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**Lipschitz regularity for constrained local minimizers
of convex variational integrals with a wide range of
anisotropy**

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Abstract

We establish interior gradient bounds for functions $u \in W_{1,\text{loc}}^1(\Omega)$ which locally minimize the variational integral $J[u, \Omega] = \int_{\Omega} h(|\nabla u|) dx$ under the side condition $u \geq \Psi$ a.e. on Ω with obstacle Ψ being locally Lipschitz. Here h denotes a rather general N -function allowing (p, q) -ellipticity with arbitrary exponents $1 < p \leq q < \infty$. Our arguments are based on ideas developed in [BFM] combined with techniques originating in [F3].

1 Introduction

In our note we discuss the local Lipschitz (and even the interior $C^{1,\alpha}$ -) regularity of functions u from the local Sobolev class $W_{1,\text{loc}}^1(\Omega)$ which locally minimize the functional

$$J[u, \Omega] := \int_{\Omega} H(\nabla u) dx \quad (1.1)$$

under the side condition $u \geq \Psi$ a.e. on Ω with a given Lipschitz function $\Psi : \Omega \rightarrow \mathbb{R}$. Here Ω is some open subset in \mathbb{R}^n , $n \geq 2$, and the energy density is a strictly convex function from \mathbb{R}^n into the non-negative numbers. By definition u is a local J -minimizer subject to the constraint $u \geq \Psi$ if $J[u, \Omega'] < \infty$ for any subdomain Ω' with compact closure in Ω and if $J[u, \Omega'] \leq J[v, \Omega']$ holds for all $v \in W_{1,\text{loc}}^1(\Omega)$ such that $v \geq \Psi$ a.e. on Ω and $\text{spt}(u-v) \subset \Omega'$. The investigation of the regularity properties of such local minimizers for the obstacle problem has a long tradition starting with the discussion of energy densities H being of quadratic growth. We refer to the monographs [KS] and [FR] for a survey of the most important contributions and a detailed outline of the various regularity results including regularity up to the boundary and the regularity of the free boundary under suitable hypothesis on the data. A natural extension of quadratic growth is the p -growth condition (for some exponent $1 < p < \infty$), which requires the validity of (λ, Λ) denoting positive constants)

$$\lambda (1 + |\xi|^2)^{\frac{p-2}{2}} |\eta|^2 \leq D^2 H(\xi)(\eta, \eta) \leq \Lambda (1 + |\xi|^2)^{\frac{p-2}{2}} |\eta|^2 \quad (1.2)$$

for all $\xi, \eta \in \mathbb{R}^n$ or its degenerate variant. Here we mention the papers [CL], [F1], [F2], [LIN], [MIZ],[MUZ] and the references quoted therein. If we replace (1.2) by the (anisotropic) ellipticity condition

$$\lambda (1 + |\xi|^2)^{\frac{p-2}{2}} |\eta|^2 \leq D^2 H(\xi)(\eta, \eta) \leq \Lambda (1 + |\xi|^2)^{\frac{q-2}{2}} |\eta|^2 \quad (1.3)$$

with exponents $1 < p < q < \infty$, then unconstrained local minima (even in the vectorial setting) have been first investigated in [M1]-[M4] exhibiting conditions like

$$q < c(n)p, \quad c(n) \rightarrow 1 \text{ as } n \rightarrow \infty, \quad (1.4)$$

as sufficient conditions for interior regularity, and from [BFM] it follows that

$$q < p \frac{n+2}{n} \quad (1.5)$$

(together with some minor technical assumptions imposed on H) implies the interior regularity of constrained minimizers. Moreover, as it is shown in [B] p.149 f., the hypothesis (1.5) can be replaced by the dimensionless condition

$$q < p + 2, \quad (1.6)$$

if the local minimizer belongs to the space $L_{\text{loc}}^\infty(\Omega)$. For completeness we remark that energy densities H being of nearly linear growth as for example $H(\xi) := |\xi| \ln(1 + |\xi|)$ do not fall in the category (1.3) with exponents $1 < p < q < \infty$. However, based on the works [FS1], [FS2], [FO] and [MS] the question of full interior regularity for the obstacle problem was answered in [FM].

The purpose of this paper is to establish the following result:

suppose that $u \in W_{1,\text{loc}}^1(\Omega)$ is local $J[\cdot, \Omega]$ -minimizer subject to the constraint $u \geq \Psi$ a.e. on Ω with Lipschitz obstacle Ψ . Let H satisfy (1.3) with $1 < p < q < \infty$. Then we have $|\nabla u| \in L_{\text{loc}}^\infty(\Omega)$ without any relation like (1.4), (1.5) or (1.6), provided H is of the special form

$$H(\xi) = h(|\xi|) \quad (1.7)$$

with $h : [0, \infty) \rightarrow [0, \infty)$ of class C^2 .

To make our statement precise, we fix the assumptions concerning h . The requirements are:

$$\left. \begin{array}{l} h \text{ is strictly increasing and convex together with} \\ h''(0) > 0 \text{ and } \lim_{t \rightarrow 0} \frac{h(t)}{t} = 0. \end{array} \right\} \quad (A1)$$

$$\text{For a constant } a > 0 \text{ it holds } h(2t) \leq ah(t), t \geq 0 \text{ (doubling property)}. \quad (A2)$$

$$\text{There exists a constant } \alpha > 0 \text{ such that } \alpha \frac{h'(t)}{t} \leq h''(t) \text{ is true for all } t > 0. \quad (A3)$$

$$\text{With } q \geq 2 \text{ and } A > 0 \text{ we have for any } t \geq 0: h''(t) \leq A(1 + t^2)^{\frac{q-2}{2}}. \quad (A4)$$

Note that α can be arbitrary small and that there is no upper bound for the exponent q . Before proceeding further let us add some comments:

- i) From (A1) we immediately get $h(0) = h'(0) = 0$.
- ii) By taking the derivative and using (A3) we see that the function $t \mapsto \frac{h'(t)}{t^\alpha}$ is increasing on $(0, \infty)$, in particular it follows with a suitable constant $c > 0$

$$h(t) \geq c(t^{1+\alpha} - 1), t \geq 0. \quad (1.8)$$

iii) We have the following balancing condition:

$$cth'(t) \leq h(t) \leq th'(t), \quad t \geq 0. \quad (1.9)$$

In fact, the second inequality follows from convexity of h together with $h(0) = 0$. For the first inequality we observe:

$$h(t) \stackrel{(A2)}{\geq} \frac{1}{a}h(2t) = \frac{1}{a} \int_0^{2t} h'(r)dr \geq \frac{1}{a} \int_t^{2t} h'(r)dr \geq \frac{1}{a}th'(t).$$

iv) We claim: condition (1.3) holds with $p := 1 + \alpha$ and with q from (A4). In fact, from the structural condition (1.7) we obtain

$$\min \left\{ h''(|\xi|), \frac{h'(|\xi|)}{|\xi|} \right\} |\eta|^2 \leq D^2H(\xi)(\eta, \eta) \leq \max \{ \dots \} |\eta|^2,$$

hence by (A3) and (A4)

$$\alpha \frac{h'(|\xi|)}{|\xi|} |\eta|^2 \leq D^2H(\xi)(\eta, \eta) \leq \frac{1}{\alpha} A (1 + |\xi|^2)^{\frac{q-2}{2}} |\eta|^2.$$

