


# Classification of compact matrix quantum groups

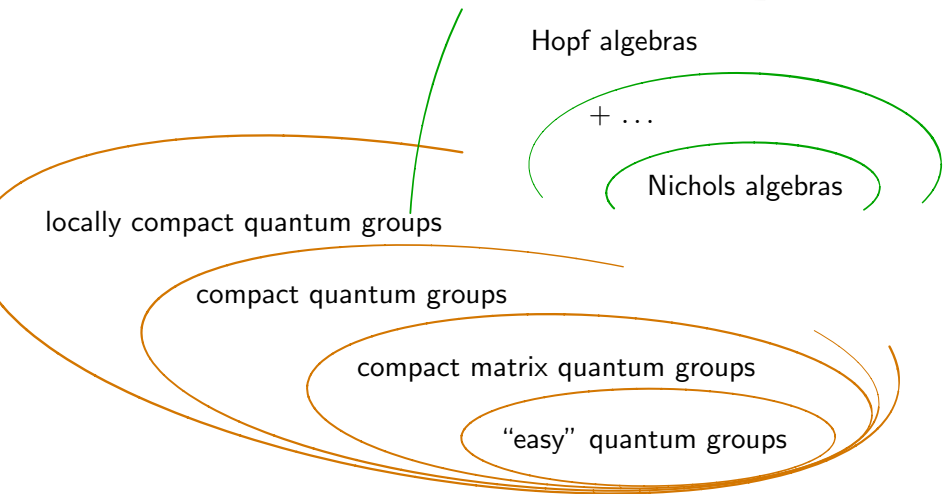


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Córdoba, Argentina, 29 June 2020

# WHAT IS A QUANTUM GROUP?



Deformation:  $xy = yx \longrightarrow xy = qyx, \quad q \in \mathbb{C}$   
Liberation:  $xy = yx \longrightarrow \emptyset$

# CMQG: DEFINITION & FUND. THM.S

## Definition [Woronowicz 1980s]

Let  $N \in \mathbb{N}$ .  $G = (A, u)$  is a compact matrix quantum group (CMQG) : $\iff$

- $A$  is a unital  $C^*$ -algebra with  $A = C^*(u_{ij}, 1 \mid i, j \in \{1, \dots, N\})$
- $u = (u_{ij})$  and  $\bar{u} = (u_{ij}^*)$  invertible  $N \times N$ -matrices in  $M_N(A)$
- $\Delta : A \rightarrow A \otimes A, u_{ij} \mapsto \sum_{k=1}^N u_{ik} \otimes u_{kj}$  \*-homomorphism

## Fundamental Theorem of CMQG [Woronowicz 1980s]

$G = (A, u)$  compact matrix quantum group with  $N \in \mathbb{N}$ .

$A$  commutative  $\iff \exists G \subseteq GL_N(\mathbb{C})$  compact group:  $A \cong C(G)$

Proof.

**Fundamental Theorem of CMQG [Woronowicz 1980s]**  
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" $\implies$ ":  
 Let  $G \subseteq GL_N(\mathbb{C})$  be a compact group.  
 Put  $A = C(G) = \{f : G \rightarrow \mathbb{C} \mid f \text{ continuous}\}$ .  
 Put  $u_{ij} = \sum_{g \in G} C(g) \otimes C(g) \mapsto \hat{e}_i \otimes \hat{e}_j \mapsto \hat{e}_i \otimes \hat{e}_j$ .  
 Then  $(A, u)$  is a compact matrix quantum group:  
 •  $A = C^*(u_{ij}, 1 \mid i, j \in \{1, \dots, N\})$  [Stone-Wierstrass]  
 •  $u = (u_{ij}), \bar{u} = (u_{ij}^*) \in M_N(C(G))$  invertible  $(u(g) = g)$   
 •  $\Delta : C(G) \rightarrow C(G) \otimes C(G), u_{ij} \mapsto \sum_{k=1}^N u_{ik} \otimes u_{kj}$  (matrix multipl.)

