i_2}^{(1)} t_{i_3 i_2}^{(2)} t_{i_3 i_4}^{(3)} t_{i_4 i_4}^{(4)} t_{i_5 i_3}^{(5)} t_{i_2 i_5}^{(6)} t_{i_6 i_5}^{(7)} t_{i_6 i_5}^{(8)} t_{i_6 i_6}^{(9)} t_{i_7 i_5}^{(10)} t_{i_8 i_7}^{(11)} t_{i_8 i_7}^{(12)} \right| \leq N^{\mathbf{r}(\mathbf{G})} \cdot \prod_{i=1}^{12} \|T_i\|$$

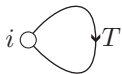
Motivation: Why do we care about such sums?

Such sums appear and have to be asymptotically controlled in calculations of moments of random matrices (in particular, for products of such matrices)

- Yin + Krishnaiah, 1983
- Bai (+ Silverstein), 1999 (2006)
- Mingo + Speicher, 2012 JFA
(asymptotic freeness of Wigner and deterministic matrices)
- Male, 2012 → "traffics"

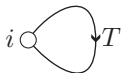
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Then

$$\left| \sum_{i=1}^N t_{ii} \right| \leq \sum_{i=1}^N \|T\| = N\|T\|$$

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or

$$\left| \sum_{i=1}^N t_{ii} \right| = |\text{Tr}(T)| \leq N\|T\|$$

Example

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$$\mathbf{r}(\mathbf{G}) = \mathbf{1}$$

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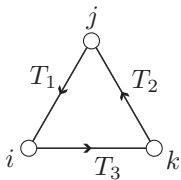
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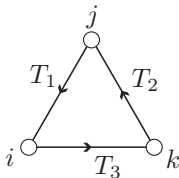
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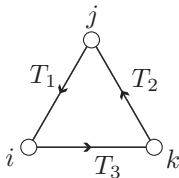


$$\mathbf{r}(\mathbf{G}) = \mathbf{3}???$$

trivial estimate:
$$\sum_{i,j,k=1}^N t_{ij}^{(1)} t_{jk}^{(2)} t_{ki}^{(3)} \leq \sum_{i,j,k=1}^N \|T_1 T_2 T_3\| = N^3 \|T_1\| \|T_2\| \|T_3\|$$

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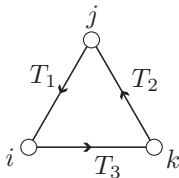
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$$\left| \sum_{i,j,k=1}^N t_{ij}^{(1)} t_{jk}^{(2)} t_{ki}^{(3)} \right| = |\text{Tr}(T_1 T_2 T_3)|$$

Example

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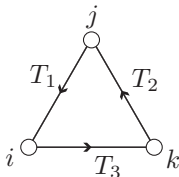
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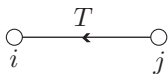
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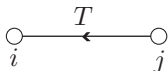
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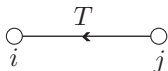


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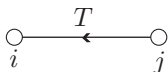
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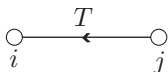
$$e_i := (0, \dots, 1, \dots, 0), \quad e := (1, 1, \dots, 1)$$

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$$\left| \sum_{i,j=1}^N t_{ij} \right| = \left| \sum_{i,j=1}^N \langle e_i, T e_j \rangle \right|$$

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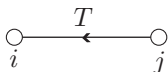
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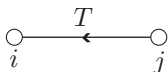
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since

$$\|e\| = \sqrt{N}$$

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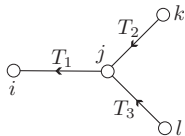
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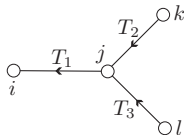
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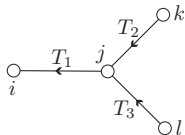


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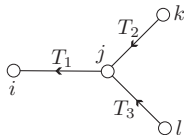


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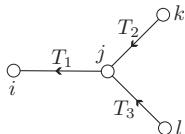
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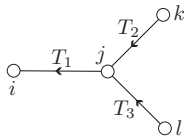
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$$= \langle e, T_1 V(T_2 \otimes T_3) e \otimes e \rangle$$

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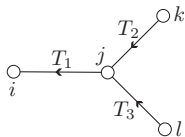


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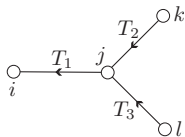


$\mathbf{r}(\mathbf{G}) = 4???$

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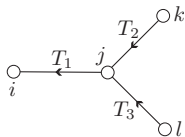
since

$$V : \mathbb{C}^N \otimes \mathbb{C}^N \rightarrow \mathbb{C}^N, \quad e_i \otimes e_j \mapsto \begin{cases} e_i, & \text{if } i = j \\ 0, & \text{if } i \neq j \end{cases}$$

is a partial isometry, and thus $\|V\| = 1$.