We have $\frac{h'(t)}{t} \rightarrow h''(0)$ as $t \rightarrow 0$, hence

$$\frac{h'(t)}{t} \geq \frac{1}{2}h''(0) \text{ on } (0, t_0],$$

whereas for $t \geq t_0$ it holds

$$\frac{h'(t)}{t} = \frac{h'(t)}{t^\alpha} t^{-1+\alpha} \geq \frac{h'(t_0)}{t_0^\alpha} t^{-1+\alpha},$$

so that

$$\frac{h'(t)}{t} \geq c(1+t^2)^{\frac{\alpha-1}{2}} = c(1+t^2)^{\frac{p-2}{2}}$$

for any $t > 0$. This proves our claim.

v) For later purpose we observe

$$c(h(t)t^s)^{1/2} \leq \int_{t/2}^t \left(\frac{h'(r)}{r} r^s \right)^{1/2} dr, \quad (1.10)$$

$$\int_0^t \left(\frac{h'(r)}{r} r^s \right)^{1/2} dr \leq c(h(t)t^s)^{1/2} \quad (1.11)$$

being valid for any choices of $s, t \geq 0$. Both inequalities essentially follow from ii): it holds

$$\begin{aligned} \int_0^t \left(\frac{h'(r)}{r} r^s \right)^{1/2} dr &= \int_0^t \left(\frac{h'(r)}{r^\alpha} \right)^{1/2} r^{\frac{s}{2} - \frac{1}{2} + \frac{\alpha}{2}} dr \\ &\leq \left(\frac{h'(t)}{t^\alpha} \right)^{1/2} \int_0^t r^{\frac{s}{2} - \frac{1}{2} + \frac{\alpha}{2}} dr = c \left(\frac{h'(t)}{t^\alpha} \right)^{1/2} t^{\frac{s}{2} + \frac{1}{2} + \frac{\alpha}{2}} = c(h'(t)t^s)^{1/2}, \end{aligned}$$

thus we get (1.11) on account of (1.9). At the same time we have

$$\int_{t/2}^t \left(\frac{h'(r)}{r} r^s \right)^{1/2} dr \geq \left(\frac{h'(t/2)}{(t/2)^\alpha} \right)^{1/2} \int_{t/2}^t r^{\frac{s}{2} - \frac{1}{2} + \frac{\alpha}{2}} dr \geq c (h'(t/2) t t^s)^{1/2},$$

and (1.10) is a consequence of (1.9) and (A2).

After these preparations we can formulate our result:

Theorem 1.1. *Assume that h satisfies (A1-4) and define H and the functional J according to (1.7) and (1.1), respectively. Then any local J -minimizer u subject to the constraint $u \geq \Psi$ a.e. on Ω is locally Lipschitz provided the obstacle Ψ has this property. If the gradient of Ψ satisfies a local Hölder condition, then the same is true for ∇u .*

Remark 1.1. *The above results easily extend to the double obstacle problem as studied for example in [BFM]. Moreover, the conditions on h can be relaxed in order to handle the degenerate case $h''(0) = 0$.*

Remark 1.2. *Since we deal with the scalar case, the structural condition (1.7) is not really needed. It can be replaced by suitable inequalities imposed on $DH(\xi)$ and $D^2H(\xi)$, $\xi \in \mathbb{R}^n$, relating these quantities as outlined for example in [LIE], where the degenerate case for the double obstacle problem under non-standard growth conditions is addressed. The reader should note that Theorem 1.1 is a consequence of the results obtained in [LIE], if instead of (A4) we require the validity of*

$$h''(t) \leq c \frac{h'(t)}{t}, \quad t \geq 0, \quad (1.12)$$

since (1.12) together with (A3) implies the condition of uniform ellipticity (c_1, c_2 positive constants)

$$c_1 \leq \frac{t h''(t)}{h'(t)} \leq c_2, \quad t \geq 0, \quad (1.13)$$

which corresponds to (0.1) in [LIE]. However, in comparison to (1.12) and thereby (1.13), our assumptions (A3) and (A4) are less restrictive.

Remark 1.3. *Let us finally compare our assumptions with the hypotheses imposed on the density h in the paper [MP]. Clearly (A3) is more restrictive compared to the first part of inequality (2.9) from [MP], however we do not require an upper bound for $h''(t)$ in terms of $h'(t)/t$ as expressed in the second part of (2.9). In fact, in Section 2 we construct a density h for which $h'(t)/t$ is bounded, whereas (A4) holds with arbitrary (large) prescribed number q , so that the second inequality from (2.9) in [MP] is violated.*

Our paper is organized as follows: in Section 2 we give an example of a density h satisfying (A1-4). In Section 3 we introduce a suitable sequence of local regularizations as done in [BFM] and show following ideas from [F3] that any constrained local minimizer u satisfies

$$|\nabla u| \in L_{\text{loc}}^r(\Omega), \quad 1 \leq r < \infty, \quad (1.14)$$

if Ψ is locally Lipschitz. In Section 4 we show that (1.14) implies the local Lipschitz regularity of u , which proves the first part of Theorem 1.1. From this the local Hölder continuity of ∇u (for sufficiently regular obstacle Ψ) follows along the lines of [BFM]. Some additional results including the non-autonomous case are collected in Section 5.

2 An example

In this section we construct an energy density $h : [0, \infty) \rightarrow [0, \infty)$ satisfying (A1-4) with $\alpha = 1$ and arbitrary large exponent q . Thus the integrand $H(\xi) = h(|\xi|)$ is $(2, q)$ -elliptic in the sense of (1.3) but for appropriate choices of q the conditions (1.4) - (1.6) fail to be true. The reader should note that $H(\xi)$ is of quadratic growth w.r.t. $\xi \in \mathbb{R}^n$. The construction works like this:

- we start with a “suitable” function $\Theta : [0, \infty) \rightarrow [0, \infty)$ (playing the role of the derivative of $\frac{h'(t)}{t}$);
- then we let $g(t) := 1 + \int_0^t \Theta(s) ds$ ($g(t)$ represents $\frac{h'(t)}{t}$);
- finally we set $h(t) := \int_0^t s g(s) ds$.