**Hence, compact matrix quantum groups generalize  $G \subseteq GL_N(\mathbb{C})$ .**

**Fundamental Theorem of CMQG [Woronowicz 1980s]**  
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" $\impliedby$ ":  
**Fundamental Thm in  $C^*$ -Algebras [Dixmier-Hopfer 1969]**  
 $A$  unital  $C^*$ -algebra.  
 $A$  commutative  $\iff \exists X$  compact space:  $A \cong C(X)$

Applying it in our situation yields a compact space  
 $G = \{g : A \rightarrow C \mid g \text{ algebra homomorphism, } g \neq 0\}$   
 Here,  $\chi : A \rightarrow C(G)$  of the form  $\chi(x)(g) = \psi(gx)$ .  
 Then,  $G$  becomes a group with the help of  $\Delta$ .

**Definition [Dixmier-Hopfer 1969]**  
 A  $C^*$ -algebra  $A$  is  
 • an (associative) algebra over  $\mathbb{C}$   
 • which may or may not be unital (for CMQG: always unital)  
 • with an involution  $*$ :  $A \rightarrow A$   
 i.e.  $(\lambda a + \mu b)^* = \bar{\lambda} a^* + \bar{\mu} b^*$  for  $\lambda, \mu \in \mathbb{C}, (a^b)^* = b^* a^*, (a^*)^* = a$   
 • and a norm satisfying  $||ab|| \leq ||a|| ||b||$  and  $||a^* a|| = ||a||^2$   
 • complete with respect to this norm (i.e.: A Banach algebra)

# CMQG: DEFINITION & FUND. THM.S

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## Fundamental Theorem of CMQG [Woronowicz 1980s]

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## Theorem [Woronowicz 1980s]

There is a dense Hopf  $*$ -algebra  $A_0 \subseteq A$  with  $\Delta|_{A_0} : A_0 \rightarrow A_0 \otimes A_0$ ,  $\varepsilon(u_{ij}^\alpha) = \delta_{ij}$ ,  $S(u_{ji}^\alpha) = (u_{ji}^\alpha)^*$ .

The screenshot shows a slide with the following content:

- Theorem:** There is a dense Hopf  $*$ -algebra  $A_0 \subseteq A$  with  $\Delta|_{A_0} : A_0 \rightarrow A_0 \otimes A_0$ ,  $\varepsilon(u_{ij}^\alpha) = \delta_{ij}$ ,  $S(u_{ji}^\alpha) = (u_{ji}^\alpha)^*$ .
- Proof:** Every compact matrix quantum group possesses a Haar state  $h$ .  $(h \otimes h)(\Delta(x)) = (h \otimes h)(\Delta(x)) = 1 \otimes h(x)$ .
- Using  $h$ , every representation of the quantum group may be decomposed into a direct sum of irreducible finite-dimensional unitary representations.
- Put  $A_0 := \langle \text{matrix elements of } \rho \text{ of fin-dim. rep.} \rangle \implies A_0 \subseteq A$  dense.
- Diagram: CMQG  $\dashrightarrow$  Hopf  $*$ -algebra with integral

## Example (Symmetric quantum group) [Sh. Wang 1990s]

 $S_N^+ := (A_S(N), u)$  compact matrix quantum group with

$$A_S(N) := C^*(u_{ij}, 1 \mid u_{ij} = u_{ij}^2 = u_{ij}^*, \sum_k u_{ik} = \sum_k u_{kj} = 1) \rightarrow C(S_N)$$

 $S_N \subseteq S_N^+$  quantum permutations  
 $\mathcal{U} \subseteq \mathcal{U}^+$ 

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix} \subseteq \begin{pmatrix} 0 & \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} & 0 & \frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \\ 0 & \frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} & 0 & \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \\ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} & 0 & \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} & 0 \\ \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} & 0 & \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} & 0 \end{pmatrix}$$

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## Example (Free orthogonal quantum group) [Sh. Wang 1990s]

$O_N^+ := (A_O(N), u)$  compact matrix quantum group with

$$A_O(N) := C^*(u_{ij}, 1 \mid u_{ij} = u_{ij}^*, \sum_k u_{ik} u_{jk} = \sum_k u_{ki} u_{kj} = \delta_{ij}) \rightarrow C(O_N)$$

