## **Project 11:** W\*-algebras

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# Part I: Strongly closed \*-subalgebras of $\mathcal{B}(\mathcal{H})$

Titus Pinta, Aaron Kettner

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 $\bullet$  Locally convex topology on  $\mathcal{B}(\mathcal{H})$  defined by the family of seminorms

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$$\bigcap_{i=1}^{n} \mathcal{S}(0, x_i) = \{T \in \mathcal{B}(\mathcal{H}) : \|Tx_i\| < 1, 1 \le i \le n\}$$

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# The WOT

#### The weak operator topology (WOT):

 $\bullet$  Locally convex topology on  $\mathcal{B}(\mathcal{H})$  defined by the family of seminorms

$$p_{x,y}(T) = |(Tx,y)|$$

• A net converges WOT iff it converges pointwise weakly, i.e.

$$\lim_{\alpha} T_{\alpha} = T \iff \forall x, y \in \mathcal{H} : \lim_{\alpha} (T_{\alpha}x, y) = (Tx, y)$$

- Coarsest topology such that the sets  $\mathcal{W}(\mathcal{T}, x, y) = \{A \in \mathcal{B}(\mathcal{H}) : |((\mathcal{T} A)x, y)| < 1\}$  are open
- Basis neighborhood of the origin:

$$\bigcap_{i=1}^{n} \mathcal{W}(0, x_i, y_i) = \{T \in \mathcal{B}(\mathcal{H}) : |(Tx_i, y_i)| < 1, 1 \le i \le n\}$$

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Some basic facts about the WOT:

- The adjoint map  $T \mapsto T^*$  is continuous
- Left and right multiplication are continuous
- Multiplication is not jointly continuous, not even restricted to the unit ball
- $\bullet$  The unit ball of  $\mathcal{B}(\mathcal{H})$  is compact (adapted version of Banach-Alaoglu)

# SOT and WOT

#### Theorem

The WOT-continuous linear functionals on  $\mathcal{B}(\mathcal{H})$  and the SOT-continuous linear functionals coincide, and each functional has the form

$$f(T) = \sum_{i=1}^{n} (Tx_i, y_i)$$

for a finite set of vectors  $x_1, ..., x_n, y_1, ..., y_n$  in  $\mathcal{H}$ .

#### Corollary

 $\mathcal{B}(\mathcal{H})$  has the same closed convex sets in WOT and SOT.

## Commutant of a W\*-algebra

**W\*-Algebra:** WOT-closed unital C\*-subalgebra of  $\mathcal{B}(\mathcal{H})$ **Commutant** of a set  $\mathcal{S} \subset \mathcal{B}(\mathcal{H})$ :

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- $\mathcal{S}'$  is a WOT-closed unital algebra
- S selfadjoint  $\Longrightarrow S'$  is selfadjoint, hence a W\*-Algebra

Theorem (Von Neumann bicommutant theorem)

Suppose that  $\mathcal{U}$  is a  $C^*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$  with trivial null space (i.e.  $\mathcal{U}y = 0$  implies y = 0). Then

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*Proof:*  $\overline{\mathcal{U}}^{SOT} \subset \mathcal{U}''$  is clear. Let  $T \in \mathcal{U}''$  and fix  $x_1, ..., x_n \in \mathcal{H}$ . To show:

$$\exists A \in \mathcal{U} : \sum_{i=1}^{n} \| (T-A)x_i \|^2 < 1$$

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• Let 
$$P := \left[\overline{\mathcal{U}x_1}\right]$$
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 $\implies$  Use the n=1 case with  $oldsymbol{x}=(x_1,...,x_n)$  to find an  $A\in\mathcal{U}$  such that

$$1 > \left\| (T^{(n)} - A^{(n)}) \mathbf{x} \right\|_{\mathcal{H}^{(n)}}^2 = \sum_{i=1}^n \| (T - A) x_i \|^2$$
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# Kaplansky's density theorem

#### Theorem (Kaplansky's density theorem)

If  $\mathcal{U}$  is a  $C^*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$  with trivial null space, then the unit ball of  $\mathcal{U}_{sa}$  is SOT-dense in the unit ball of  $\mathcal{U}''_{sa}$  and the unit ball of  $\mathcal{U}$  is SOT-dense in the unit ball of  $\mathcal{U}''$ . ...