To be precise consider a sequence $\{a_i\}$ of numbers such that $0 \ll a_i < a_{i+1}$ and $\lim_{i \rightarrow \infty} a_i = \infty$. We choose $\varepsilon_i > 0$ with the property

$$I_i \cap I_j = \emptyset, \text{ if } i \neq j, \quad I_i := (a_i - \varepsilon_i, a_i + \varepsilon_i),$$

and

$$\sum_{i=1}^{\infty} \varepsilon_i a_i^\omega < \infty \tag{2.1}$$

for some number ω to be fixed later. We then define the continuous function $\Theta : [0, \infty) \rightarrow [0, \infty)$ through

$$\Theta(t) := \begin{cases} 0 & \text{on } [0, \infty) - \bigcup_{i=1}^{\infty} I_i, \\ \text{affine linear on } (a_i - \varepsilon_i, a_i) \text{ and on } \\ (a_i, a_i + \varepsilon_i) & \text{with value } a_i^\omega \text{ at } t = a_i, \\ i \in \mathbb{N} \end{cases}$$

and introduce $g(t)$, $h(t)$ as done above. Clearly

$$g' = \Theta, \quad h'(t) = t g(t), \tag{2.2}$$

and from the definition of g we obtain

$$g(t) \leq 1 + \int_0^\infty \Theta(s) ds = 1 + \sum_{i=1}^{\infty} \varepsilon_i a_i^\omega =: g_\infty,$$

thus by (2.1)

$$1 = g(0) \leq g(t) \leq g_\infty < \infty, \quad t \in [0, \infty). \tag{2.3}$$

Inserting (2.3) in the definition of h , we find

$$\frac{t^2}{2} \leq h(t) \leq g_\infty \frac{1}{2} t^2, \quad t \geq 0, \quad (2.4)$$

and (2.4) shows that h is of quadratic growth. The validity of (A1) is immediate. For (A3) with $\alpha = 1$ we observe

$$h''(t) = \frac{d}{dt}(tg(t)) = g(t) + tg'(t) \geq g(t) \stackrel{(2.2)}{=} \frac{h'(t)}{t}.$$

Let us look at (A4): from $h''(t) = g(t) + tg'(t) = g(t) + t\Theta(t)$ and (2.3) it follows

$$1 + t\Theta(t) \leq h''(t) \leq g_\infty + t\Theta(t), \quad t \geq 0. \quad (2.5)$$

Suppose that a number $q > 2$ is given. According to (2.5) we see that (A4) holds if we let $\omega := q - 3$ in the definition of Θ . Moreover, again by (2.5), it is immediate that in (A4) we can not replace q by some smaller exponent \tilde{q} . For proving (A2) we first observe that (2.3) gives the inequality $g(2t) \leq g_\infty g(t)$, hence

$$h(2t) = \int_0^{2t} sg(s)ds = 4 \int_0^t sg(2s)ds \leq 4g_\infty \int_0^t sg(s)ds = 4g_\infty h(t),$$

therefore we obtain (A2) with $a := 4g_\infty$. \square

3 Higher integrability of the gradient

In the following we assume that u is a local minimizer of the functional $J[\cdot, \Omega]$ from (1.1) under the side condition $u \geq \Psi$ a.e. on Ω with Ψ being locally Lipschitz. We further assume that the density h satisfies the assumptions (A1-4). As in Section 2 of [BFM] we work with a suitable local regularization. Let us briefly recall the basic notation: with ε and δ we denote two sequences of positive numbers such that $\varepsilon \rightarrow 0$ and $\delta \rightarrow 0$, and we will keep these symbols also for subsequences. As usual, c will denote a finite positive constant, whose value may change from line to line, and depending on various quantities but always being independent of ε and δ . We fix a radius $R > 0$ and $x_0 \in \Omega$ such that B_{2R} is compactly contained in Ω , $B_r := B_r(x_0)$. Let u_ε and Ψ_ε denote the mollifications of u and Ψ , respectively, with radius ε and define

$$\mathcal{C}_\varepsilon := \left\{ v \in u_\varepsilon + \mathring{W}_q^1(B_{2R}) : v \geq \Psi_\varepsilon \text{ a.e. on } \Omega \right\}$$

with exponent q from (A4). Finally we consider the unique solution $u_{\varepsilon, \delta} \in \mathcal{C}_\varepsilon$ of the problem

$$\begin{aligned} J_\delta[w, B_{2R}] &:= \int_{B_{2R}} H_\delta(\nabla w) dx \longrightarrow \min \text{ in } \mathcal{C}_\varepsilon, \\ H_\delta(\xi) &:= h_\delta(|\xi|), \quad h_\delta(t) := h(t) + \delta(1 + t^2)^{q/2}. \end{aligned} \quad (3.1)$$

Lemma 3.1. *We can choose a suitable sequence $\delta = \delta(\varepsilon)$ such that for any $r \in (0, 2R)$ and all $1 \leq s < \infty$ there is a finite constant (independent of ε)*

$$c = c(r, s, J[u, B_{2R}])$$

with the property

$$\|\nabla u_{\varepsilon, \delta(\varepsilon)}\|_{L^s(B_r)} \leq c. \quad (3.2)$$

Remark 3.1. *Of course the constant c will depend on other (irrelevant) quantities as $\|\nabla \Psi\|_{L^\infty(B_{2R})}$.*

Proof of Lemma 3.1: From e.g. [CL] or [MUZ] it follows that

$$u_{\varepsilon, \delta} \in C^{1, \beta}(B_{2R}) \cap W_{q, \text{loc}}^2(B_{2R}), \quad (3.3)$$

and this initial regularity justifies our calculations carried out below. For notational simplicity we will drop the subscripts ε and δ just denoting

$$u_{\varepsilon, \delta} = u, \quad H_\delta = H, \quad h_\delta = h, \quad \Psi_\varepsilon = \Psi, \quad \mathcal{C}_\varepsilon = \mathcal{C},$$

but the reader should keep in mind that actually we work with the regularization. Following the lines of [F1] (see also [FM] and [BFM], Lemma 2.1) we use the minimality of u (recall (3.1)) to get the equation

$$\int_{B_{2R}} DH(\nabla u) \cdot \nabla \varphi \, dx = \int_{B_{2R}} \varphi g \, dx \quad (3.4)$$

for any $\varphi \in C_0^1(B_{2R})$, where

$$g := \mathbf{1}_S (-\operatorname{div} [DH(\nabla \Psi)]) ,$$

$\mathbf{1}_S$ denoting the characteristic function of the coincidence set $S := \{x \in B_{2R} : u(x) = \Psi(x)\}$. We fix a number $M > 1 + \|\nabla \Psi\|_{L^\infty(B_{2R})}^2$ and define a function $\Phi : [0, \infty) \rightarrow [0, 1]$ such that

$$\Phi(t) = 0 \text{ on } [0, M], \quad \Phi(t) = 1 \text{ on } [2M, \infty), \quad \Phi' \geq 0. \quad (3.5)$$

From the smoothness properties stated in (3.3) we see that we can replace φ by $\partial_\beta \varphi$ in (3.4) and obtain after integration by parts

$$\int_{B_{2R}} \partial_\beta (DH(\nabla u)) \cdot \nabla \varphi \, dx = - \int_{B_{2R}} g \partial_\beta \varphi \, dx \quad (3.6)$$

again for all $\varphi \in C_0^1(B_{2R})$. Letting $\Gamma := 1 + |\nabla u|^2$ we choose

$$\varphi := \eta^2 \Gamma^{s/2} \Phi^2(\Gamma) \partial_\beta u, \quad \eta \in C_0^1(B_{2R}),$$

in equation (3.6), where for the moment $s \geq 0$ denotes some arbitrary parameter. Since $u = \Psi$ and thereby $\nabla u = \nabla \Psi$ on the set S , it follows from the definition of Φ and the choice of M that $\Phi(\Gamma)$ vanishes on S , thus

$$\int_{B_{2R}} g \partial_\beta (\eta^2 \Gamma^{s/2} \Phi^2(\Gamma) \partial_\beta u) dx = 0$$