Example:

$$\sum_{i,j,k,l=1}^N t_{ij}^{(1)} t_{jk}^{(2)} t_{jl}^{(3)}$$



$$r(\mathbf{G}) = 3/2$$

$$\begin{aligned} \left| \sum_{i,j,k,l=1}^N t_{ij}^{(1)} t_{jk}^{(2)} t_{jl}^{(3)} \right| &= |\langle e, T_1 V(T_2 \otimes T_3) e \otimes e \rangle| \\ &\leq \|e\| \cdot \underbrace{\|e \otimes e\|}_{\|e\| \cdot \|e\|} \cdot \|T_1\| \cdot \underbrace{\|V\|}_{=1} \cdot \|T_2 \otimes T_3\| \\ &= N^{3/2} \cdot \|T_1\| \cdot \|T_2\| \cdot \|T_3\| \end{aligned}$$

since

$$V : \mathbb{C}^N \otimes \mathbb{C}^N \rightarrow \mathbb{C}^N, \quad e_i \otimes e_j \mapsto \begin{cases} e_i, & \text{if } i = j \\ 0, & \text{if } i \neq j \end{cases}$$

is a partial isometry, and thus $\|V\| = 1$.

General structure

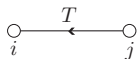
In these examples, our sum $S(N)$ is given as inner product

$$S(N) \hat{=} \langle \text{output}, \textit{stuff} \text{ input} \rangle$$

where

- each input and each output vertex contributes factor $N^{1/2}$
- internal vertices do not contribute, summation over them corresponds to matrix multiplication or, more general, partial isometries

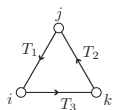
General structure:



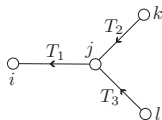
$$r(G) = 1$$



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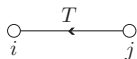
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$$r(G) = 3/2$$

General structure: input-output graph

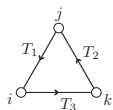
In the examples we could read our graph as a flow diagram from some input vertices to some output vertices, each contributing $1/2$ to $r(G)$



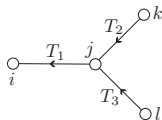
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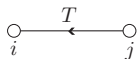
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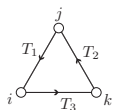


j input, i output

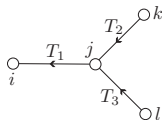
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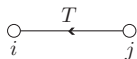
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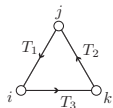
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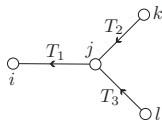


i both input and output

$$r(G) = 1$$



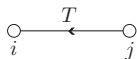
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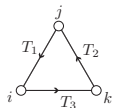
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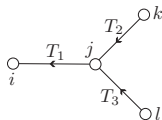
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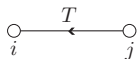
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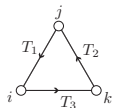
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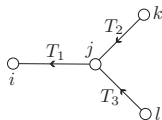
i both input and output

$$r(G) = 1$$



i both input and output

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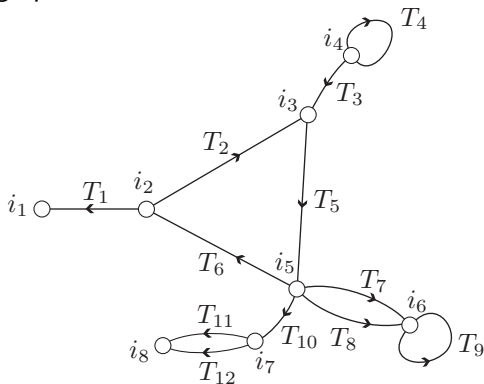


k and l input,
 i output

$$r(G) = 3/2$$

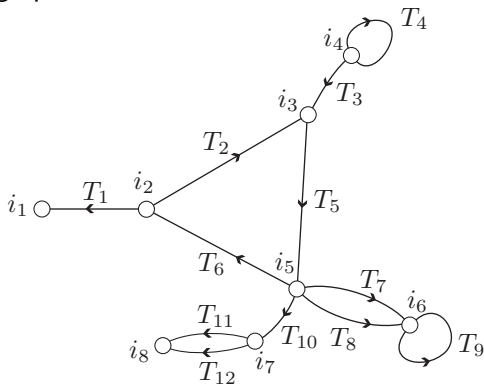
General structure: input-output graph

But how about more complicated graphs? This does not look like an input-output graph.



