Classical and noncommutative ergodic theorems

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Von Neumann's Mean Ergodic Theorem

Mean Ergodic Semigroups

Preliminaries on von Neumann Algebras

On its unit ball, the w.o. and s.o. topologies do not depend on the concrete representation of the von Neumann algebra.

Proof of the main theorem

Theorem

Let (M, \mathcal{J}) be a dynamical system. If there exists a faithful family Ω of normal states on M satisfying

 $\omega((Tx)^*(Tx)) \le \omega(x^*x)$ for all $\omega \in \Omega, T \in \mathcal{J}, x \in M$.

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B. Kümmerer, R. Nagel, Mean ergodic semigroups on W*-algebras, Acta Sci. Math., 41 (1979), 151-159.

Von Neumann's Mean Ergodic Theorem

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Let *E* be a Banach space and let $T \in \mathcal{B}(E)$. Then, the following assertions hold:

1. If $f \in \text{fix}(T)$, then $A_n f = f$ for all $n \in \mathbb{N}$, and hence $A_n f \to f$;

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- 1. If $f \in \text{fix}(T)$, then $A_n f = f$ for all $n \in \mathbb{N}$, and hence $A_n f \to f$;
- 2. If $\frac{1}{n}T^nf \to 0$ for all $f \in E$, then $A_nf \to 0$ for all $f \in \operatorname{ran}(I T)$.

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Hence, if $\frac{1}{n}T^nf \to 0$ for all $f \in E$, then $A_nf \to 0$ for all $f \in ran(I - T)$.

Let H be a Hilbert space and $T \in \mathcal{B}(H)$ be a contraction, i.e., $||T|| \leq 1$. Then fix $(T) = \text{fix}(T^*)$.

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Let $f \in \text{fix}(T^*)$. Then $\langle Tf, f \rangle = \langle f, T^*f \rangle = ||f||^2$. Since T is a contraction,

$$||Tf - f||^2 = ||Tf||^2 - 2\operatorname{Re}\langle f, Tf \rangle + ||f||^2 = ||Tf||^2 - ||f||^2 \le 0.$$

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Consequently, Tf = f, i.e., $f \in fix(T)$.

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Finally, fix (T) =fix (T^*) .

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Theorem (Von Neumann's Theorem)

Let (X, ϕ) be a measure-preserving system and consider the Koopman operator $T = T_{\phi} := (f \mapsto f \circ \phi)$, where $f : X \longrightarrow \mathbb{R}$ is a function.

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Let (X, ϕ) be a measure-preserving system and consider the Koopman operator $T = T_{\phi} := (f \mapsto f \circ \phi)$, where $f : X \longrightarrow \mathbb{R}$ is a function. For each $f \in L^2(X)$, the limit

$$\lim_{n\to\infty} A_n f = \lim_{n\to\infty} \frac{1}{n} \sum_{j=0}^{n-1} T^j f$$

exists in the L^2 -sense and is a fixed point of T.

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$$u \in (\operatorname{ran}(S^*))^{\perp} \iff \forall v \in H \quad \langle u | S^* v \rangle = 0$$
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Hence,

$$(\operatorname{ran}(I-T))^{\perp} = \ker(I-T^*) = \operatorname{fix}(T^*) = \operatorname{fix}(T).$$

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Proof (continued):

2. $Pf := \lim_{n \to \infty} \frac{1}{n} \sum_{j=0}^{n-1} T^j f$ exists for every $f \in H$. And P is the orthogonal projection onto fix (T). Take $f \in H$, there exist $f_1 \in \text{fix}(T)$ and $f_2 \in \overline{\text{ran}}(I - T)$ such that $f = f_1 + f_2$. Then

$$A_n f = A_n f_1 + A_n f_2.$$

Mean Ergodic Semigroups

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$$\mathcal{J} \cdot \mathcal{J} := \{ST : S, T \in \mathcal{J}\} \subseteq \mathcal{J}.$$

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P is called the mean ergodic projection.

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Hence, Q = P.