(we adopt the convention of summation from now on), and (3.6) yields

$$\int_{B_{2R}} \partial_\beta (DH(\nabla u)) \cdot \nabla (\eta^2 \Gamma^{\frac{s}{2}} \Phi^2(\Gamma) \partial_\beta u) dx = 0,$$

hence

$$\begin{aligned} & \int_{B_{2R}} D^2 H(\nabla u) (\partial_\beta \nabla u, \partial_\beta \nabla u) \eta^2 \Gamma^{s/2} \Phi^2 dx \\ &= - \int_{B_{2R}} \partial_\beta (DH(\nabla u)) \cdot \nabla (\eta^2 \Gamma^{s/2} \Phi^2(\Gamma)) \partial_\beta u dx. \end{aligned} \quad (3.7)$$

Let us abbreviate $\tilde{\Phi}(\Gamma) := \Gamma^{s/2} \Phi^2(\Gamma)$. Then we get

$$\begin{aligned} \text{r.h.s. of (3.7)} &= - \int_{B_{2R}} D^2 H(\nabla u) (\partial_\beta \nabla u, \nabla \tilde{\Phi}(\Gamma)) \eta^2 \partial_\beta u dx \\ &\quad - \int_{B_{2R}} \partial_\beta (DH(\nabla u)) \cdot \nabla \eta^2 \tilde{\Phi}(\Gamma) \partial_\beta u dx =: -T_1 - T_2, \end{aligned}$$

and it is easy to check that

$$D^2 H(\nabla u) (\partial_\beta \nabla u, \nabla \tilde{\Phi}(\Gamma)) \partial_\beta u = \tilde{\Phi}'(\Gamma) a_{\beta\gamma} \partial_\beta \Gamma \partial_\gamma \Gamma,$$

where we have abbreviated

$$a_{\beta\gamma} := \frac{1}{2} \delta_{\beta\gamma} \frac{h'(|\nabla u|)}{|\nabla u|} + \frac{1}{2} \left[h''(|\nabla u|) - \frac{h'(|\nabla u|)}{|\nabla u|} \right] \frac{\partial_\beta u \partial_\gamma u}{|\nabla u|^2}.$$

Since $(a_{\beta\gamma})$ is an elliptic matrix and since $\tilde{\Phi}' \geq 0$ (recall (3.5)), we see that $-T_1 \leq 0$, and (3.7) yields

$$\int_{B_{2R}} D^2 H(\nabla u) (\partial_\beta \nabla u, \partial_\beta \nabla u) \eta^2 \Gamma^{s/2} \Phi^2(\Gamma) dx \leq -T_2. \quad (3.8)$$

In a next step we observe that after integration by parts

$$\begin{aligned} -T_2 &= \int_{B_{2R}} DH(\nabla u) \cdot \partial_\beta (\nabla \eta^2 \partial_\beta u \Phi^2(\Gamma) \Gamma^{s/2}) dx \\ &\leq c \left\{ \int_{B_{2R}} h'(|\nabla u|) \eta |\nabla \eta| \Phi^2(\Gamma) \Gamma^{s/2} |\nabla^2 u| dx \right. \\ &\quad + \int_{B_{2R}} h'(|\nabla u|) \eta |\nabla \eta| |\nabla (\Phi^2(\Gamma) \Gamma^{s/2})| |\nabla u| dx \\ &\quad \left. + \int_{B_{2R}} h'(|\nabla u|) |\nabla^2 \eta| \Phi^2(\Gamma) \Gamma^{s/2} |\nabla u| dx \right\} =: c \{S_1 + S_2 + S_3\}. \end{aligned}$$

From (1.9) it follows

$$S_3 \leq c \int_{B_{2R}} h(|\nabla u|) \Phi^2(\Gamma) \Gamma^{s/2} |\nabla^2 \eta| dx. \quad (3.9)$$

For S_1 we observe (using Young's inequality)

$$\begin{aligned} S_1 &= \int_{B_{2R}} \eta \left(\frac{h'(|\nabla u|)}{|\nabla u|} \right)^{1/2} \Phi(\Gamma) \Gamma^{s/4} |\nabla^2 u| |\nabla \eta| (h'(|\nabla u|) |\nabla u|)^{1/2} \Phi(\Gamma) \Gamma^{s/4} dx \\ &\stackrel{(1.9)}{\leq} \tau \int_{B_{2R}} \eta^2 \frac{h'(|\nabla u|)}{|\nabla u|} \Phi^2(\Gamma) \Gamma^{s/2} |\nabla^2 u|^2 dx + \tau^{-1} \int_{B_{2R}} |\nabla \eta|^2 h(|\nabla u|) \Phi^2(\Gamma) \Gamma^{s/2} dx. \end{aligned}$$

Now, if we recall iv) from Section 1, it is immediate that for τ sufficiently small the “ τ -term” can be absorbed in the l.h.s. of (3.8). Taking into account (3.9), it is shown

$$\begin{aligned} &\int_{B_{2R}} \frac{h'(|\nabla u|)}{|\nabla u|} |\nabla^2 u|^2 \eta^2 \Phi^2(\Gamma) \Gamma^{s/2} dx \\ &\leq c \left\{ \int_{B_{2R}} (|\nabla \eta|^2 + |\nabla^2 \eta|) h(|\nabla u|) \Phi^2(\Gamma) \Gamma^{s/2} dx + |S_2| \right\}. \end{aligned} \quad (3.10)$$

Let us discuss S_2 : we have

$$\begin{aligned} |S_2| &\leq c \left\{ \int_{B_{2R}} h'(|\nabla u|) \eta |\nabla \eta| \Phi'(\Gamma) \Phi(\Gamma) |\nabla u|^2 |\nabla^2 u| \Gamma^{s/2} dx \right. \\ &\quad \left. + \int_{B_{2R}} s h'(|\nabla u|) \eta |\nabla \eta| \Phi^2(\Gamma) \Gamma^{s/2} |\nabla^2 u| dx \right\} =: c \{U_1 + sU_2\}, \end{aligned}$$

and with Young's inequality applied to U_2 (compare the estimate concerning S_1) we see in combination with (3.10)

$$\begin{aligned} &\int_{B_{2R}} \frac{h'(|\nabla u|)}{|\nabla u|} |\nabla^2 u|^2 \eta^2 \Phi^2(\Gamma) \Gamma^{s/2} dx \\ &\leq c \left\{ \int_{B_{2R}} (|\nabla \eta|^2 + |\nabla^2 \eta|) h(|\nabla u|) \Phi^2(\Gamma) \Gamma^{s/2} dx + U_1 \right\} \end{aligned} \quad (3.11)$$