General structure: input-output graph

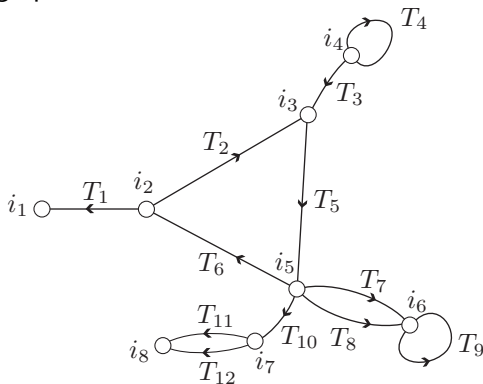
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General structure: input-output graph

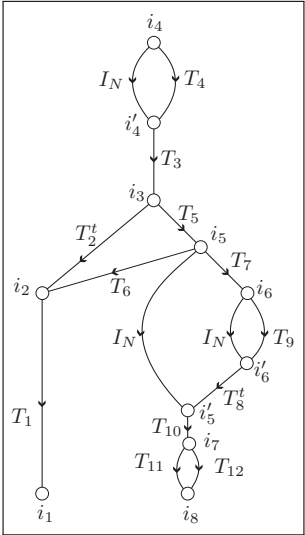
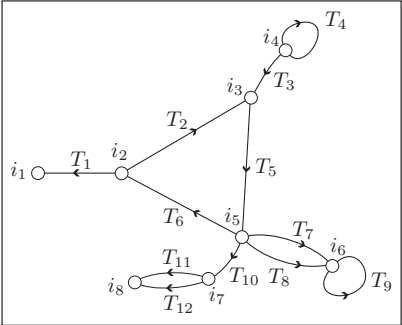
But how about more complicated graphs? This does not look like an input-output graph.



- we might have several loops
- there might be no overall consistent flow direction in the graph

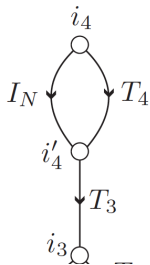
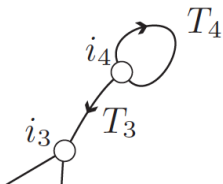
Replace by equivalent input-output problem

Change the graph without changing the graph sum



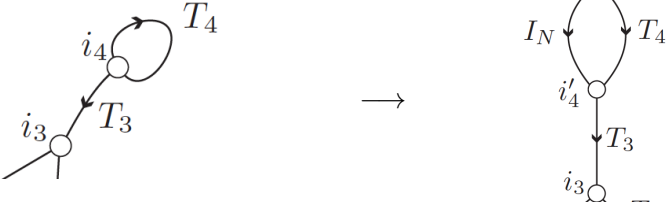
Replace by equivalent input-output problem

- split a vertex with a loop into 2 vertices and identify them via an additional edge with the identity matrix

