## Dynamical systems and crossed products of $C^*$ -algebras

#### Moritz Weber

Saarland University, Saarbrücken, Germany

ISem23, 25 June 2020

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Classical	Quantum
Topology	C*-Algebras [Gelfand-Naimark 1940s]
Measure Theory	Von Neumann Algebras [Murray-von Neumann 1940s]
Probability Theory	Free Probability Theory [Voiculescu 1980s]
	& Quantum Probability [Accardi, Hudson-Parthasarathy 1970s]
Differential Geometry	Noncommutative Geometry [Connes 1980s]
(Compact) Groups	Compact Quantum Groups [Woronowicz 1980s]
Information Theory	Quantum Information Theory [Feynmann, Deutsch 1980s]
Complex Analysis	Free Analysis [J.L.Taylor 1970s]

1st Fundamental Theorem of C\*-Algebras (Gelfand-Naimark 1940s)

A unital C\*-algebra.

A commutative  $\iff \exists X \text{ compact} : A \cong C(X) := \{f : X \to \mathbb{C} \text{ cont.}\}$ 

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Philosophy behind Quantum Mathematics:

commutative algebras	$\iff$	classical situation
noncommutative algebras	$\iff$	quantum situation

Example (Algebra of functions)

Let X be a compact Hausdorff space.

 $C(X) \coloneqq \{f : X \to \mathbb{C} \mid f \text{ continuous}\}\$ 

has nice structure:

- algebra (ptwise oper.): multiplication  $(fg)(x) \coloneqq f(x)g(x)$ addition  $(f + g)(x) \coloneqq f(x) + g(x)$ scalar multiplication  $(\lambda f)(x) \coloneqq \lambda f(x), \lambda \in \mathbb{C}$
- unital:  $1(x) \coloneqq 1$  for all  $x \in X$  (constant map)
- $f^*(x) \coloneqq \overline{f(x)}$  complex conjugation
- supremum norm  $||f||_{\infty} \coloneqq \sup_{x \in X} |f(x)|$
- complete with respect to this norm

Example (Bounded linear operators)

Let H be a Hilbert space.

 $B(H) \coloneqq \{T : H \to H \mid T \text{ linear and bounded (aka continuous)}\}$ 

has nice structure:

• algebra: multiplication (ST)(x) := S(Tx) (composition) addition (S + T)(x) := Sx + Txscalar multiplication  $(\lambda S)(x) := \lambda Sx, \ \lambda \in \mathbb{C}$ 

• unital: 1(x) := x for all  $x \in X$  (identity map)

- $T^*$  adjoint:  $\langle Tx, y \rangle = \langle x, T^*y \rangle$  for all  $x, y \in H$
- operator norm  $||T||_{\infty} \coloneqq \sup_{x \in H, ||x||=1} ||Tx||$
- complete with respect to this norm

Definition (Gelfand-Naimark, Segal 1940s)

A C\*-algebra A is

- ullet an algebra over  ${\mathbb C}$
- which may or may not be unital (today: always unital)
- with an involution  $^* : A \to A$ i.e.  $(\lambda a + \mu b)^* = \overline{\lambda} a^* + \overline{\mu} b^*$  for  $\lambda, \mu \in \mathbb{C}$ ,  $(ab)^* = b^* a^*$ ,  $(a^*)^* = a$
- and a norm satisfying  $\|ab\| \le \|a\| \|b\|$  and  $\|a^*a\| = \|a\|^2$
- complete with respect to this norm (i.e.: A Banach algebra)

## Example

- C(X) with  $\|\cdot\|_{sup}$
- B(H) or  $M_N(\mathbb{C})$
- every closed (unital) \*-subalgebra  $A \subseteq B(H)$

Example (rotation algebra/noncommutative torus) *H* separable Hilbert space, ONB  $(e_n)_{n \in \mathbb{Z}}$ ,  $\vartheta \in \mathbb{R}$ .

$$\begin{split} &Ue_n \coloneqq e^{2\pi i n\vartheta} e_n = \lambda^n e_n &\lambda \coloneqq e^{2\pi i \vartheta} \in \mathbb{C} \\ &Ve_n \coloneqq e_{n+1} & \text{bilateral shift} \\ &A_\vartheta \coloneqq C^*(U,V) \subseteq B(H) & \text{smallest closed *-subalgebra} \end{split}$$

Check (easy):

- $UV = \lambda VU$
- U and V are unitaries :⇔ UU\* = U\*U = 1
  ⇔ U surj. and isometric (||U|| = 1), i.e. Hilbert space isomorphism
  Check (hard): Given any u, v ∈ A with uv = λvu, u, v unitaries, we have:

$$A_{\vartheta} \cong C^*(u,v) \subseteq A$$

1st Fundamental Theorem of C\*-Algebras (GN 1940s)

A unital C<sup>\*</sup>-algebra.