with constant c now depending also on s . Recalling (3.5) we have

$$\Phi'(\Gamma) = \mathbf{1}_{[M \leq \Gamma \leq 2M]} \Phi'(\Gamma),$$

thus $\Phi'(\Gamma) |\nabla u|^2 \leq c(M) \Phi'(\Gamma)$ and therefore

$$\begin{aligned} U_1 &\leq c(M) \int_{B_{2R}} h'(|\nabla u|) \eta |\nabla \eta| \Phi'(\Gamma) \Phi(\Gamma) |\nabla^2 u| \Gamma^{s/2} dx \\ &\leq \tau \int_{B_{2R}} \frac{h'(|\nabla u|)}{|\nabla u|} \eta^2 |\nabla^2 u|^2 \Phi^2(\Gamma) \Gamma^{s/2} dx \\ &\quad + c(\tau, M) \int_{B_{2R}} h(|\nabla u|) |\nabla \eta|^2 \Phi'(\Gamma)^2 \Gamma^{s/2} dx, \end{aligned}$$

and after appropriate choice of $\tau > 0$ we end up with (cf. (3.11))

$$\int_{B_{2R}} \frac{h'(|\nabla u|)}{|\nabla u|} |\nabla^2 u|^2 \Phi^2(\Gamma) \Gamma^{s/2} dx \leq c \int_{B_{2R}} (|\nabla \eta|^2 + |\nabla^2 \eta|) h(|\nabla u|) \Gamma^{s/2} dx \quad (3.12)$$

for a constant c depending on s and additionally on the number M . We emphasize that up to now η and s can be chosen arbitrarily in estimate (3.12). Next we fix

$$\delta := \delta(\varepsilon) := \left(1 + \varepsilon^{-1} + \|\nabla u_\varepsilon\|_{L^q(B_{2R})}^{2q}\right)^{-1}$$

(compare [BFM], Step 5 in Section 5) and denote by the symbol v_ε the solution $u_{\varepsilon, \delta(\varepsilon)}$ of problem (3.1). From (2.22) in [BFM] we infer

$$\int_{B_{2R}} h_{\delta(\varepsilon)}(|\nabla v_\varepsilon|) dx \leq \int_{B_{2R}} h(|\nabla u|) dx + o(\varepsilon), \quad (3.13)$$

and (3.13) states in particular the uniform boundedness of the energies of the approximations. Dropping the subscripts ε and $\delta(\varepsilon)$ again, which means that we use the symbols v and h in place of v_ε and $h_{\delta(\varepsilon)}$, respectively, we see by (3.12) that

$$\int_{\Omega_1} \frac{h'(|\nabla v|)}{|\nabla v|} |\nabla^2 v|^2 \Phi^2(\Gamma) \Gamma^{s/2} dx \leq c \int_{\Omega_2} h(|\nabla v|) \Gamma^{s/2} dx \quad (3.14)$$

for arbitrary subdomains $\Omega_1 \Subset \Omega_2 \Subset B_{2R}$ and any exponent $s \geq 0$, the constant c depending on s and the domains Ω_i but being independent of ε . Let $s_0 = 0$ and define

$$\Psi_0 := \int_0^{|\nabla v|} \Phi(1+t^2) \left(\frac{h'(t)}{t}\right)^{1/2} dt.$$

From (3.14) and the remark stated after (3.13) it follows

$$|\nabla \Psi_0| \in L^2_{\text{loc}}(B_{2R}) \quad (3.15)$$

uniformly w.r.t. ε . At the same time (1.11) (with $s = s_0$) implies

$$\Psi_0 \in L^2_{\text{loc}}(B_{2R}) \quad (3.16)$$

again uniformly w.r.t. ε , and from (3.15) and (3.16) we infer in combination with Sobolev's embedding theorem

$$\Psi_0^t \in L^1_{\text{loc}}(B_{2R}), \quad t \begin{cases} < \infty, & \text{if } n = 2 \\ \leq \frac{2n}{n-2}, & \text{if } n \geq 3 \end{cases}. \quad (3.17)$$

On the set $[|\nabla v| \geq 2\sqrt{2M-1}]$ we clearly have

$$\begin{aligned} \Psi_0 &\geq \int_{|\nabla v|/2}^{|\nabla v|} \Phi(1+t^2) \left(\frac{h'(t)}{t}\right)^{1/2} dt \\ &\stackrel{(3.5)}{=} \int_{|\nabla v|/2}^{|\nabla v|} \left(\frac{h'(t)}{t}\right)^{1/2} dt \stackrel{(1.10)}{\geq} c h(|\nabla v|)^{1/2}, \end{aligned}$$

thus (3.17) shows the validity of

$$h(|\nabla v|)^t \in L^1_{\text{loc}}(B_{2R}), t \begin{cases} < \infty, & \text{if } n = 2 \\ \leq \frac{n}{n-2}, & \text{if } n \geq 3 \end{cases} . \quad (3.18)$$

In case $n = 2$ we deduce from (3.18) in combination with (1.8) that $\nabla v = \nabla v_\varepsilon$ is in any space $L^t_{\text{loc}}(B_{2R}, \mathbb{R}^n)$, $t < \infty$, uniformly w.r.t. to ε and our claim (3.2) follows. Let us therefore assume that $n \geq 3$. In this case we write $h^{\frac{n}{n-2}} = h h^{\frac{2}{n-2}}$ and quote (1.8) (recall $p = 1 + \alpha$) in order to deduce from (3.18)

$$h(|\nabla v|) |\nabla v|^{\frac{2p}{n-2}} \in L^1_{\text{loc}}(B_{2R}) \text{ (uniformly)}. \quad (3.19)$$

But (3.19) shows that the r.h.s. of (3.14) stays bounded now for the choice $s := s_1 := \frac{2p}{n-2}$, hence the function

$$\Psi_1 := \int_0^{|\nabla v|} \Phi(1+t^2) \left\{ \frac{h'(t)}{t} t^{s_1} \right\}^{1/2} dt$$

satisfies on account of (3.14) and (1.11)

$$|\nabla \Psi_1| \in L^2_{\text{loc}}(B_{2R}), \Psi_1 \in L^2_{\text{loc}}(B_{2R})$$

(uniformly). Using the resulting uniform $L^1_{\text{loc}}(B_{2R})$ -bound for $\Psi_1^{\frac{2n}{n-2}}$ and quoting (1.10), the same reasoning as applied after (3.17) leads to the result

$$h(|\nabla v|)^{\frac{n}{n-2}} |\nabla v|^{s_1 \frac{n}{n-2}} \in L^1_{\text{loc}}(B_{2R}),$$

and in combination with (1.8) we get

$$h(|\nabla v|) |\nabla v|^{s_2} \in L^1_{\text{loc}}(B_{2R}), \quad s_2 := \frac{2p}{n-2} + s_1 \frac{n}{n-2}.$$

With $s_0 = 0$ we let

$$s_{k+1} := \frac{2p}{n-2} + s_k \frac{n}{n-2}, \quad k \in \mathbb{N}_0.$$

Repeating the steps from above it follows for each k

$$h(|\nabla v|) |\nabla v|^{s_k} \in L^1_{\text{loc}}(B_{2R})$$

uniformly w.r.t. ε , and since $s_k \rightarrow \infty$, it is shown

$$\sup_{\varepsilon} \int_{B_r} |\nabla v_\varepsilon|^s dx \leq c(r, s) < \infty \quad (3.20)$$

for any $r < 2R$ and any $s > 1$. Obviously this proves Lemma 3.1 and by passing to the limit $\varepsilon \rightarrow 0$ we additionally see that $|\nabla u|$ is in $L^s_{\text{loc}}(\Omega)$ for any $s < \infty$. \square