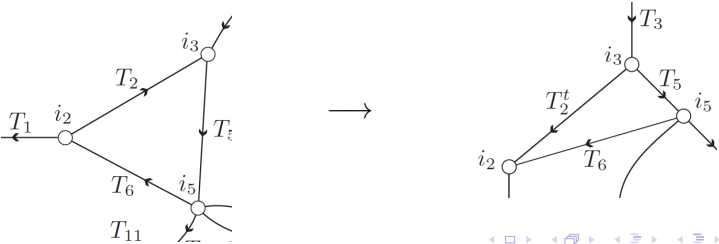


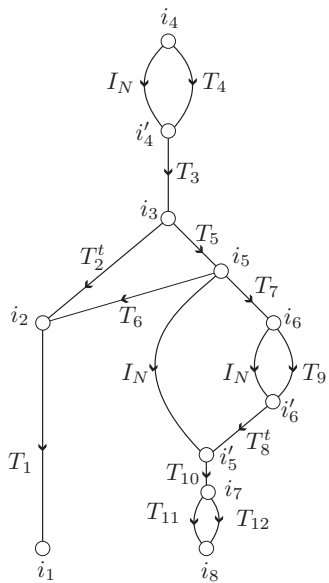
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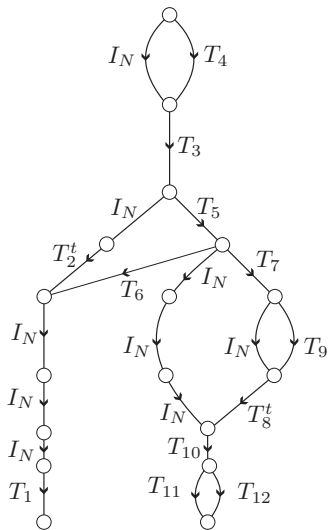
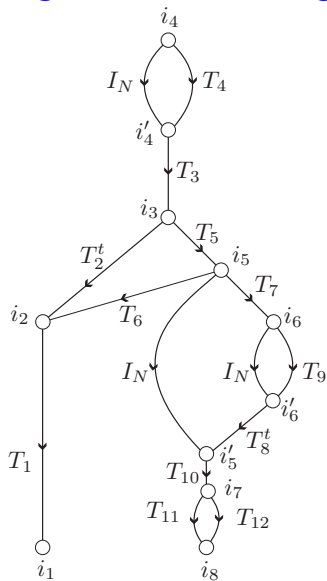


- change the direction of some edges and replace T by its transpose

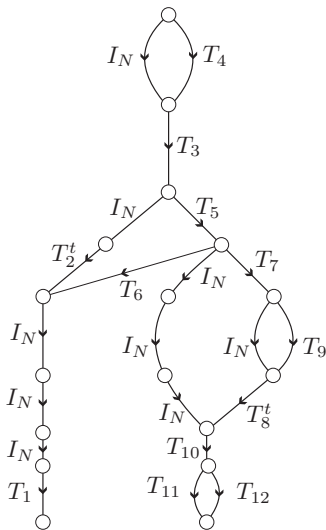
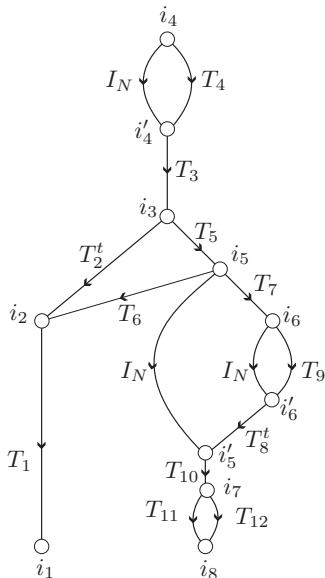




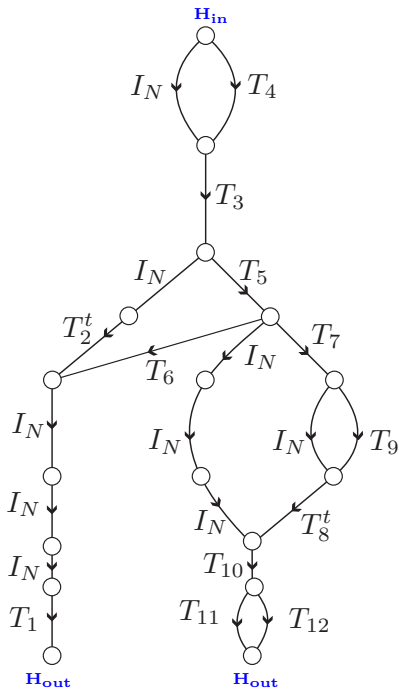
Adding additional vertices gives flow diagram



Adding additional vertices gives flow diagram



$$S_G(N) = \langle e_{in}, [\text{product of many operators of norm } \|T_i\| \text{ or } 1] e_{out} \otimes e_{out} \rangle$$



$$V_{in,2} : \mathbf{H}_{in} \rightarrow H \otimes H$$

$$I_N \otimes T_4$$

$$V_{2,1} : H \otimes H \rightarrow H$$

$$T_3$$

$$V_{1,2} : H \rightarrow H \otimes H$$

$$I_N \otimes T_5$$

$$V_{1,1} \otimes V_{1,3} : H \otimes H \rightarrow H \otimes (H \otimes H \otimes H)$$

$$T_2^t \otimes T_6 \otimes I_N \otimes T_7$$

$$V_{2,1} \otimes V_{1,1} \otimes V_{1,2} : (H \otimes H) \otimes H \otimes H \rightarrow H \otimes H \otimes (H \otimes H)$$