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(iii) fix (\mathcal{J}) separates fix (\mathcal{J}^*) and

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- (iv) $\overline{\operatorname{conv}} \{ \mathcal{J}f \} \cap \operatorname{fix} (\mathcal{J}) \neq \emptyset \ \forall \ f \in E \text{ and}$ $\overline{\operatorname{conv}}^{w^*} \{ \mathcal{J}^*f^* \} \cap \operatorname{fix} (\mathcal{J}^*) \neq \emptyset \ \forall \ f^* \in E'.$

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In this case, $\overline{\operatorname{conv}} \{ \mathcal{J}f \} \cap \operatorname{fix} (\mathcal{J}) = \{ Pf \} \forall f \in E.$

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M: von Neumann Algebra

 $\mathcal{J}:$ bounded semigroup of linear operators on M
CONCRETE REPRESENTATION

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 C^* -algebra M with a predual M_*

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CONCRETE REPRESENTATION

*-subalgebra M of $\mathcal{B}(H)$ with

- *M* closed (w.r.t. sot/wot)
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Strong operator topology

The sot is the coarsest topology on $\mathcal{B}(\mathcal{H})$ such that all evaluation mappings

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$$T_i \stackrel{sot}{\to} T \iff T_i u \to T u \quad \forall u \in H.$$

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Let M be a unital self-adjoint subalgebra of $\mathcal{B}(H)$. The following conditions are equivalent:

(i) M = M'';

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Remark

The commutant and the bicommutant are defined as follows:

$$\mathcal{M}':=\{x\in\mathcal{B}(\mathcal{H})\mid xy=yx ext{ for all } y\in\mathcal{M}\}, \quad \mathcal{M}''=(\mathcal{M}')'.$$

Theorem

Let (M, \mathcal{J}) be a dynamical system. If there exists a **faithful normal state** ω on M satisfying

 $\omega((Tx)^*(Tx)) \leq \omega(x^*x)$ for all $T \in \mathcal{J}, x \in M$,

then \mathcal{J} is sot-ergodic.

A positive linear functional ω on M is *normal* if for every bounded increasing net $\{x_i\}$ of positive elements in M, we have

$$\omega(\sup_i x_i) = \sup_i \omega(x_i).$$

 C^* -algebra M with a predual M_*

CONCRETE REPRESENTATION

*-subalgebra M of $\mathcal{B}(H)$ with

- M closed (w.r.t. sot/wot)
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 $M_* = \operatorname{span}\{\omega \mid \omega \text{ positive normal linear functional on } M\}$

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Let ω be a positive normal linear functional on M. We say that ω is a *faithful normal state* if it is

- faithful, i.e., $(x \ge 0, \omega(x) = 0 \Rightarrow x = 0)$
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The weak*-topology is the weakest topology in M with respect to which all $\omega \in M_*$ are continuous, i.e., given a net $\{x_i\}$

$$x_i \stackrel{w^*}{\to} x \Longleftrightarrow \omega(x_i) o \omega(x) \; \forall \; \omega \in M_*.$$

On its unit ball, the w.o. and s.o. topologies do not depend on the concrete representation of the von Neumann algebra. Let A be a C^* -subalgebra of $\mathcal{B}(H)$.

- \overline{A}^{sot} : the closure of A in sot
- \overline{A}^{wot} : the closure of A in wot
- A_1 : the unit ball of A
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Theorem

Let A be a C^{*}-subalgebra of $\mathcal{B}(H)$ and let $M = \overline{A}^{wot}$. Then

1.
$$M_1 = \overline{A_1}^{sot}$$

2. $M_{sa} = \overline{A}_{sa}^{sot}$

Let M be a C^* -subalgebra of $\mathcal{B}(H)$ with $Id_{\mathcal{H}} \in M$. Then M is a von Neumann algebra iff its unit ball is compact (or equivalently closed) in wot.

Let *M* be a C^* -subalgebra of $\mathcal{B}(H)$ with $Id_{\mathcal{H}} \in M$. Then *M* is a von Neumann algebra iff its unit ball is compact (or equivalently closed) in wot.

Proof: We have $M_1 = M \cap \mathcal{B}(H)_1$.

Let M be a C^* -subalgebra of $\mathcal{B}(H)$ with $Id_{\mathcal{H}} \in M$. Then M is a von Neumann algebra iff its unit ball is compact (or equivalently closed) in wot.

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Let $x \in \overline{M}^{wot}$. We may assume $||x|| \leq 1$.

Let M be a C^* -subalgebra of $\mathcal{B}(H)$ with $Id_{\mathcal{H}} \in M$. Then M is a von Neumann algebra iff its unit ball is compact (or equivalently closed) in wot.