4 Local boundedness of the gradient

Here we are going to show

Lemma 4.1. *Under the assumptions and with the notation of Theorem 1.1 we consider a local J -minimizer u subject to the constraint $u \geq \Psi$ a.e. on Ω with Ψ being locally Lipschitz. Then it holds*

$$|\nabla u| \in L_{\text{loc}}^{\infty}(\Omega). \quad (4.1)$$

Proof: We work with our local regularization $v_{\varepsilon} = u_{\varepsilon, \delta(\varepsilon)}$ introduced in front of (3.13). Of course (4.1) will follow as soon as we can show

$$|\nabla v_{\varepsilon}| \in L_{\text{loc}}^{\infty}(B_{2R}) \quad (4.2)$$

uniformly w.r.t. ε . With a slight abuse of notation we agree to write u in place of v_{ε} having the advantage that we can use e.g. equation (3.4) without further change of symbols. The local boundedness of ∇u follows via De Giorgi-type arguments as applied for example in [B], Theorem 5.22, [BFM], Step 4 in Section 2, or [ABF]. We fix a ball $B_{\rho}(y)$ compactly contained in $B_{2R} = B_{2R}(x_0)$, choose any number $k \geq 1 + \|\nabla \Psi\|_{L^{\infty}(B_{2R})}^2$ and define the sets

$$A(k, \rho) := \{x \in B_{\rho}(y) : \Gamma > k\}$$

with $\Gamma := 1 + |\nabla u|^2$. Finally we let $\eta \in C_0^{\infty}(B_{\rho}(y))$ and recall that equation (3.4) implies the identity (3.6). In (3.6) we choose $\varphi := \eta^2 \partial_{\beta} u \max(\Gamma - k, 0)$ and observe $\nabla u = \nabla \Psi$ on the set S , thus

$$\int_{B_{\rho}(y)} g \partial_{\beta} (\eta^2 \partial_{\beta} u \max(\Gamma - k, 0)) \, dx = 0$$

and therefore

$$0 = \int_{B_{\rho}(y)} D^2 H(\nabla u) (\partial_{\beta} \nabla u, \nabla [\eta^2 \partial_{\beta} u \max(\Gamma - k, 0)]) \, dx.$$

This immediately implies

$$\int_{A(k, \rho)} \eta^2 a_{\beta\gamma} \partial_{\beta} \Gamma \partial_{\gamma} \Gamma \, dx \leq -2 \int_{A(k, \rho)} a_{\beta\gamma} \partial_{\beta} \Gamma \partial_{\gamma} \eta \eta (\Gamma - k) \, dx$$

with coefficients $a_{\beta\gamma}$ being defined in front of (3.8). On the r.h.s. we can apply the Cauchy-Schwarz inequality to the symmetric bilinear form induced by the matrix $(a_{\beta\gamma})$ with the result

$$\int_{A(k, \rho)} \eta^2 a_{\beta\gamma} \partial_{\beta} \Gamma \partial_{\gamma} \Gamma \, dx \leq c \int_{A(k, \rho)} a_{\beta\gamma} \partial_{\beta} \eta \partial_{\gamma} \eta (\Gamma - k)^2 \, dx. \quad (4.3)$$

Let $r < \hat{r}$ such that $B_{\hat{r}}(y) \Subset B_{2R}$, and consider η such that $\eta = 1$ on $B_r(y)$, $0 \leq \eta \leq 1$, $\text{spt}(\eta) \subset B_{\hat{r}}(y)$, $|\nabla \eta| \leq c/(\hat{r} - r)$. Observing

$$\int_{A(k, r)} (\Gamma - k)^{\frac{n}{n-1}} \, dx \leq \int_{B_{\hat{r}}(y)} (\eta[\Gamma - k]^+)^{\frac{n}{n-1}} \, dx,$$

$[\dots]^+$ denoting the positive part of $[\dots]$, and using Sobolev's theorem we find

$$\int_{A(k,r)} (\Gamma - k)^{\frac{n}{n-1}} dx \leq c \left[I_1^{\frac{n}{n-1}} + I_2^{\frac{n}{n-1}} \right], \quad (4.4)$$

where we have abbreviated

$$\begin{aligned} I_1^{\frac{n}{n-1}} &:= \left[\int_{A(k,\hat{r})} |\nabla \eta| (\Gamma - k) dx \right]^{\frac{n}{n-1}} \\ &\leq c (\hat{r} - r)^{-\frac{n}{n-1}} \left[\int_{A(k,\hat{r})} (\Gamma - k) dx \right]^{\frac{n}{n-1}}, \\ I_2^{\frac{n}{n-1}} &:= \left[\int_{A(k,\hat{r})} \eta |\nabla \Gamma| dx \right]^{\frac{n}{n-1}}. \end{aligned}$$

Since $k \geq 1$ it holds on the set $A(k, \hat{r})$

$$\begin{aligned} \frac{h'(|\nabla u|)}{|\nabla u|} &\stackrel{(1.9)}{\geq} c \Gamma^{-1} h(|\nabla u|), & h''(|\nabla u|) &\stackrel{(1.9)}{\geq} c \Gamma^{-1} h(|\nabla u|), \\ & & &\stackrel{(A3)}{\geq} \\ \frac{h'(|\nabla u|)}{|\nabla u|} &\stackrel{(1.9)}{\leq} c \Gamma^{-1} h(|\nabla u|) \quad \text{and} \quad h''(|\nabla u|) &\stackrel{(A4)}{\leq} c \Gamma^{\frac{q-2}{2}}, \end{aligned}$$

and clearly the r.h.s. of the last inequality also serves as an upper bound for $\frac{h'(|\nabla u|)}{|\nabla u|}$ on the set $A(k, \hat{r})$. Recalling the ellipticity estimate

$$\frac{1}{2} \min \left\{ \frac{h'(|\nabla u|)}{|\nabla u|}, h''(|\nabla u|) \right\} |\tau|^2 \leq a_{\beta\gamma} \tau_\beta \tau_\gamma \leq \frac{1}{2} \max \{ \dots \} |\tau|^2, \quad \tau \in \mathbb{R}^n,$$

we find after an application of Hölder's inequality:

$$\begin{aligned} I_2^{\frac{n}{n-1}} &= \left[\int_{A(k,\hat{r})} \eta |\nabla \Gamma| h(|\nabla u|)^{1/2} \Gamma^{-1/2} \Gamma^{1/2} h(|\nabla u|)^{-1/2} dx \right]^{\frac{n}{n-1}} \\ &\leq \left[\int_{A(k,\hat{r})} \eta^2 |\nabla \Gamma|^2 h(|\nabla u|) \Gamma^{-1} dx \right]^{\frac{1}{2} \frac{n}{n-1}} \left[\int_{A(k,\hat{r})} \Gamma h(|\nabla u|)^{-1} dx \right]^{\frac{1}{2} \frac{n}{n-1}} \\ &\leq c \left[\int_{A(k,\hat{r})} \eta^2 a_{\beta\gamma} \partial_\beta \Gamma \partial_\gamma \Gamma dx \right]^{\frac{1}{2} \frac{n}{n-1}} \left[\int_{A(k,\hat{r})} \Gamma h(|\nabla u|)^{-1} dx \right]^{\frac{1}{2} \frac{n}{n-1}} \\ &\stackrel{(4.3)}{\leq} c (\hat{r} - r)^{-\frac{n}{n-1}} \left[\int_{A(k,\hat{r})} (\Gamma - k)^2 \Gamma^{\frac{q-2}{2}} dx \right]^{\frac{1}{2} \frac{n}{n-1}} \left[\int_{A(k,\hat{r})} \Gamma h(|\nabla u|)^{-1} dx \right]^{\frac{1}{2} \frac{n}{n-1}}. \end{aligned}$$