$$I_N \otimes I_N \otimes I_N \otimes T_9$$

$$V_{1,1} \otimes V_{1,1} \otimes V_{2,1} : H \otimes H \otimes (H \otimes H) \rightarrow H \otimes H \otimes H$$

$$I_N \otimes I_N \otimes T_8^t$$

$$V_{1,1} \otimes V_{2,1} : H \otimes (H \otimes H) \rightarrow H \otimes H$$

$$I_N \otimes T_{10}$$

$$V_{1,1} \otimes V_{1,2} : H \otimes H \rightarrow H \otimes (H \otimes H)$$

$$T_1 \otimes T_{11} \otimes T_{12}$$

$$V_{1,out} \otimes V_{2,out} : H \otimes (H \otimes H) \rightarrow \mathbf{H}_{out} \otimes \mathbf{H}_{out}$$

We have thus seen:

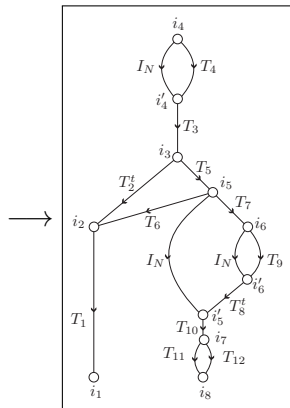
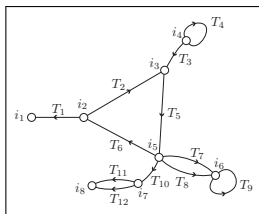
$$r(G) \leq \frac{\text{number of input/output vertices in equivalent input-output graph}}{2}$$

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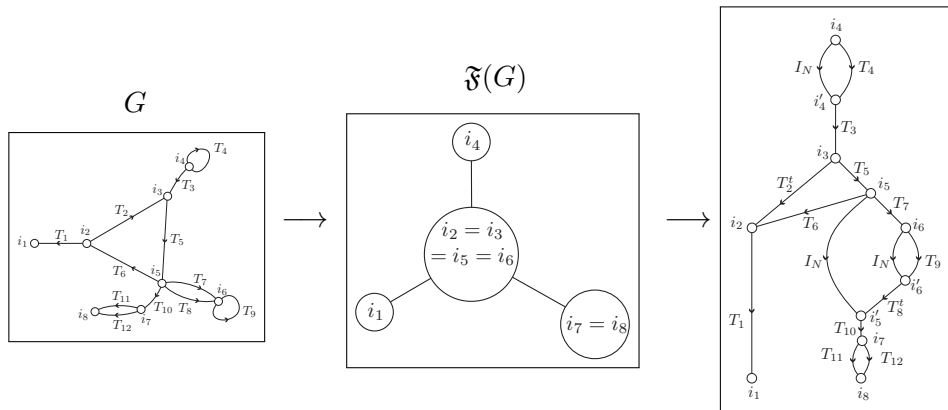
$$r(G) \leq \frac{\text{number of input/output vertices in equivalent input-output graph}}{2}$$

- But what is the optimal equivalent input-output graph?
- Is the above choice somehow a canonical one?

Why this equivalent input-output graph?

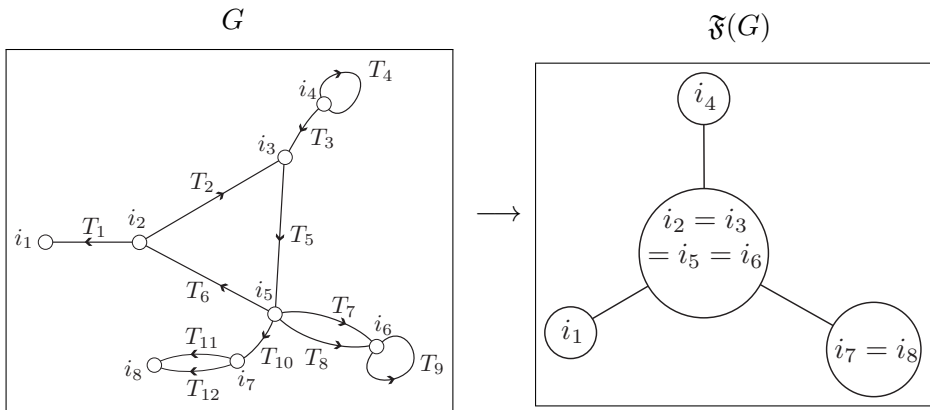


Why this equivalent input-output graph?
 Because the relevant structure is given by the
 forrest of two-edge-connected components $\mathfrak{F}(G)$