#### The $\sigma$ -strong operator topology ( $\sigma$ -SOT):

 $\bullet$  Locally convex topology on  $\mathcal{B}(\mathcal{H})$  defined by the family of seminorms

$$p_{X}(T) = \sqrt{\sum_{i} ||Tx_{i}||^{2}}, \text{ with } x \in \ell_{2}(\mathcal{H})$$

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$$\bigcap_{i=1}^{n} \mathcal{S}_{\sigma}(0, x_i) = \{T \in \mathcal{B}(\mathcal{H}) : \sum_{j} \|Tx_j\|^2 < 1, 1 \le i \le n\}$$

Some basic facts about the  $\sigma$ -SOT:

• The adjoint map  $T \mapsto T^*$  is not continuous

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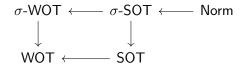
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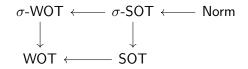
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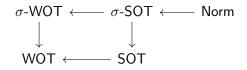


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On bounded sets  $\sigma$ -SOT coincides with SOT and  $\sigma$ -WOT with WOT. On convex sets  $\sigma$ -SOT closed is equivalent with  $\sigma$ -WOT closed and SOT closed with WOT closed.

#### Theorem

Suppose U is a  $W^*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$ , then there exists a Banach space  $\mathcal{U}_*$  with

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#### Lemma

For  $\varphi \in \mathcal{B}(\mathcal{H})^*$  the following statements are equivalent

$$\exists x_1, \ldots, x_n, y_1, \ldots, y_n \text{ such that } \varphi(T) = \sum_{i=1}^n (Tx_i, y_i)$$

- **(1)**  $\varphi$  is WOT continuous
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# Predual of a $\mathbf{W}^*\text{-algebra}$

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$$\exists x_1, \ldots x_n : \sum_{i=1}^n \|Tx_i\|^2 < 1 \Rightarrow |\varphi(T)| < 1$$

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 $\forall T \in \mathcal{B}(\mathcal{H})$ 

$$\exists x_1, \dots, x_n : \sum_{i=1}^n \left\| \frac{T}{\sqrt{4\sum_{j=1}^n \|Tx_j\|^2}} x_i \right\|^2 = \frac{1}{2} \Rightarrow \left| \varphi \left( \frac{T}{\sqrt{4\sum_{j=1}^n \|Tx_j\|^2}} \right) \right| < 1$$

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Consider 
$$\psi$$
:  $\overline{\{(Tx_1, \ldots, Tx_n) : \forall T \in \mathcal{B}(\mathcal{H})\}} \subseteq \mathcal{H}^{(n)}$ ,  
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$$\varphi(T) = \sum_{i=1}^{n} (Tx_i, y_i)$$

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For  $\varphi \in \mathcal{B}(\mathcal{H})^*$  the following statements are equivalent

- $\exists x, y \in \ell_2(\mathcal{H}) \text{ such that } \varphi(T) = \sum_i (Tx_i, y_i)$
- **(1)**  $\varphi$  is  $\sigma$ -WOT continuous
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*Proof:* (iii)  $\Rightarrow$  (i)  $\mathcal{B}(\mathcal{H})$  is included in  $\mathcal{B}(\ell_2(\mathcal{H}))$  and  $\varphi$  is SOT continuous and by Hahn-Banach there exists an extension  $\psi \in \mathcal{B}(\ell_2(\mathcal{H}))^*$ 

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$$\varphi(T) = \psi(T, \dots) = \sum_{i=1}^{n} \sum_{j} (Tx_{ij}, y_{ij})$$

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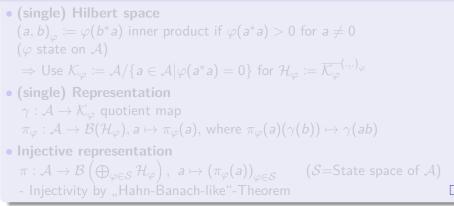
# Part II: Non-commutative W\*-algebras