Another application of Hölder's inequality yields

$$I_1^{\frac{n}{n-1}} \leq c (\hat{r} - r)^{-\frac{n}{n-1}} \left[\int_{A(k,\hat{r})} (\Gamma - k)^2 \Gamma^{\frac{q-2}{2}} dx \right]^{\frac{1}{2} \frac{n}{n-1}} \cdot \left[\int_{A(k,\hat{r})} \Gamma^{\frac{2-q}{2}} dx \right]^{\frac{1}{2} \frac{n}{n-1}}.$$

Returning to (4.4) it is shown that

$$\int_{A(k,r)} (\Gamma - k)^{\frac{n}{n-1}} dx \leq c(\hat{r} - r)^{-\frac{n}{n-1}} \left[\int_{A(k,\hat{r})} (\Gamma - k)^2 \Gamma^{\frac{q-2}{2}} dx \right]^{\frac{1}{2} \frac{n}{n-1}} \quad (4.5)$$

$$\cdot \left[\int_{A(k,\hat{r})} \Gamma h (|\nabla u|)^{-1} dx \right]^{\frac{1}{2} \frac{n}{n-1}}.$$

Here we have used one more time that from (A1) and (A4) it follows $h(t) \leq ct^q$ for $t \geq 1$, hence on the set $A(k, \hat{r})$

$$\Gamma^{\frac{2-q}{2}} \leq c\Gamma h (|\nabla u|)^{-1}$$

and (recall (1.8)) in addition

$$\Gamma h (|\nabla u|)^{-1} \leq c\Gamma^{\frac{\mu}{2}}, \quad \mu := 1 - \alpha.$$

This shows that (4.5) exactly corresponds to inequality (24) in Lemma 5.23 of [B] and we can follow the calculations from p.158 in [B] using Lemma 3.1 to get our claim (4.2), which proves Lemma 4.1. \square

5 Additional results

It would be interesting to know if Theorem 1.1 remains valid under the hypotheses (A1) and (A2), but with (A3) and (A4) being replaced by

$$\text{There exists a constant } K \geq 1 \text{ such that } h''(t) \leq K \frac{h'(t)}{t} \text{ is true for all } t > 0. \quad (\text{A3}^*)$$

For some exponent $p > 1$ and a constant $\lambda > 0$ we have

$$\lambda(1 + t^2)^{\frac{p-2}{2}} \leq h''(t) \text{ for any } t \geq 0. \quad (\text{A4}^*)$$

The reader should note that (A2) actually is a consequence of (A1) and (A3^{*}), since by (A3^{*}) $\frac{h'(t)}{t^K}$ is a decreasing function, which yields the doubling property for h' . From this we obtain (A2) by using the formula

$$h(2t) = \int_0^{2t} h'(s) ds = 2 \int_0^t h'(2s) ds.$$

Moreover, from (A2) we deduce the existence of an exponent q (w.l.o.g. $q \geq 2$) such that

$$h(t) \leq c(t^q + 1), \quad h'(t) \leq c(t^{q-1} + 1) \quad (5.1)$$

holds for all $t \geq 0$. Inequality (5.1) in combination with (A3^{*}) yields

$$h''(t) \leq c(t^{q-2} + 1), \quad t \geq 0, \quad (5.2)$$

i.e. we have (p, q) -ellipticity in the sense of (1.3) on account of (5.2), (A3*), (A4*) and iv) of Section 1. In addition, the balancing condition (1.9) is still satisfied. However, we cannot follow the lines of Section 3 for proving the statement of Lemma 3.1 in this different setting. Quoting [BFM] we obtain Lemma 3.1 only under the extra smallness condition (1.5) or its weaker variant (5.5) stated below (needed in case $n \geq 3$), and we would like to know if such an additional limitation is really necessary.

There is a way to avoid (1.5) but leading to another restriction: we return to the proof of Lemma 3.1, in particular equation (3.7), but this time we do not perform an integration by parts in the quantity T_2 . After some calculations we see that we arrive at (3.12), where now on the l.h.s. the term $\frac{h'(|\nabla u|)}{|\nabla u|}$ has to be replaced by $h''(|\nabla u|)$. For this reason the function Ψ_0 introduced after (3.14) takes the principle form

$$\Psi_0 := \int_0^{|\nabla u|} h''(t)^{\frac{1}{2}} dt,$$

and in order to start our iteration procedure in case $n \geq 3$ it is sufficient to assume

$$h''(t) \geq c \left(\frac{h'(t)}{t} \right)^{\frac{n-2}{n}} t^{-2\beta} \quad (5.3)$$

at least for $t \geq t_0$ with exponent $\beta < 2/n$. In fact, (5.3) together with (1.9) yields

$$h''(t)^{\frac{1}{2}} \geq ch(t)^{\frac{n-2}{2n}} t^{-\frac{n-2}{n}-\beta} \quad t \geq t_0,$$

hence by (A2) (w.l.o.g. $t_0 = 0$)

$$\begin{aligned} \Psi_0 &\geq c \int_0^{|\nabla u|} h(t)^{\frac{n-2}{2n}} t^{\frac{2}{n}-1-\beta} dt \geq c \int_{|\nabla u|/2}^{|\nabla u|} h(t)^{\frac{n-2}{2n}} t^{\frac{2}{n}-1-\beta} dt \\ &\geq ch(|\nabla u|/2)^{\frac{n-2}{2n}} \int_{|\nabla u|/2}^{|\nabla u|} t^{\frac{2}{n}-1-\beta} dt \geq h(|\nabla u|)^{\frac{n-2}{2n}} |\nabla u|^{\frac{2}{n}-\beta}. \end{aligned}$$

Since Ψ_0 is in the space $L_{loc}^{\frac{2n}{n-2}}$, we arrive at

$$h(|\nabla u|)|\nabla u|^\gamma \in L_{loc}^1 \quad (5.4)$$

for a suitable exponent γ . As in Section 3 we can iterate (5.4) to get Lemma 3.1. The arguments from Section 4 are easily adjusted, thus it is shown:

Theorem 5.1. *Let h satisfy (A1), (A2), (A3*) and (A4*). Suppose in addition that we have (5.3) with $\beta < 2/n$ at least in case $n \geq 3$. Then any local J -minimizer u subject to the constraint $u \geq \Psi$ a.e. on Ω is locally Lipschitz, if so is the obstacle Ψ . Moreover, ∇u satisfies a local Hölder condition, if $\nabla \Psi$ has this property.*

Remark 5.1. *The reader should note that (A3*) together with (5.3) implies a condition similar to (2.9) of [MP].*

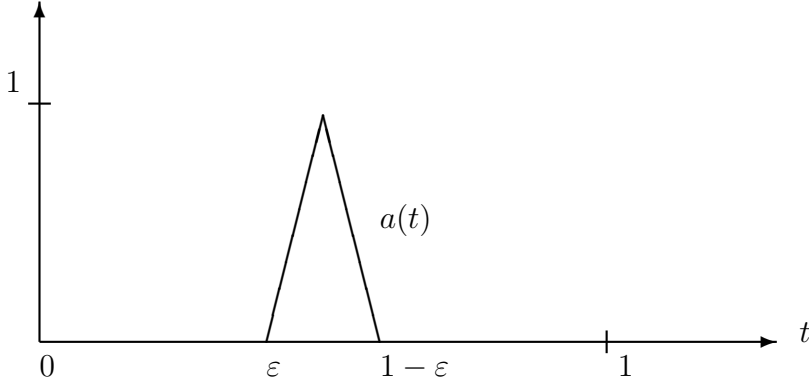


Figure 1: The function $a(t)$.