Asymptotics determined by structure of $\mathfrak{F}(G)$

$\mathfrak{F}(G)$ = forrest of two-edge-connected components of G



The optimal bound

Theorem (Mingo, Speicher, JFA 2012)

We have the following optimal estimate:

$$\left| \sum_{i:V \rightarrow [N]} \prod_{e \in E} t_{i_{t(e)}, i_{s(e)}}^{(e)} \right| \leq N^{\frac{1}{2} \cdot \# \text{leaves of } \mathfrak{F}(G)} \cdot \prod_{e \in E} \|T_e\|$$

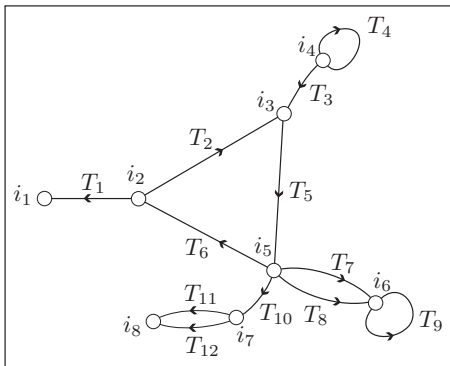
thus:

$$\mathbf{r}(G) = \# \text{leaves of } \mathfrak{F}(G)$$

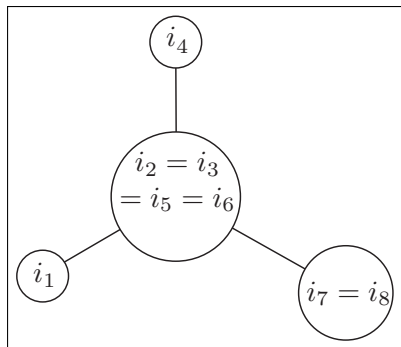
(Trivial leaves count twice!)

Optimal asymptotics in N

G

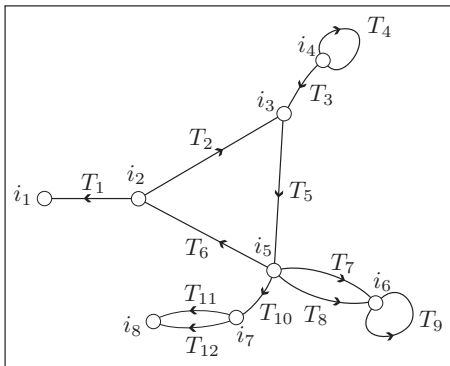


$\mathfrak{F}(G)$, $r(G) = 3/2$

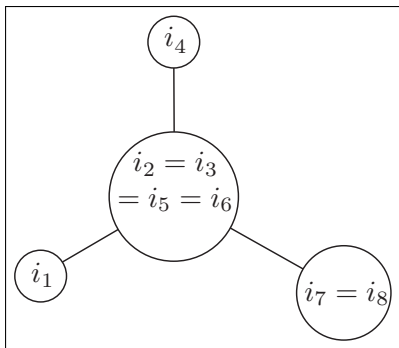


Optimal asymptotics in N

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$\mathfrak{F}(G)$, $\mathbf{r}(G) = 3/2$



$$\left| \sum_1^N t_{i_1 i_2}^{(1)} t_{i_3 i_2}^{(2)} t_{i_3 i_4}^{(3)} t_{i_4 i_4}^{(4)} t_{i_5 i_3}^{(5)} t_{i_2 i_5}^{(6)} t_{i_6 i_5}^{(7)} t_{i_6 i_5}^{(8)} t_{i_6 i_6}^{(9)} t_{i_7 i_5}^{(10)} t_{i_8 i_7}^{(11)} t_{i_8 i_7}^{(12)} \right| \leq N^{3/2} \cdot \prod_{i=1}^{12} \|T_i\|$$

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Possible extensions

Can we get similar estimates for

Possible extensions for vectors

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- sums for vectors

$$\sum_{j_1, \dots, j_m} t_{j_1}^{(1)} t_{j_2}^{(2)} \cdots t_{j_m}^{(m)}$$

some constraints on
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- ▶ this seems to be trivial, but I am not really sure what the best description is

Possible extensions for vectors or for tensors

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Thank You!