Mino Nicola Kraft, Chaitanya J. Kulkarni

### Theorem (Thm. 5.18)

 $\mathcal{A}$  C\*-algebra. Then:  $\exists \mathcal{H}$  Hilbert space,  $\pi : \mathcal{A} \to \mathcal{B}(\mathcal{H})$  injective (=faithful) \*-homomorphism

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• (single) Hilbert space  $(a,b)_{\alpha} \coloneqq \varphi(b^*a)$  inner product if  $\varphi(a^*a) > 0$  for  $a \neq 0$ ( $\varphi$  state on  $\mathcal{A}$ )  $\Rightarrow \mathsf{Use} \ \mathcal{K}_{\varphi} \coloneqq \mathcal{A} / \{ \mathbf{a} \in \mathcal{A} | \varphi(\mathbf{a}^* \mathbf{a}) = 0 \} \ \mathsf{for} \ \mathcal{H}_{\varphi} \coloneqq \overline{\mathcal{K}_{\varphi}}^{(.,.)_{\varphi}}$ • (single) Representation Injective representation

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### Definition

 $\mathcal{A}$  C\*-algebra,  $\exists \mathcal{X}$  Banach space:  $(\mathcal{X})^* \cong \mathcal{A}$ . Then:  $\mathcal{A}$  is called W\*-algebra

#### New requirement

•  $\pi$  weakly continuous (called: W\*-representation)

- 1.  $\mathcal{B}(\mathcal{H})$  needs to be W\*-algebra (here: existence of predual)
- **2.**  $\forall f \in \mathcal{B}(\mathcal{H})_*$ :  $f \circ \pi$  weakly continuous functional in  $\mathcal{A}$
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## New for weak topology

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## Consequences

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## Definition predual

- Trace class operators  $N(\mathcal{H})$ :

$$T(\cdot) = \sum_{n=1}^{\infty} a_n(\cdot, y_n) x_n, \ \|x_n\|_{\mathcal{H}} = \|y_n\|_{\mathcal{H}} = 1, \ (a_n) \in \ell^1$$

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$$||T||_{nuc} = \inf \{ ||(a_n)||_{\ell^1} | T(\cdot) = \sum_{n=1}^{\infty} a_n(\cdot, y_n) x_n \}$$

• Trace

 $\operatorname{tr}: N(\mathcal{H}) \to \mathbb{C}, \ \sum_{n=1}^{\infty} a_n(\cdot, y_n) \, x_n \mapsto \sum_{n=1}^{\infty} a_n(x_n, y_n)$ 

- Identification predual  $\mathcal{B}(\mathcal{H}) o \mathcal{N}(\mathcal{H})^*, S \mapsto \operatorname{tr}(S \cdot)$ , isometric isomorphism
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 $\mathcal{A} \ W^*$ -algebra,  $y \in \mathcal{A}$ . Then:  $x \mapsto yx, x \mapsto xy$  are weakly continuous

- $(x_{lpha}) \subseteq \mathcal{A}$  converges weakly to 0
- Aim:  $\forall f \in \mathcal{A}_*$ :  $f(x_{\alpha}y) \to 0$
- Show:
  - Linear combinations of projections are dense
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$$f(x_{\alpha}y) = f\left(x_{\alpha}\left(\sum_{i=1}^{n}\lambda_{i}e_{i}\right)\right) + f\left(x_{\alpha}\left(y-\sum_{i=1}^{n}\lambda_{i}e_{i}\right)\right)$$

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$$\left| f\left( x_{\alpha} \left( y - \sum_{i=1}^{n} \lambda_{i} e_{i} \right) \right) \right| \leq \| f\| \left( \sup_{\alpha} \| x_{\alpha} \| \right) \left\| y - \sum_{i=1}^{n} \lambda_{i} e_{i} \right\| \to 0$$

 $\text{Convergence in } \sigma(\mathcal{A},\mathcal{A}_*) \colon \ \textbf{\textit{a}}_{\alpha} \longrightarrow \textbf{\textit{a}} \iff \forall f \in \mathcal{A}_* \ \textbf{\textit{a}}_{\alpha}(f) \longrightarrow \textbf{\textit{a}}(f)$ 

### Definition (W\*-homomorphism)

Let  $\mathcal{A}_1$ ,  $\mathcal{A}_2$  be W\*-algebras and  $\phi: \mathcal{A}_1 \to \mathcal{A}_2$  be a \*-homomorphism. Then  $\phi$  is W\*-homomorphism. :  $\iff \phi$  is  $\sigma(\mathcal{A}_1, \mathcal{A}_{1*})$ - $\sigma(\mathcal{A}_2, \mathcal{A}_{2*})$ -continuous.