**algebraic relations**


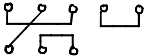
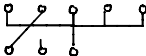
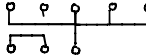
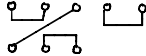
**solutions in  $\mathbb{C}$ :**

**group**

**solutions in  $M_N(\mathbb{C})$ : quantum group**

- Have quantum versions  $S_N \subseteq S_N^+$ ,  $O_N \subseteq O_N^+$ ,  $U_N \subseteq U_N^+, \dots$
- Associated “reduced”  $C^*$ -algebras  $C_{\text{red}}(G)$  and von Neumann algebras  $L(G)$  are interesting [Banica, Vaes, Vergnioux, Brannan, Freslon,...]
- $S_N^+, O_N^+, U_N^+$  yield quantum symmetries for free probability or Connes’s noncommutative geometry [Köstler, Speicher, Curran, Banica, Goswami,...]
- $S_N^+$  is a Calabi-Yau algebra of dimension 3 [Bichon, Franz, Gerhold,...]
- (Hochschild) cohomological dimensions of  $S_N^+, O_N^+, U_N^+$  are 3 [Thom, Bichon, Franz, Gerhold, Das, Kula, Skalski,...]
- $L^2$ -Betti numbers of  $S_N^+, O_N^+$  and  $U_N^+$  known:  
 $\beta_p^{(2)} = 0$  except  $\beta_1^{(2)}(U_N^+) = 1$  [Vergnioux, Collins, Härtl, Thom, Bichon, Raum, Kyed, Vaes, Valvekens,...]

# “EASY” QG: SCHUR-WEYL/TANNAKA-KREIN FIRST

| (quantum) group | representation category              | diagrams   |
|-----------------|--------------------------------------|--|
| $U_N$           | permutations<br>(Schur-Weyl)         |  |
| $O_N$           | pair partitions<br>(Brauer diagrams) |  |
| $S_N$           | all partitions<br>of sets            |  |
| $S_N^+$         | noncrossing<br>partitions            |  |
| $O_N^+$         | noncrossing<br>pair partitions       |  |

[Woronowicz 1980s]

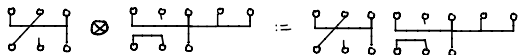


## “EASY” QG: DEFINITION

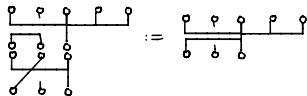
Denote by  $P(k, l)$  the set of partitions on  $k$  upper and  $l$  lower points.

**Definition** [Banica-Speicher 2009]

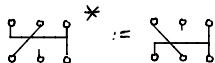
A category of partitions is a set  $\mathcal{C} \subseteq \bigcup_{k, l \in \mathbb{N}_0} P(k, l)$  which is closed under tensor products



composition



involution



and containing



and



## Example

- (a) all partitions, (b) pair partitions, (c) noncrossing partitions (NC)  
(d) noncrossing pair partitions, (e)  $\{p \in NC \mid \text{blocks of size 1 or 2}\}$

## “EASY” QG: DEFINITION

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### Definition [Banica-Speicher 2009]

A category of partitions is a set  $\mathcal{C} \subseteq \bigcup_{k,l \in \mathbb{N}_0} P(k,l)$  which is closed under tensor products, composition and involution, containing also  $\uparrow$  and  $\downarrow$ .

### Definition [Banica-Speicher 2009]

A CMQG  $G = (A, u)$  with  $S_N \subseteq G \subseteq O_N^+$  is „easy“, if its representation theory is given (via Tannaka-Krein) by a category of partitions  $\mathcal{C}$ :

$$\text{Mor}(u^{\otimes k}, u^{\otimes l}) = \text{span}\{T_p \mid p \in \mathcal{C} \cap P(k,l)\}$$

Here,  $\text{Mor}(u^{\otimes k}, u^{\otimes l}) := \{T : (\mathbb{C}^N)^{\otimes k} \rightarrow (\mathbb{C}^N)^{\otimes l} \text{ linear} \mid Tu^{\otimes k} = u^{\otimes l}T\}$ .