A commutative  $\iff \exists X \text{ compact} : A \cong C(X) \coloneqq \{f : X \to \mathbb{C} \text{ cont.}\}$ 

## Proof (rough sketch for $\Rightarrow$ ):

- $X := \operatorname{Spec}(A) := \{ \varphi : A \to \mathbb{C} \mid \varphi \text{ algebra hom.}, \varphi \neq 0 \}$  compact H.dorff
- Define  $\chi: A \to C(X)$  by  $\chi(x)(\varphi) \coloneqq \varphi(x)$
- check (easy):  $\chi$  algebra hom.
- check (less easy): any  $\varphi$  is \*-preserving and so is  $\chi$
- check (hard):  $\chi$  isometric (in particular injective)
- use Stone-Weierstraß:  $\chi(A)$  is a closed unital \*-subalgebra of C(X) separating the points  $\implies \chi(A) = C(X)$

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Getting back to the rotation algebra

$$A_{\vartheta} \cong C^*(u, v \mid u, v \text{ unitaries}, uv = e^{2\pi i \vartheta} v u)$$

What happens for  $\vartheta = 0$ ? Then:

uv = vu

Thus, by the 1st Fundamental Theorem:

$$\exists X: A_{\vartheta} \cong C(X)$$

What is X in this case? It is  $\mathbb{T}^2$ , the torus. Hence for  $\vartheta \in \mathbb{R} \setminus \{0\}$ :

" $A_{\vartheta} = C(\mathbb{T}_{\vartheta}^2)$ " noncommutative torus

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#### Continuous functional calculus for free:

A unital C<sup>\*</sup>-algebra,  $x \in A$  with  $x^*x = xx^*$  ("normal").

Then  $C^*(x,1) \subseteq A$  commutative (smallest  $C^*$ -subalg. containing x and 1) Thus, there is an X (in fact, the "spectrum of x") and an isomorphism:

$$\Phi: C(X) \to C^*(x,1) \subseteq A$$

Hence, we can "apply" continuous functions to x, for instance  $\sqrt{x}$  or log x (if the spectrum is nice), simply by putting  $f(x) \coloneqq \Phi(f) \in A$  for  $f \in C(X)$ .

Ex.: 
$$\sqrt{\begin{pmatrix} 2 & 3 \\ 3 & 5 \end{pmatrix}} = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix}$$



A unital C<sup>\*</sup>-algebra.

A commutative  $\iff \exists X \text{ compact} : A \cong C(X) := \{f : X \to \mathbb{C} \text{ cont.}\}$ 

2nd Fundamental Theorem of C\*-Algebras (GN+Segal 1940s)

A unital C<sup>\*</sup>-algebra. There is a Hilbert space H and an injective <sup>\*</sup>-homomorphism  $\pi: A \rightarrow B(H)$ . Hence, we have:

 $A \cong \pi(A) \subseteq B(H)$ 

#### Definition

A C<sup>\*</sup>-dynamical system is a tripel  $(A, G, \alpha)$  where A is a C<sup>\*</sup>-algebra, G is a compact group and  $\alpha : G \to Aut(A)$  is a cont. group hom.

Dynamical system for topological spaces:

- X compact space,  $\varphi: X \to X$  homeomorphism (bij., cont.,  $\varphi^{-1}$  cont.)
- Then:  $C(X) \rightarrow C(X)$ ,  $f \mapsto f \circ \varphi$  automorphism (bij. \*-algebra hom.)
- Assume  $\varphi$  depends on  $t \in \mathbb{Z}$  (or  $t \in \mathbb{R}$ ), i.e.  $\varphi_t : X \to X$ ; put  $\varphi_0 \coloneqq id$

• Assume 
$$\varphi_{s+t} = \varphi_s \circ \varphi_t$$

• Then: A = C(X),  $G = \mathbb{Z}$ ,  $\alpha : \mathbb{Z} \to Aut(C(X))$ ,  $\alpha_t(f) := f \circ \varphi_t$ (Note: Aut(A) is a group with respect to the composition)

#### Example

 $\vartheta \in \mathbb{R}, \ X = S^1 \coloneqq \{z \in \mathbb{C} \mid |z| = 1\} \subseteq \mathbb{C} \ sphere, \ \varphi_t : S^1 \to S^1, \ z \mapsto e^{2\pi i t \vartheta} z$ 

#### Definition

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**Goal:** Want to have a  $C^*$ -algebra  $A \rtimes_{\alpha} G$  containing the whole information on the  $C^*$ -dynamical system.