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•  $\phi|_{\mathcal{A}_1(1-z)}$  is injective.  $\implies$  isometry •  $\phi(B) =$  unit ball of  $\phi(\mathcal{A}_1)$ , where B = unit ball of  $\mathcal{A}_1(1-z)$ 

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- $\bullet \ \phi|_{\mathcal{A}_1(1-z)} \text{ is injective. } \implies \text{ isometry }$
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- $\ \, {} { \ \, { \ \, o } } \ \, \phi(B) \ \, \sigma(\mathcal{A}_2,\mathcal{A}_{2*}) \text{-compact} \ \, \Longrightarrow \ \, \phi(\mathcal{A}_1)=\phi(\mathcal{A}_1(1-z)) \ \, \mathbb{W}^* \text{-subalge}.$

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- Let  $\xi := \sum_{i=1}^{k} \xi_i$ ,  $\eta := \sum_{i=1}^{k} \eta_i \in \mathcal{H}$ , where  $\xi_i, \eta_i \in \mathcal{H}_{\varphi_i}$ . Set  $f : \mathcal{A} \to \mathbb{C}$ ,  $f(\mathbf{a}) := \langle \pi(\mathbf{a})\xi, \eta \rangle = \sum_{i=1}^{k} \langle \pi_{\varphi_i}(\mathbf{a})\xi_i, \eta_i \rangle$ .  $\implies f \in \mathcal{A}_*$

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- $\textbf{ Selements of type } \xi, \eta \text{ dense in } \mathcal{H} \implies \langle \pi(\cdot)\xi', \eta'\rangle \in \mathcal{A}_*, \ \xi', \eta' \in \mathcal{H}$

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- Let  $\xi := \sum_{i=1}^{k} \xi_i$ ,  $\eta := \sum_{i=1}^{k} \eta_i \in \mathcal{H}$ , where  $\xi_i, \eta_i \in \mathcal{H}_{\varphi_i}$ . Set  $f : \mathcal{A} \to \mathbb{C}$ ,  $f(\mathbf{a}) := \langle \pi(\mathbf{a})\xi, \eta \rangle = \sum_{i=1}^{k} \langle \pi_{\varphi_i}(\mathbf{a})\xi_i, \eta_i \rangle$ .  $\implies f \in \mathcal{A}_*$
- $\textbf{ Selements of type } \xi, \eta \text{ dense in } \mathcal{H} \implies \langle \pi(\cdot)\xi', \eta' \rangle \in \mathcal{A}_*, \, \xi', \eta' \in \mathcal{H}$
- **(5)** Therefore,  $\pi$  is a W\*-representation of  $\mathcal{A}$ .

 $\mathcal{A}$  is a W\*-algebra.  $\implies \exists$  a faithful W\*-representation  $\pi: \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$ .

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- **(**) Therefore,  $\pi$  is a W\*-representation of  $\mathcal{A}$ .

#### Faithfulness :

- ▶ Hahn-Banach like fact :  $\varphi(a) = 0 \quad \forall \ \varphi \in \mathcal{S}_n \implies a = 0$

## Part III: Commutative W\*-algebras

Made Benny Prasetya, Mahesh Krishna Krishnanagara, & Juan Galvis

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Later we are going to show that  $L^{\infty}(\Gamma, \nu)$  is the only commutative von Neumann algebra.

Let  $\mathcal{X}$  be a Banach space and  $\mathcal{X}^*$  be its dual. Then  $x_{\alpha} \to 0$  in  $\mathcal{X}$  w.r.t.  $\sigma(\mathcal{X}, \mathcal{X}^*)$  iff  $\forall f \in \mathcal{X}^* : f(x_{\alpha}) \to 0$ . Similarly,  $f_{\alpha} \to 0$  in  $\mathcal{X}^*$  w.r.t.  $\sigma(\mathcal{X}^*, \mathcal{X})$  iff  $\forall x \in \mathcal{X} : f_{\alpha}(x) \to 0$ .