“easy” QG



categories of partitions

## “EASY” QG: THE MAP $T_p$

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Definition of  $T_p : (\mathbb{C}^N)^{\otimes k} \rightarrow (\mathbb{C}^N)^{\otimes l}$  as follows.

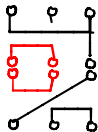
$$T_p(e_{i_1} \otimes \dots \otimes e_{i_k}) := \sum_{j_1, \dots, j_l} \delta_p(i_1, \dots, i_k; j_1, \dots, j_l) e_{j_1} \otimes \dots \otimes e_{j_l}$$

Then:  $T_p u^{\otimes k} = u^{\otimes l} T_p \quad \longrightarrow \quad$  relations on the  $u_{ij}$

**crossings**  $\longleftrightarrow$  **commutativity relations**

# “EASY” QG: SIDE REMARK (DELIGNE CATEGORIES)

Idea [Deligne 2007]: Weight for loops  $t \in \mathbb{N} \longrightarrow t \in \mathbb{C}$



| (quantum) group | representation category              | diagrams |
|-----------------|--------------------------------------|----------|
| $U_N$           | permutations<br>(Schur-Weyl)         |          |
| $O_N$           | pair partitions<br>(Brauer diagrams) |          |
| $S_N$           | all partitions<br>of sets            |          |
| $S_N^+$         | noncrossing<br>partitions            |          |
| $O_N^+$         | noncrossing<br>pair partitions       |          |

**Categories of partitions  $\longrightarrow$  many new interpolating categories**

[Flake-Maaßen 2020]

# CLASSIFICATION OF “EASY” QG: THE SPIRIT

“easy” QG  $\longleftrightarrow$  categories of partitions

*Flavour:* There are exactly two categories of noncrossing partitions containing

1. Case:  $\mathcal{C} \subseteq NC$ ,  $\downarrow \in \mathcal{C}$ ,  $\begin{array}{c} \circ \quad \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \end{array} \notin \mathcal{C}$

Then:  $\mathcal{C} = \{p \in NC \mid \text{blocks of size 1 or 2}\}$

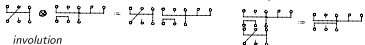
2. Case:  $\mathcal{C} \subseteq NC$ ,  $\downarrow \in \mathcal{C}$ ,  $\begin{array}{c} \circ \quad \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \end{array} \in \mathcal{C}$

Then:  $\mathcal{C} = NC$

Denote by  $P(k, l)$  the set of partitions on  $k$  upper and  $l$  lower points.

**Definition** (Berica-Speicher 2009)

A category of partitions is a set  $\mathcal{C} \subseteq \bigcup_{k, l \in \mathbb{N}_0} P(k, l)$  which is closed under tensor products



involution



and containing  $\downarrow$  and  $\uparrow$




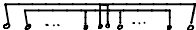
**Example**

(a) all partitions, (b) pair partitions, (c) noncrossing partitions (NC)  
(d) noncrossing pair partitions, (e)  $\{p \in NC \mid \text{blocks of size 1 or 2}\}$

# CLASSIFICATION OF “EASY” QG: ORTHOGONAL CASE

**Theorem** [Banica-Speicher 2009, Banica-Curran-Speicher 2010, W. 2013, Raum-W. 2016]

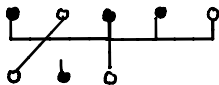
Orthogonal “easy” QG (i.e.  $S_N \subseteq G \subseteq O_N^+$ ) are completely classified:

|   | Categories of partitions   | Quantum groups  |
|---|--|---|
|  | $\{all\ partitions\}, \{ b  = 2\}, \{ b  = 1\ or\ 2\},$<br>$\{ b \ even\}, \{ p \ even\},$<br>$\{ p \ even,  b  = 1\ or\ 2\}$                            | $S_N, O_N, B_N,$<br>$\mathbb{Z}_2 \wr S_N, S_N \times \mathbb{Z}_2,$<br>$B_N \times \mathbb{Z}_2$                                   |
|   | $\{NC\}, \{NC,  b  = 2\}, \{NC,  b  = 1\ or\ 2\},$<br>$\{NC,  b \ even\}, \{NC,  p \ even\},$<br>$\{NC,  p \ even,  b  = 1, 2\}, \{+ leg\ dist.\ even\}$ | $S_N^+, O_N^+, B_N^+,$<br>$\mathbb{Z}_2 \wr_* S_N, S_N^+ \times \mathbb{Z}_2,$<br>$B_N^+ \times \mathbb{Z}_2, B_N^+ * \mathbb{Z}_2$ |
|  | $\{ b  = 2, leg\ dist.\ even\}, \dots$   | $O_N^*, B_N^*, H_N^*, \dots$  |
|  | use $(\mathbb{Z}_2)^{*N} \rightarrow \Gamma$   | $\hat{\Gamma} \bowtie S_N$  |
|   | use   |   |



## “EASY” QG: UNITARY CASE

How about  $U_N$ ?

Non-Hermitian:  $u := (u_{ij}) \neq (u_{ij}^*) =: \bar{u}$



Definition [Tarrago-W. 2016]

A category of two-colored partitions is a set  $\mathcal{C} \subseteq \bigcup_{k,l \in \mathbb{N}_0} P^{\circ\bullet}(k,l)$  closed under tensor products, composition, involution, containing  and .

Definition [Tarrago-W. 2016]

A CMQG  $G = (A, u)$  with  $S_N \subseteq G \subseteq U_N^+$  is „easy“, if its representation theory is given (via Tannaka-Krein) by a category of two-col. partitions  $\mathcal{C}$ :





$$\text{Mor}(u \otimes \bar{u} \dots \otimes u, u \otimes \dots \otimes \bar{u}) = \text{span}\{T_p \mid p \in \mathcal{C} \cap P^{\circ\bullet}(k,l) \text{ colored}\}$$

**unitary “easy” QG  $\longleftrightarrow$  categories of two-colored partitions**

# CLASSIFICATION OF “EASY” QG: UNITARY CASE

**Theorem** [Tarrago-W. 2018, Gromada 2018, Mang-W. 2019, 2020]

Unitary “easy” QG (i.e.  $S_N \subseteq G \subseteq U_N^+$ ) are partially classified:

|  | Categories of partitions  | Quantum groups   |
|--|---|--|
|  | {all two-col. partitions}, $\{+ b  = 2 \text{ and } \text{hook diagram}\}$ ,<br>rules on block sizes and colorings  | $S_N, U_N,$<br>$S_N \times \mathbb{Z}_k, \dots,$   |
|  | way more noncrossing ones   | $S_N^+, U_N^+,$<br>$S_N^+ \times \mathbb{Z}_k, S_N^+ * \mathbb{Z}_k,$<br>$(S_N^+ * \mathbb{Z}_d) \times \mathbb{Z}_k, \dots$ |
|  | use $D \subseteq (\mathbb{N}_0, +)$   | many $U_N^*$ versions  |
|  | rules on block sizes and colorings<br>and crossings   | ?  |



# CLASSIFICATION OF "EASY" QG: SUMMARY

| Cases                             | orthogonal<br>(complete) $u_{ij} = u_{ij}^*$                           | way richer!<br>→ | unitary<br>(ongoing) $u_{ij} \neq u_{ij}^*$     |   |
|-----------------------------------|--|------------------|---|---|
| groups<br>( $ab = ba$ )           | $S_N, O_N, S_N \times \mathbb{Z}_2 \dots$                              | ✓                | + $U_N, S_N \times \mathbb{Z}_{k_1, \dots}$     | ✓ |
| free case<br>"noncrossing"        | $S_N^+, O_N^+, S_N^+ \times \mathbb{Z}_2 \dots$                        | ✓                | + $U_N^+, S_N^+ \times \mathbb{Z}_{k_1, \dots}$ | ✓ |
| half-liberated<br>( $abc = cba$ ) | $O_N^*, \dots$   | ✓                | many! ( $\mathcal{D} \subseteq (N_0, +)$ )      | ✓ |
| other cases                       | $\hat{\Gamma} \rtimes S_N, \mathbb{Z}_2^{\times N} \rightarrow \Gamma$ | ✓                | ...   | ? |