Proof: We have $M_1 = M \cap \mathcal{B}(H)_1$. Suppose M is a von Neumann algebra. Then the unit ball of M is compact in wot.

Conversely, suppose that the unit ball of M is compact in wot.

Let $x \in \overline{M}^{wot}$. We may assume $||x|| \leq 1$. By Kaplansky density theorem, there exists (x_{α}) in M_1 converging to x in the wot. Hence $x \in M$. We recall that a positive linear functional ω on a von Neumann algebra M is normal if for every bounded increasing net $\{x_{\alpha}\}$ of positive elements in M, we have $\omega(\sup_{\alpha} x_{\alpha}) = \sup_{\alpha} \omega(x_{\alpha})$. We recall that a positive linear functional ω on a von Neumann algebra M is normal if for every bounded increasing net $\{x_{\alpha}\}$ of positive elements in M, we have $\omega(\sup_{\alpha} x_{\alpha}) = \sup_{\alpha} \omega(x_{\alpha})$.

Theorem

Let $\omega \ge 0$ be a linear functional on M. The following conditions are equivalent :

- 1. ω is normal;
- 2. $\omega|_{M_1}$ is sot-continuous;
- 3. $\omega|_{M_1}$ is wot-continuous.

Let $M_* = \text{span}\{\omega : \omega \ge 0, \text{ normal}\}\ \text{and}\ \tau_{M_*}$ be the topology generated by M_* . Then $\tau_{M_*} = \text{wot on } M_1$.

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Proof: Consider the mapping $\mathbb{I} : (M_1, wot) \to (M_1, \tau_{M_*})$.

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Proof: Consider the mapping $\mathbb{I} : (M_1, wot) \to (M_1, \tau_{M_*}).$

We have: ω is normal $\iff \omega|_{M_1}$ is wot-continuous.

If $M_1 \ni x_{\alpha} \to x \in M_1(\text{ wot})$, then $\omega(x_{\alpha}) \to \omega(x)$ is wot-continuous. So, the map \mathbb{I} is continuous.

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Moreover, (M_1, wot) is compact and (M_1, τ_{M_*}) is Hausdorff. $A \subseteq (M_1, wot)$ closed $\implies A$ is wot-compact $\implies A$ is τ_{M_*} -compact (since I is continuous)

 $A \subseteq (M_1, wot)$ closed $\implies A$ is wot-compact $\implies A$ is τ_{M_*} -compact (since I is continuous) $\implies A$ is τ_{M_*} -closed (since τ_{M_*} is Hausdorff).

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Corollary

 (M_1, wot) does not depend on the concrete representation of M.

Let $(x_{\alpha})_{\alpha}$ be a net in M. Then $x_{\alpha} \to 0$ in the sot iff $x_{\alpha}^* x_{\alpha} \to 0$ in the wot.

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Proof: The lemma follows from the polarization identity:

$$\langle x_{\alpha}^* x_{\alpha} \xi, \eta \rangle = \frac{1}{4} \sum_{k=0}^{3} i^k \| x_{\alpha} (\xi + i^k \eta) \|^2,$$

where $\xi, \eta \in H$ are arbitrary vectors.

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Corollary

 (M_1, sot) does not depend on the concrete representation of M.

$$x \ge 0, \ \omega(x) = 0 \quad \Rightarrow \ x = 0.$$

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Definition

Let $\omega \geq 0$ be a faithful normal state on M.

Define $\langle x, y \rangle_{\omega} := \omega(y^*x), \quad \|x\|_{\omega}^2 := \omega(x^*x).$

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We define M_{ω} as the completion of M with respect to the inner product $\langle ., . \rangle_{\omega}$.

Lemma

For $x, y \in M$, $\pi_{\omega} : M \to \mathcal{B}(M_{\omega}), \ \pi_{\omega}(x)y = xy$. Then $\pi_{\omega}(M)$ is a von Neumann algebra on M_{ω} .

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Proof: Let $a, b \in M$.

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angle_\omega$ is wot-continuous on M_1 , by normality.

By the density of M in M_{ω} , we have $x \mapsto \langle xh, k \rangle_{\omega}$ is wot-continuous on M_1 for all $h, k \in M_{\omega}$.