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#### Definition

A positive linear functional  $\varphi : \mathcal{A} \to \mathbb{C}$  is said to be **normal** if  $\varphi(\sup_{\alpha}(x_{\alpha})) = \sup_{\alpha}(\varphi(x_{\alpha}))$  for every uniformly bounded increasing direct net  $(x_{\alpha})$  of positive elements in  $\mathcal{A}$ .

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Remark: A positive linear functional is normal if and only if it is  $\sigma(\mathcal{A}, \mathcal{A}_*)$ -continuous.

#### Example

$$(\mathcal{A}, \sigma(\mathcal{A}, \mathcal{A}_*))^* = ((\mathcal{A}_*)^*, \sigma(\mathcal{A}, \mathcal{A}_*))^* = \mathcal{A}_*$$

## Example

Let  $\mathcal{A} = L^{\infty}(\Gamma, \nu)$ . Given  $f \in L^{1}(\Gamma, \nu)$ ,  $f \ge 0$ , define  $\phi(g) \coloneqq \int fg \, d\nu, \forall g \in L^{\infty}(\Gamma, \nu)$ . Then  $\phi$  is normal on  $L^{\infty}(\Gamma, \nu)$ . If  $\int f \, d\nu = 1$ , then  $\phi$  is a normal state on  $L^{\infty}(\Gamma, \nu)$ .

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#### Theorem

Let  $\mathcal{A}$ ,  $\mathcal{B}$  be W\*-algebras. Let  $\Phi : \mathcal{A} \to \mathcal{B}$  be a W\*-homomorphism. Then  $\Phi(\mathcal{A})$  is closed in  $\mathcal{B}$  in the  $\sigma(\mathcal{B}, \mathcal{B}_*)$ -topology.

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#### Theorem

(Polar decomposition for functional) Let A be  $W^*$ -algebra. Then every weakly continuous linear functional  $f \in A_*$  can be written as  $f(\cdot) = |f|(\cdot v)$ , where  $v \in A$  is a partial isometry and  $|f| \in A_*$  is a normal functional.

Let  $\mathcal{A}$  be W\*-algebra and let  $a \in \mathcal{A}$ . Let  $L = \{xa : xa = 0, x \in \mathcal{A}.$  Then L is a  $\sigma(\mathcal{A}, \mathcal{A}_*)$ -closed left ideal. Hence  $L = \mathcal{A}e$  for a unique projection e in  $\mathcal{A}$ . Then 1 - e is the least projection of all the projections q in  $\mathcal{A}$  such that qa = a. Projection 1 - e is called the left support of a and is denoted by l(a). Similarly we can define right support r(a) of a. If a is self-adjoint, then l(a) = r(a) and is called the support of a and is denoted by s(a).

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#### Theorem

(Polar decomposition for elements) Let A be  $W^*$ -algebra and let  $a \in A$ . Then a can be decomposed as a = u|a| where  $|a| = (a^*a)^{\frac{1}{2}}$  and u is a partial isometry in A such that  $u^*u = s(|a|)$ . Such a decomposition is unique.

#### Proof.

For each natural number *n*, define  $h_n = \left(a^*a + \frac{1}{n}\right)^{\frac{1}{2}}$  and  $a_n = a\left(a^*a + \frac{1}{n}\right)^{\frac{-1}{2}}$ . Then  $||a_n|| \le 1$  for all *n* and  $a_n\left(a^*a + \frac{1}{n}\right)^{\frac{1}{2}} = a$ . Since  $h_n$  converges to  $(a^*a)^{\frac{1}{2}}$ , given  $\epsilon > 0$ , there exists  $n_0$  such that

$$\|h_n-(a^*a)^{\frac{1}{2}}\|<\epsilon,\forall n\geq n_0.$$

Since the unit sphere S of A is compact in the weak\*-topology, there exists a limit point, say b of  $\{a_n\}$ . We then have

$$a_n(a^*a)^{rac{1}{2}} \in a + \epsilon S, \forall n \geq n_0 \quad ext{and} \quad b(a^*a)^{rac{1}{2}} \in a + \epsilon S$$

Since  $\epsilon$  was arbitrary  $a = b(a^*a)^{\frac{1}{2}}$ . Let p be the support of  $(a^*a)^{\frac{1}{2}}$  and q be the support of  $(aa^*)^{\frac{1}{2}}$ . A little calculation says that  $p = pb^*qbp$ . Define u = qbp. Then u becomes partial isometry and a = u|a|.