Quick look at Aut(B(H)): Let  $U \in B(H)$  be unitary ( $UU^* = U^*U = 1$ ).  $T \mapsto UTU^*$ 

is an automorphism of B(H) (with inverse  $T \mapsto U^*TU$ ) Can show: All automorphisms of B(H) are of this form ("inner")

**Strategy:** Add unitaries  $u_t$  to A to make all  $\alpha_t \in Aut(A)$  inner

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## Definition (informal)

Assume that G is discrete (for instance:  $G = \mathbb{Z}$ ). The crossed product  $A \rtimes_{\alpha} G$  is given by adding elements  $u_t$ ,  $t \in G$  to A such that:

- the  $u_t$  are unitaries:  $u_t u_t^* = u_t^* u_t = 1$
- the unitaries respect the group G:  $u_s u_t = u_{s+t}$ ,  $u_t^* = u_{t^{-1}}$
- the unitaries make the  $\alpha_t$  inner:  $\alpha_t(a) = u_t a u_t^*$

#### Example

Consider  $\vartheta \in \mathbb{R}$ ,  $X = S^1 \subseteq \mathbb{C}$  sphere,  $\varphi_t : S^1 \to S^1$ ,  $z \mapsto e^{2\pi i t \vartheta} z$ .  $C^*$ -dyn. syst.:  $A = C(S^1)$ ,  $G = \mathbb{Z}$ ,  $\alpha : \mathbb{Z} \to \operatorname{Aut}(C(S^1))$ ,  $\alpha_t(f) = f \circ \varphi_t$ Note:  $\alpha_t = \alpha_1 \circ \ldots \alpha_1$  for t > 0 and  $\alpha_t = \alpha_1^{-1} \circ \ldots \alpha_1^{-1}$  for t < 0

Add a unitary 
$$u_1$$
 to  $C(S^1)$  with  $\alpha_1(a) = u_1 a u_1^*$  and put  $u_t := u_1^t$   
Then:  $u_t$  are unitaries,  $u_s u_t = u_{s+t}$ ,  $u_t^* = u_{t-1}$ ,  $\alpha_t(a) = u_t a u_t^*$ 

Check that  $v := id : S^1 \to S^1$  is a unitary element in  $C(S^1)$  with  $\alpha_1(v) = v \circ \varphi_1 = id \circ \varphi_1 = e^{2\pi i \vartheta} id = e^{2\pi i \vartheta} v$ . Hence

$$e^{2\pi i\vartheta}v = \alpha_1(v) = u_1vu_1^* \quad \Longleftrightarrow \quad u_1v = e^{2\pi i\vartheta}vu_1$$

from which we infer:

$$A_{\vartheta} \cong C(S^1) \rtimes_{\alpha} \mathbb{Z}$$

Moritz Weber	(Saarland U)
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Some facts about crossed products:

- Note that  $C(X) \rtimes G$  may be noncommutative (see  $A_{\vartheta}$ )
- Takai duality: (A ⋊ G) ⋊ Ĝ ≅ A ⊗ K(H) where G is an abelian group, Ĝ := {ψ : G → C group hom.} is the dual group and K(H) compact operators on some Hilbert space (Compare with Pontryagin duality: Ĝ ≅ G for abelian groups)
- Gootman-Rosenberg-Sauvageot Theorem: In the classical situation of a compact group *G* acting on a compact space *X*, if things are nice (*G* amenable, second countable, *G* acts "freely" on *X*), we have:

 $C(X) \rtimes_{\alpha} G$  simple (i.e. has no ideals)  $\iff G$  acts minimal

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# X ISem24 X C\*-algebras and dynamics 24th Internet Seminar

# Virtual Lectures

Xin Li (Glasgow) Christian Voigt (Glasgow) Moritz Weber (Saarbrücken)

# Organisation

Christian Budde (Potchefstroom) Moritz Weber (Saarbrücken)

Lecture Phase October 2020 — February 2021

Project Phase March 2021 — June 2021

Final Workshop 6 — 12 June 2021

jsem24@nwu.ac.za https://www.math.uni-sb.de/ag/speicher/ISem24.html



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