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$$\implies$$
 $(M_1, \operatorname{wot}) \stackrel{\pi_\omega}{\rightarrow} (\mathcal{B}(M_\omega), \operatorname{wot})$ is continuous

Since π_{ω} is injective, hence π_{ω} is isometric.

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Hence $\pi_{\omega}(M_1) = (\pi_{\omega}(M))_1$ is wot-compact.

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Hence $\pi_{\omega}(M_1) = (\pi_{\omega}(M))_1$ is wot-compact.

By Kaplansky density theorem, $\pi_{\omega}(M)$ is a von Neumann algebra on M_{ω} .

Proof of the main theorem

Proposition

Let (M, \mathcal{J}) be a dynamical system. Let ω be a faithful normal state on M satisfying

 $\omega((Tx)^*(Tx)) \leq \omega(x^*x)$ for all $T \in \mathcal{J}, x \in M$.

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Then there exists a $P \in \mathcal{B}(H)$ with $P\hat{T} = \hat{T}P = P$ for all $\hat{T} \in \hat{\mathcal{J}}$, where $\hat{\mathcal{J}}$ is the extended semigroup of \mathcal{J} on $\mathcal{B}(H)$. $\forall x \in M \ Px \in \overline{conv^{sot}}\mathcal{J}x.$

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Let ω be a faithful normal state, $H = M_{\omega}$, π from the GNS construction. Let $(x_{\alpha})_{\alpha}$ be a bounded net in M. Then

$$||x_{\alpha}||_{\omega} \to 0 \iff \pi_{x_{\alpha}} \stackrel{\text{sot}}{\to} 0$$

We show $||x_{\alpha}||_{\omega} \to 0 \implies \pi_{x_{\alpha}} \stackrel{\text{sot}}{\to} 0$:

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$$P := P_{\overline{\pi(M)'1}} = \pi_q \quad \text{for a } q \in M$$

since P is in the commutant of $\pi(M)$.

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$$\implies 1-p=0 \quad \text{since } 1-p \ge 0$$
$$\implies P = I \implies \pi(M)'1 \text{ dense in } H$$

Let $T \in \pi(M)'$.

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Since $(x_{\alpha})_{\alpha}$ is bounded, $\pi_{x_{\alpha}}$ is uniformly bounded. Let $h \in H$. By uniform boundedness and *strong convergence lemma* we get

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We show $||x_{\alpha}||_{\omega} \to 0 \iff \pi_{x_{\alpha}} \stackrel{\text{sot}}{\to} 0$:

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 $\pi_{x_{\alpha}}h \stackrel{\omega}{\to} 0 \ \forall h \in H \implies x_{\alpha} = \pi_{x_{\alpha}}1 \stackrel{\omega}{\to} 0$

Lemma

Let $(x_{\alpha})_{\alpha}$ be bounded in M, $x_{\alpha} \xrightarrow{\omega} x$. Then $x \in M$ and $\pi_{x_{\alpha}} \xrightarrow{\text{sot}} \pi_{x}$.

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Proof.

$$||x_{\alpha}-x_{\beta}||_{\omega} \stackrel{(lpha,eta)}{
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sot is complete on bounded subsets of M

$$\implies \exists y \in \mathcal{B}(H), \ \pi_{x_{\alpha}} \stackrel{\text{sot}}{\rightarrow} y.$$

Lemma

Let $(x_{\alpha})_{\alpha}$ be bounded in M, $x_{\alpha} \xrightarrow{\omega} x$. Then $x \in M$ and $\pi_{x_{\alpha}} \xrightarrow{\text{sot}} \pi_{x}$.

Proof.

$$||x_{\alpha}-x_{\beta}||_{\omega} \stackrel{(\alpha,\beta)}{\rightarrow} 0 \implies \pi_{x_{\alpha}}-\pi_{x_{\beta}} \stackrel{\text{sot}}{\rightarrow} 0.$$

sot is complete on bounded subsets of M

$$\implies \exists y \in \mathcal{B}(H), \ \pi_{x_{\alpha}} \stackrel{\text{sot}}{\rightarrow} y.$$

Since $\pi(M)$ vN-Algebra $y \in \pi(M) \implies \exists x' \in M \ \pi_{x_{\alpha}} \stackrel{\text{sot}}{\rightarrow} \pi_{x'}$ $\implies x_{\alpha} \stackrel{\omega}{\rightarrow} x' \implies x = x'$

Proposition

Let (M, \mathcal{J}) be a dynamical system. Let ω be a faithful normal state on M satisfying

 $\omega((Tx)^*(Tx)) \leq \omega(x^*x)$ for all $T \in \mathcal{J}, x \in M$.