## Proof.

Suppose a = u|a| = u'|a| is another polar decomposition of a. Then  $(p - (u')^*u)|a| = 0$ . Let

$$R = \{x | (p - (u')^* u) x = 0, x \in \mathcal{A}\}.$$

Then *R* is a  $\sigma$ -closed left ideal. Hence R = eA for some projection *e*. Hence  $s(|a|) = p \le e$ . Therefore  $(p - (u')^*u)p = 0$ . We also have  $p = (u')^*u$ . Therefore u' = u.

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- This implies  $\mathcal{A}$  has an identity.

## Theorem

Let  $\mathcal{A}$  be a commutative  $W^*$ -algebra. Then there exists a decomposable measure space  $(\Gamma, \nu)$  such that  $\mathcal{A}$  and  $L^{\infty}(\Gamma, \nu)$  are  $W^*$ -isomorphic.

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#### Lemma

Let  $\Lambda : \mathcal{M} \to \mathcal{N}$  be a linear map between  $W^*$ -algebra. Then  $\Lambda$  is  $\sigma$ -continuous iff for any  $\sigma$ -continuous linear functional  $\varphi$  on  $\mathcal{N}, \varphi \circ \Lambda$  is a  $\sigma$ -continuous linear functional on  $\mathcal{M}$ .

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- Let  $f \in L^{\infty}(\Omega, \mu)$  and  $n \in \mathbb{N}$ .
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- Let  $g \in L^1(\Omega, \mu)$  and  $\epsilon > 0$ . There is  $N \in \mathbb{N}$  s.t.  $\int_B |g| d\mu < \epsilon$ whenever  $\mu(B) < \frac{1}{N}$ .

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Thus,

$$|g(f_n)-g(f)|<2\|f\|_{L^{\infty}}\epsilon$$

for all  $n \ge N$ .

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• Therefore,  $f_n \xrightarrow{\sigma} f$ .

## • Since $\mathcal{A}$ is unital commutative W\*-algebra $\Rightarrow \mathcal{A} \cong C(\Omega)$ .

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where  $\mathcal{A} \ni x \mapsto \hat{x} \in C(\Omega)$  is the Gelfand transform.

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Set Λ<sub>φ</sub> : A ∋ x → x̂ ∈ L<sup>∞</sup>(Ω, μ<sub>φ</sub>). Then Λ<sub>φ</sub> is a \*-homomorphism.
Let g ∈ L<sup>1</sup>(Ω, μ<sub>φ</sub>). We define ḡ := g ∘ Λ<sub>φ</sub> : A → C by

$$(g\circ \Lambda_{arphi})(x)=\int_{\Omega}\hat{x}g \; d\mu_{arphi}.$$

Indeed,  $\overline{g}$  is linear functional on  $\mathcal{A}$ .

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- **(**) Finally, we have for all  $x \in A$ ,

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I Furthermore

$$\Lambda_{arphi}(\mathcal{A}) = \overline{C(\Omega)}^{\sigma(L^{\infty}, \mathcal{L}^{1})} = L^{\infty}(\Omega, \mu_{arphi}).$$

# Let $\mathfrak{L}$ be a $\sigma(\mathcal{A}, \mathcal{A}_*)$ -closed left ideal $\Rightarrow \exists ! p \in \mathcal{A}$ proj. s.t. $\mathfrak{L} = \mathcal{A}p$ .

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- For f ∈ L<sup>1</sup>(Γ, ν) normal state on L<sup>∞</sup>(Γ, ν), s(f) corresponds to the characteristic function of its support.

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**1** Thus,  $\mathcal{A}s(\varphi) \cong L^{\infty}(\operatorname{supp}(\mu_{\varphi}), \mu_{\varphi})$  since  $s(\varphi) := 1 - p_{\varphi}$ .

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- The topological spaces Ω such that C(Ω) is a W\*-algebra are called hyper-Stonean.

Many thanks for your attention!