Remark 5.2. Suppose that we have (A1), (A2), (A3*) and (A4*) together with

$$q < p \frac{n}{n-2}. \quad (5.5)$$

Then it is easy to check that (5.3) holds with $\beta < 2/n$, thus we have the conclusions of Theorem 5.1. At the same time condition (5.5) corresponds to (1.11) in [BFM], and since our hypotheses imply (1.9) from this paper, we see that Theorem 5.1 slightly extends part (a) of Theorem 1.1 from [BFM].

Remark 5.3. Clearly it is possible to replace inequality (5.3) in Theorem 5.1 through the weaker requirement that there exist a constant $c > 0$ and an exponent $\gamma > 0$ such that

$$\int_0^t h''(s)^{\frac{1}{2}} ds \geq ch(t)^{\frac{n-2}{2n}} t^\gamma \quad (5.3^*)$$

holds for all $t \geq t_0$. We note that (5.3*) is more adequate in the case of oscillatory behaviour of h'' .

We next give an example of a density h with (A1), (A2), (A3*) and (A4*), which does not satisfy (5.3), if the parameter q is chosen large enough, but for which (5.3*) holds. For numbers $1 < p < q < \infty$, $q \geq 2$, we let

$$\Theta(t) := (1 + t^2)^{\frac{p-2}{2}} + a(t)t^{q-2}, \quad t \geq 0,$$

and define

$$h(t) := \int_0^t \left[\int_0^r \Theta(\rho) d\rho \right] dr \quad t \geq 0,$$

where the function $a: [0, \infty) \rightarrow [0, \infty)$ is the periodic extension of the function $[0, 1] \rightarrow [0, 1]$ indicated in Figure 1 below.

Here $\varepsilon \in [0, 1/2)$ denotes some free parameter. The properties (A1) and (A4*) are immediate. For (A3*) it is enough to show

$$a(t)t^{q-2} \leq c \frac{1}{t} \int_0^t a(r)r^{q-2} dr \quad (5.6)$$

for a constant $c > 0$ and all sufficiently large values of t . Given t we choose $n \in \mathbb{N}$ such that $t \in [n, n + 1]$. We get

$$\begin{aligned}
\int_0^t a(r)r^{q-2} dr &\geq \int_0^n a(r)r^{q-2} dr = \sum_{k=0}^{n-1} \int_k^{k+1} a(r)r^{q-2} dr \geq \sum_{k=0}^{n-1} k^{q-2} \int_k^{k+1} a(r) dr \\
&= c \sum_{k=0}^{n-1} k^{q-2} = c \sum_{k=1}^{n-1} \int_k^{k+1} r^{q-2} \left[\frac{k}{r}\right]^{q-2} dr \geq c \sum_{k=1}^{n-1} \int_k^{k+1} r^{q-2} \left[\frac{k}{k+1}\right]^{q-2} dr \\
&\geq c \sum_{k=1}^{n-1} \int_k^{k+1} r^{q-2} dr = c \int_1^n r^{q-2} dr = cr^{q-1} \Big|_1^n = c(n^{q-1} - 1) \geq cn^{q-1} \\
&= ct^{q-1} \left[\frac{n}{t}\right]^{q-1} \geq ct^{q-1} \left[\frac{n}{n+1}\right]^{q-1} \geq ct^{q-1},
\end{aligned}$$

and for $t \geq 1$ it follows

$$ct^{q-2} \leq \frac{1}{t} \int_0^t a(r)r^{q-2} dr. \quad (5.7)$$

Since $0 \leq a(t) \leq 1$, inequality (5.7) clearly implies (5.6), and (A3*) is established. As remarked earlier, condition (A2) follows from (A1) and (A3*), thus the density h satisfies the requirements of Theorem 5.1 with the exception of (5.3): from (5.7) it follows that $\frac{h'(t)}{t}$ is bounded from below by ct^{q-2} , whereas $h''(t) = (1 + t^2)^{(p-2)/2}$ on the set $[a = 0]$. Thus, for q large enough, (5.3) is violated, and for the same reason inequality (2.9) of [MP] fails to hold. However, it is easy to see that inequality (5.3*) is fulfilled with $\gamma = q/n$, so that we have the conclusions of Theorem 5.1 for local minimizers of this particular energy.

For completeness we look at a non-autonomous variant of Theorem 1.1, which means that we consider densities $H(x, \xi) = h(x, |\xi|)$, $h = h(x, t)$, $x \in \bar{\Omega}$, $t \geq 0$, satisfying the required conditions uniformly in $x \in \bar{\Omega}$ replacing h' by $\frac{\partial}{\partial t}h$, etc.

Theorem 5.2. *Assume that (A1)-(A4) hold for the density $h(x, t)$. Suppose that (A3) is satisfied with $\alpha = 1$, moreover we have*

$$\left| \nabla_x \frac{\partial}{\partial t} h(x, t) \right| \leq c \frac{\partial}{\partial t} h(x, t), \quad x \in \bar{\Omega}, \quad t \geq 0. \quad (A5)$$

- a) *Then the conclusions of Theorem 1.1 extend to local minimizers u of $\int_{\Omega} h(x, |\nabla u|) dx$ subject to the constraint $u \geq \Psi$.*
- b) *If the vectorial case is considered, i.e. if $u: \Omega \rightarrow \mathbb{R}^M$, $M \geq 1$, is an unconstrained local minimizer of the energy $\int_{\Omega} h(x, |\nabla u|) dx$, then ∇u is locally bounded.*

Formally the proof of Theorem 5.2 is easily obtained by following the arguments leading to the statements of Theorem 1.1, where the additional terms resulting from the x -dependence are handled with the help of (A5). Thus the proof would be complete, if we assume a sufficient degree of initial regularity. However, as it is outlined in [ELM],

the local approximation procedure from Section 3 cannot be applied. To overcome this difficulty we follow ideas of [M4] and of [CGM] by introducing a quadratic regularization from below. The reader should note that at this stage the validity of (A3) with $\alpha = 1$ enters in an essential way. For details we refer to Section 3 of [BF]. We wish to remark that (A5) does not cover the case of “variable exponents” as discussed for example in [CM].

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