Then there exists a $P \in \mathcal{B}(H)$ with $P\hat{T} = \hat{T}P = P$ for all $\hat{T} \in \hat{\mathcal{J}}$, where $\hat{\mathcal{J}}$ is the extended semigroup of \mathcal{J} on B(H). $\forall x \in M \ Px \in \overline{conv}^{sot}\mathcal{J}x$. In particular, $Px \in M$ for $x \in M$ and therefore we get $P \in \pi(M)$. **Proof**. \mathcal{J} is extended by density via π to $\hat{\mathcal{J}}$, a contraction semigroup on B(H) with norm $|| \cdot ||_{\omega}$.

 $\exists \hat{P} \in \mathcal{B}(H) \ \forall \hat{T} \in \hat{\mathcal{J}} \quad (\hat{P}\hat{T} = \hat{T}\hat{P} = \hat{P}) \land (\hat{P}x \in \overline{\mathrm{conv}}^{||\cdot||_{\omega}} \hat{\mathcal{J}}x \ \forall x \in H)$

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Let $x \in M$. We show that $\hat{P}x$ is in M.

$$\hat{T}x \in M \,\forall \hat{T} \in \hat{\mathcal{J}}$$
$$\implies \hat{T}x \in M \,\forall \hat{T} \in \operatorname{conv} \hat{\mathcal{J}}$$

We show, that $\overline{\operatorname{conv}}^{||\cdot||_{\omega}} \hat{\mathcal{J}} x \subseteq \overline{\operatorname{conv}}^{\operatorname{sot}} \hat{\mathcal{J}} x$:

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We show, that $\overline{\operatorname{conv}}^{||\cdot||_{\omega}} \hat{\mathcal{J}}_{X} \subseteq \overline{\operatorname{conv}}^{\operatorname{sot}} \hat{\mathcal{J}}_{X}$: Let $t \in \overline{\operatorname{conv}}^{||\cdot||_{\omega}} \hat{\mathcal{J}}_{X}$ and find $(t_{\alpha})_{\alpha} \xrightarrow{\omega} t$, $t_{\alpha} \in \operatorname{conv} \hat{\mathcal{J}}_{X}$.

$$\begin{array}{l} \hat{\mathcal{J}} \text{ bounded} \implies (t_{\alpha})_{\alpha} \text{ bounded} \\ \implies t_{\alpha} \stackrel{\text{sot}}{\rightarrow} t \implies t \in \overline{\text{conv}}^{\text{sot}} \hat{\mathcal{J}} x \\ \hat{P}x \in \overline{\text{conv}}^{||\cdot||_{\omega}} \hat{\mathcal{J}}x \implies \hat{P}x \in \overline{\text{conv}}^{\text{sot}} \hat{\mathcal{J}}x \implies \hat{P}x \in M \end{array}$$

Proposition

Let (M, \mathcal{J}) be a dynamical system. Let ω be a faithful normal state on M satisfying

 $\omega((Tx)^*(Tx)) \leq \omega(x^*x)$ for all $T \in \mathcal{J}, x \in M$.

Then there exists a $P \in \mathcal{B}(H)$ with $P\hat{T} = \hat{T}P = P$ for all $\hat{T} \in \hat{\mathcal{J}}$, where $\hat{\mathcal{J}}$ is the extended Semigroup of \mathcal{J} on B(H). $\forall x \in M \ Px \in \overline{conv}^{sot}\mathcal{J}x$. In particular $Px \in M$ for $x \in M$ and therefore we get $P \in \pi(M)$.

Theorem

Let (M, \mathcal{J}) be a dynamical system. If there exists a faithful normal state ω of normal states on M satisfying

 $\omega((Tx)^*(Tx)) \leq \omega(x^*x)$ for all $T \in \mathcal{J}, x \in M$,

then \mathcal{J} is sot-ergodic.

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then \mathcal{J} is weak*-ergodic.

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Thank you for your attention!